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On pseudomanifolds

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ON PSEUDOMANIFOLDS

Notes on lecture by

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The Institute for Advanced Study

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O. N. PSEUDOMANIFOLDS

by

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Before passing to the proper content of these lectures, I shall give a brief survey of a few fundamental facts of the homology theory, in such form as I shall apply it later.

A complex  $K$  is a finite set ( $\neq \emptyset$ ) of elements (called vertices of the complex), in which some subsets are distinguished simplices of the complex); <sup>(and called</sup>  
 two conditions must be satisfied: (1) each vertex is distinguished, (2) each subset of a distinguished set is distinguished. If there is given a fixed abelian group  $\mathcal{R}$ , then we can form in a known manner  $K$ -chains (with coefficients taken from  $\mathcal{R}$ ) and their boundaries, which leads to the notion of cycles and homologies. We shall consider also relative cycles and homologies in the sense of Lefschetz. A subcomplex  $K_1$  of a complex  $K$  is a complex such that (not only each vertex of  $K_1$  is a vertex of  $K$  but also) every  $K_1$ -simplex is a  $K$ -simplex. Let  $K_2 \subset K_1 \subset K$ . Let  $C^n(K)$  be an  $(n, K)$ -chain. We say that  $C^n(K)$  is a  $K_1$ -chain. We say that  $C^n(K)$  is an  $(n, K)$ -cycle mod  $K_2$  in  $K_1$ , if  $C^n(K) \subset K_1$ ,  $FC^n(K) \subset K_2$ , where the letter  $F$  signifies the boundary. We say that  $C^n(K)$  is homologous to zero mod  $K_2$  in  $K_1$  (and we write  $C^n(K) \sim 0 \text{ mod } K_2 \text{ in } K_1$ ), if there exists an  $(n+1, K)$ -chain  $D^{n+1}(K) \subset K_1$  such that  $FD^{n+1}(K) = C^n(K) + E^n(K)$ , where  $E^n(K) \subset K_2$ .

For the later purposes it is essential that the coefficient group  $\mathcal{R}$  be a field. Therefore, we assume it now. If  $\alpha \in \mathcal{R}$  and if  $C^n(K)$  is an  $(n, K)$ -chain, then we can form the chain  $\alpha C^n(K)$  in an obvious manner.

Now let  $R$  be a topological space, that is to say, an abstract set (whose elements are called points) in which certain sets (called closed sets) are

4  
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distinguished in such a manner as to have the following properties: (1)  $O$  and  $R$  are closed, (2) the sum of two closed sets is closed, (3) the intersection of any number of closed sets is closed, (4) any set consisting of a single point is closed. A set  $U \subset R$  is called open, if  $R - U$  is closed,

A covering  $\mathcal{U}$  of the space  $R$  is a finite set of open subsets  $\neq O$  of  $R$  whose sum is the whole  $R$ . A covering is a complex by virtue of <sup>the</sup> following definition: if  $U_0, U_1, \dots, U_n$  are different vertices (= elements) of  $\mathcal{U}$ , then  $(U_0, U_1, \dots, U_n)$  is a  $\mathcal{U}$ -simplex if and only if  $\prod_0^n U_i \neq O$ .

If  $S \subset R$  and if  $\mathcal{U}$  is a covering of  $R$ , then  $\mathcal{U}(S)$  will be the sub-complex of  $\mathcal{U}$  defined as follows: a  $\mathcal{U}$ -simplex  $(U_0, U_1, \dots, U_n)$  belongs to  $\mathcal{U}(S)$  if and only if  $S \cdot \prod_0^n U_i \neq O$ . This definition is useful essentially only for closed subsets  $S$  of  $R$ , because we have always  $\mathcal{U}(S) = \mathcal{U}(\bar{S})$  (the bar always denotes the closure). If  $S = \bar{S} \subset T = \bar{T} \subset R$  and if  $C^n(\mathcal{U})$  is an  $(n, \mathcal{U})$ -chain, then we shall write  $C^n(\mathcal{U}) \subset S$  instead of  $C^n(\mathcal{U}) \subset \mathcal{U}(S)$ ; we shall say that  $C^n(\mathcal{U})$  is an  $(n, \mathcal{U})$ -cycle mod  $S$  in  $T$  if  $C^n(\mathcal{U})$  is an  $(n, \mathcal{U})$ -cycle mod  $\mathcal{U}(S)$  in  $\mathcal{U}(T)$  and in a similar way we interpret a homology  $C^n(\mathcal{U}) \sim 0$  <sup>mod</sup>  $S$  in  $T$ . If  $S = O$ , we speak of absolute cycles; if  $T = R$ , we leave out the words "in  $T$ ".

Now let  $\mathcal{U}$  and  $\mathcal{M}$  be two coverings (of the space  $R$ ; we shall consider only coverings of  $R$ ). We say that  $\mathcal{M}$  is a refinement of  $\mathcal{U}$ , if it is possible to attach to each vertex  $V$  of the covering  $\mathcal{M}$  a vertex  $U = \pi V$  of the covering  $\mathcal{U}$  such that  $V \subset U$ . The operation  $\pi$  is called projection (of  $\mathcal{M}$  into  $\mathcal{U}$ ); in general, there exist many such projections.

If  $(V_0, V_1, \dots, V_n) = \tau_n$  is an  $(n, \mathcal{M})$ -simplex, there are two possibilities; either the  $\pi V_0, \pi V_1, \dots, \pi V_n$  are not all different from each other and we put  $\pi \tau_n = O$ ; or they are, and then  $(\pi V_0, \pi V_1, \dots, \pi V_n)$  is an  $(n, \mathcal{U})$ -simplex  <sup>$\sigma_n$</sup>  and we write  $\pi \tau_n = \sigma_n$ .

This operation of projecting a simplex is to be understood in such a sense that if  $\tau_n$  is oriented, then  $\pi \tau_n$  also has a definite orientation (obviously describable).

Let  $\pi_1$  and  $\pi_2$  be two projections of  $\mathcal{M}$  into  $\mathcal{U}$  and let  $C^n(\mathcal{M})$  be an  $(n, \mathcal{M})$ -cycle mod  $S$  in  $T$ . Then  $\pi_1 C^n(\mathcal{M})$  and  $\pi_2 C^n(\mathcal{M})$  are two  $(n, \mathcal{U})$ -cycles mod  $S$  in  $T$ , homologous to each other mod  $S$  in  $T$ . Hence, although the projection is not determined without ambiguity, it becomes so if applied to cycles of a definite type (mod  $S$  in  $T$ ) provided that we identify cycles which are homologous to each other (again mod  $S$  in  $T$ ).

We retain the notation  $S = \bar{S} \subset T = \bar{T} \subset R$ . An  $(n, R)$ -cycle mod  $S$  in  $T$  is a function  $C^n$  attaching to each covering  $\mathcal{U}$  of  $R$  (as  $\mathcal{U}$ -coordinate of  $C^n$ ) a definite  $(n, \mathcal{U})$ -cycle  $C^n(\mathcal{U})$  mod  $S$  in  $T$ , but supposing that the following condition be verified: If  $\mathcal{M}$  is a refinement of  $\mathcal{U}$ , then  $C^n(\mathcal{M}) \sim C^n(\mathcal{U})$  mod  $S$  in  $T$  (of course  $\pi$  is a projection of  $\mathcal{M}$  into  $\mathcal{U}$ ). The definition of a sum  $C_1^n + C_2^n$  of two  $(n, R)$ -cycles and of the product  $\alpha C^n$  ( $\alpha \in \mathbb{R}$ ) is obvious.  $C^n \sim 0$  signifies of course  $C^n(\mathcal{U}) \sim 0$  for each covering  $\mathcal{U}$ .

Although our fundamental assumptions are extremely general (at the present stage of the game, it is not very essential that  $R$  is a topological space), we have an important and by no means trivial theorem. It is convenient to start with a definition: A linear family  $\Lambda^n(\mathcal{U})$  of  $(n, \mathcal{U})$ -cycles mod  $S$  in  $T$  is a non empty family of such cycles having the following property: if

$$\alpha_1 + \alpha_2 = 1, C^n(\mathcal{U}) \sim \alpha_1 C_1^n(\mathcal{U}) + \alpha_2 C_2^n(\mathcal{U})$$

$C_1^n(\mathcal{U}) \in \Lambda^n(\mathcal{U}), C_2^n(\mathcal{U}) \in \Lambda^n(\mathcal{U}), \alpha_1 \in \mathbb{R}, \alpha_2 \in \mathbb{R}$  then  $C^n(\mathcal{U}) \in \Lambda^n(\mathcal{U})$ . Now we can state the following fundamental existence theorem:

Let there be given, for each covering  $\mathcal{U}$ , a linear family  $\Lambda^n(\mathcal{U})$  of  $(n, \mathcal{U})$ -cycles mod  $S$  in  $T$  such that, if  $\mathcal{M}$  is a refinement of  $\mathcal{U}$ ,  $\pi \Lambda^n(\mathcal{M}) \subset \Lambda^n(\mathcal{U})$ . Then there exists an  $(n, R)$ -cycle  $C^n$  mod  $S$  in  $T$

such that  $C^n(\mathcal{U}) \in \Lambda^n(\mathcal{U})$  for every  $\mathcal{U}$ .

The following three lemmas, which we will find very useful, are immediate corollaries of the fundamental existence theorem. Each lemma will be preceded by a quite obvious remark (independent of the existence theorem).

If  $C^n$  is an  $(n, R)$ -cycle mod  $S$  in  $T$  and if we set  $\Gamma^{n-1}(\mathcal{U}) = FC^n(\mathcal{U})$  for every covering  $\mathcal{U}$ , then  $\Gamma^{n-1}$  is an absolute  $(n-1, R)$ -cycle in  $S$ , which we shall denote by  $FC^n$ .\* Evidently  $\Gamma^{n-1} \sim 0$  in  $T$ . But conversely:

\* The following remark is quite useful: If  $D^n$  is another  $(n, R)$ -cycle mod  $S$  in  $T$ , then  $C^n \sim D^n$  mod  $S$  implies  $FC^n \sim FD^n$  in  $S$ .

Lemma I. If  $\Gamma^{n-1}$  is an absolute  $(n-1, R)$ -cycle in  $S$ , which is  $\sim 0$  in  $T$ , then there exists an  $(n, R)$ -cycle  $C^n$  mod  $S$  in  $T$  such that  $FC^n \sim \Gamma^{n-1}$  in  $S$ .

If  $C^n$  is an  $(n, R)$ -cycle mod  $S$  in  $T$  and if there exists an absolute  $(n, R)$ -cycle  $\Gamma^n$  such that  $C^n \sim \Gamma^n$  mod  $S$ , then  $FC^n \sim 0$  in  $S$ . But conversely:

Lemma II. If  $C^n$  is an  $(n, R)$ -cycle mod  $S$  in  $T$  such that  $FC^n \sim 0$  in  $S$ , then there exists an absolute  $(n, R)$ -cycle  $\Gamma^n$  in  $T$  such that  $C^n \sim \Gamma^n$  mod  $S$ .

If  $C^n$  is an  $(n, R)$ -cycle mod  $S$ , if  $D^n$  is an  $(n, R)$ -cycle mod  $S$  in  $T$  and if  $C^n \sim D^n$  mod  $S$ , then  $C^n \sim 0$  mod  $T$ . But conversely:

Lemma III. If  $C^n$  is an  $(n, R)$ -cycle mod  $S$  such that  $C^n \sim 0$  mod  $T$ , then there exists an  $(n, R)$ -cycle  $D^n$  mod  $S$  in  $T$  such that  $C^n \sim D^n$  mod  $S$ .

Naturally, very few theorems on homology may be proved without introducing more particular spaces  $R$ . We shall, from this point on, suppose that the space  $R$  is normal. This signifies: If  $S_1$  and  $S_2$  are two closed sets such that  $S_1 S_2 = 0$ , then there exists two open sets  $G_1$  and  $G_2$  such that  $S_1 \subset G_1$ ,  $S_2 \subset G_2$ ,  $G_1 G_2 = 0$ . In a normal space  $R$ , the following lemmas IV-VI are true. (The importance of lemma IV is immediately obvious.)

If  $S_1 \subset R$ ,  $S_2 \subset R$ , then  $\mathcal{U}(S_1, S_2) \subset \mathcal{U}(S_1) \cdot \mathcal{U}(S_2)$  but in general  $\mathcal{U}(S_1, S_2) \neq \mathcal{U}(S_1) \cdot \mathcal{U}(S_2)$ . Therefore,  $C^n(\mathcal{U}) \subset S_1$ ,  $C^n(\mathcal{U}) \subset S_2$  does not imply  $C^n(\mathcal{U}) \subset S_1, S_2$ . But still:

Lemma IV. Given a covering  $\mathcal{U}$  and given the closed sets  $S_1$  and  $S_2$  there exists a refinement  $\mathcal{H}$  and a projection  $\pi$  such that  $C^n(\mathcal{H}) \subset S_1$ ,  $C^n(\mathcal{H}) \subset S_2$  implies  $\pi C^n(\mathcal{H}) \subset S_1, S_2$ .

In close connection with this is the following

Lemma V. Given  $S = \bar{S}$  and a covering  $\mathcal{U}$ , there exist an open set  $G \supset S$  and a refinement  $\mathcal{H}$  such that  $C^n(\mathcal{H}) \subset \bar{G}$  implies  $C^n(\mathcal{H}) \subset S$ .

Lemma VI. If  $S = \bar{S} \subset T = \bar{T} \subset R$ ,  $T - S = \sum P_k$  with mutually separated  $P_k$  (in finite number) and if  $C^n$  is an  $(n, R)$ -cycle mod  $S$  in  $T$ , then there exist  $(n, R)$ -cycles  $C_k^n \text{ mod } \overline{S P_k} = \overline{P_k} - P_k$  in  $\overline{P_k}$  such that  $C^n \sim \sum C_k^n \text{ mod } S$  in  $T$ .

Given a closed subset  $S$  of  $R$ , we shall denote by  $\mathcal{M}$  the family of all absolute  $(n-1, R)$ -cycles  $\Gamma^{n-1}$  in  $S$  that are  $\sim 0$  in  $R$ , each such  $\Gamma^{n-1}$  being regarded as equal to zero if it is  $\sim 0$  in  $S$ .\*  $\mathcal{M}$  is a modulus; by this

\*  $n$  is a given integer; later,  $n$  will be the dimension of  $R$ .

we mean that it is an additive abelian group having multipliers (operators)

$\alpha \in \mathcal{R}$  (each of which determines an automorphism of  $\mathcal{M}$ ). Since  $\mathcal{R}$  is a field,  $\mathcal{M}$  always possesses an independent basis; the number of the elements of a basis (which is the same for all bases) will be called the rank of  $\mathcal{M}$ .

If  $R$  is an  $n$ -manifold (in the classical sense), the following theorem is well known: If  $S = \bar{S} \subset R \neq S$ , then the number  $p$  of components of  $R - S$  is  $= g + 1$ ,  $g$  being the rank of the modulus  $\mathcal{M}$ . The statement  $p = g + 1$  may be decomposed into two halves:  $p \leq g + 1$  and  $p \geq g + 1$ . It is remarkable that the first

half may be proved in a surprising general case:

Theorem I. Let there exist absolute  $(n, R)$ -cycles  $\Omega_i^n$  ( $1 \leq i \leq m$ ) having the following property: If  $T_1$  and  $T_2$  are two closed sets such that  $T_1 \neq R \neq T_2$  and if  $\Delta_1^n$  is an absolute  $(n, R)$ -cycle in  $T_1$  and similarly  $\Delta_2^n$  for  $T_2$ , then the homology  $\sum_1^m r_i \Omega_i^n \sim \Delta_1^n + \Delta_2^n$  implies  $r_1 = \dots = r_m = 0$ . Let  $S = \bar{S} \subset R$ . If  $R-S$  has at least  $p+1$  components, then the rank of the modulus  $\mathcal{M}$  is  $\geq pm$ .

Proof. We have  $R-S = \sum_0^p P_k$  with separated  $P_k \neq \emptyset$ . By lemma VI, there exist  $(n, R)$ -cycles  $C_{ik}^n \text{ mod } SP_k$  in  $P_k$  ( $1 \leq i \leq m, 0 \leq k \leq p$ ) such that  $\Omega_i^n \sim \sum_0^p C_{ik}^n \text{ mod } S$  and, therefore  $\Omega_i^n \sim C_{ik}^n \text{ mod } R-P_k$ . Let  $\Gamma_{ik}^{n-1} = \sum_0^p C_{ik}^n$  (here and in what follows  $k$  runs over the values  $1, 2, \dots, p$  only,  $k=0$  being left out). Evidently  $\Gamma_{ik}^{n-1} \in \mathcal{M}$ . Let  $\sum r_{ik} \Gamma_{ik}^{n-1} \sim 0$  in  $S$ . Precisely, we have to prove that all  $r_{ik} = 0$ . Let us assume that, on the contrary,  $r_{i1} \neq 0$ . Now  $\sum r_{ik} C_{ik}^n$  is an  $(n, R)$ -cycle mod  $S$  in  $R-P_0$  and  $\sum r_{ik} C_{ik}^n \sim 0$  in  $S$ . By lemma II, it follows that there exists an absolute  $(n, R)$ -cycle  $\Delta_0^n$  in  $R-P_0$  such that  $\sum r_{ik} C_{ik}^n \sim \Delta_0^n \text{ mod } S$ . If  $k \geq 2$ , then  $C_{ik}^n \subset R-P_1$ ; therefore  $\sum r_{ik} C_{ik}^n \sim \sum r_{i1} C_{i1}^n \text{ mod } R-P_1$ . Since  $S \subset R-P_1$ , we have  $\sum r_{i1} C_{i1}^n \sim \Delta_0^n \text{ mod } R-P_1$ . But  $C_{i1}^n \sim \Omega_i^n \text{ mod } R-P_1$ . Therefore  $\sum r_{i1} \Omega_i^n - \Delta_0^n \sim 0 \text{ mod } R-P_1$ . By lemma III it follows that there exists an absolute  $(n, R)$ -cycle  $\Delta_1^n \subset R-P_1$  such that  $\sum r_{i1} \Omega_i^n \sim \Delta_0^n + \Delta_1^n$ . Since  $\Delta_0^n \subset R-P_0 \neq R, \Delta_1^n \subset R-P_1 \neq R$ , we have  $r_{i1} = 0$ , in particular  $r_{11} = 0$ , which is a contradiction.

Corollary. Let  $R$  be a compact subset of the euclidean  $E_{n+1}$  and let there exist  $m+1$  complementary domains of  $R$  (rel.  $E_{n+1}$ ) having the whole  $R$  as their boundary. Let  $S$  be a closed subset of  $R$  and let  $g$  be the  $(n-1)^{\text{th}}$  Betti number of  $S$ . Then the set  $R-S$  has at most  $\lfloor \frac{S}{m} \rfloor + 1$  components.



This corollary was given by Wilder, but (in the case  $m \geq 2$ ) with  $g$  instead of  $\left\lfloor \frac{g}{m} \right\rfloor + 1$ , which is weaker except when  $g \leq 1$  or  $g = m = 2$ .

Now we shall assume that  $R$  has the following two properties:

(1)  $R$  is bicompact, i.e. if any family  $\mathcal{O}$  of open sets covers  $R$ , then a finite subfamily of  $\mathcal{O}$  covers  $R$ .

(2)  $\dim R = n$ . That signifies: (i) every covering  $\mathcal{U}$  has a refinement  $\mathcal{W}$  such that  $\dim \mathcal{W} \leq n$  ( $\dim \mathcal{W}$  being the largest dimension of a  $\mathcal{W}$  simplex), (ii) not every covering  $\mathcal{U}$  has a refinement  $\mathcal{W}$  such that  $\dim \mathcal{W} < n$ .

These assumptions imply the following statement: If  $C^n$  is an  $(n, R)$ -cycle mod  $S$ , then there exists a uniquely determined minimal closed  $T \supset S$  such that  $C^n \sim 0$  mod  $T$ . The existence of  $T$  is a consequence of (1), the uniqueness follows from (2). We shall call  $T$  the carrier of the cycle  $C^n$  and we shall apply it in the following form: If  $C^n \sim 0$  mod  $T_0 = \bar{T}_0$ , then the set  $T_0$  must contain the carrier  $T$ .

The space  $R$  will be called an  $n$ -pseudomanifold,\* if it has the follow-

\* A more proper name would be an orientable pseudomanifold, but I shall not give here the more general definition.

ing properties: (1)  $R$  is a bicompact normal space. (2)  $\dim R = n (= 1, 2, 3, \dots)$ . (3) There exists some absolute  $(n, R)$ -cycle  $\Omega^n$  which is not  $\sim 0$ . (4) If  $S = \bar{S} \subset R \neq S$ , and if  $\Delta^n$  is an absolute  $(n, R)$ -cycle in  $S$ , then  $\Delta^n \sim 0$ . (5) Given a point  $a \in R$  and a neighborhood \*\*  $U$  of  $a$ , there exists a neighbor-

\*\* All my neighborhoods are open.

hood  $V \subset U$  of  $a$  having the following property: If  $C^n$  is any  $(n, R)$ -cycle mod  $R-U$ , then there exists an absolute  $(n, R)$ -cycle  $\Omega^n$  such that  $C^n \sim \Omega^n$  mod  $R-V$ .

Everywhere in the sequel,  $R$  is a given pseudomanifold and  $S$  is a given closed subset of  $R$ .

Theorem II.  $R$  is a locally connected continuum,

Proof. That  $R$  is a continuum, is quite trivial.\*  $U$  being a given

\* As a matter of fact, a far more general property of  $R$  is a corollary of theorem I.

neighborhood of a point  $a \in R$ , let  $V$  be a smaller neighborhood of  $a$  as in property (5) in the definition of an  $n$ -pseudomanifold. It is sufficient to prove that the whole set  $V$  is a part of one quasicomponent of  $U$ . Let us assume the contrary. Then we have  $U = P+Q$  with separate summands such that  $PV \neq 0 \neq QV$ . Let  $\Omega^n$  be an absolute  $(n, R)$ -cycle which is not  $\sim 0$ . Since  $\Omega^n$  may be regarded as an  $(n, R)$ -cycle mod  $R-U$ , by lemma VI there exist two  $(n, R)$ -cycles:  $C^n$  mod  $\bar{P}-U$  in  $\bar{P}$  and  $D^n$  mod  $\bar{Q}-U$  in  $\bar{Q}$  such that  $\Omega^n \sim C^n + D^n$  mod  $R-U$ . By property (5) of a pseudomanifold, there exists an absolute  $(n, R)$ -cycle  $\Omega_0^n$  such that  $C^n \sim \Omega_0^n$  mod  $R-V$ . Since  $C^n \subset \bar{P}$ , we have  $\Omega_0^n \sim 0$  mod  $R-V+\bar{P} \subset R-QV$ . By lemma III it follows that there exists an absolute  $(n, R)$ -cycle  $\Delta^n$  in  $R-QV$  such that  $\Omega_0^n \sim \Delta^n$ . Since  $R-QV \neq R$ ,  $\Delta^n \sim 0$  by property (4) of a pseudomanifold. It follows that  $\Omega_0^n \sim 0$  and, therefore,  $C^n \sim 0$  mod  $R-V$ . Similarly we have  $D^n \sim 0$  mod  $R-V$ . Since  $\Omega^n \sim C^n + D^n$  mod  $R-U \subset R-V$ , we have  $\Omega^n \sim 0$  mod  $R-V \neq R$ . By lemma III and by property (4) of a pseudomanifold, this implies that  $\Omega^n \sim 0$  which is a contradiction.

Lemma VII. Let  $T$  be the carrier of the  $(n, R)$ -cycle  $C^n$  mod  $S$ . Then the set  $T-S$  is open.

Proof. Let there exist, on the contrary, a point

$$a \in (T-S) \cdot \overline{R-T}.$$

Since  $U = \overset{R}{-}S$  is a neighborhood of  $a$ , we may determine a smaller neighborhood  $V$  of  $a$  by property (5) of a pseudomanifold. Then there exists an absolute  $(n, R)$ -cycle  $\Delta^n$  such that  $C^n \sim \Delta^n \pmod{R-V}$ . Since  $C^n \sim 0 \pmod{T}$ , we have  $\Delta^n \sim 0 \pmod{R-V+T}$ . But  $R-V+T$  is closed and  $\neq R$ , so that  $\Delta^n \sim 0$  by lemma II and property (4) of a pseudomanifold. It follows that  $C^n \sim 0 \pmod{R-V}$ . Since  $T$  is the carrier of  $C^n$ , we must have  $T \subset R-V$ , which is evidently wrong.

Now  $T-S$  is open, therefore open in  $R-S$ , and  $T-S$  is also closed in  $R-S$ . Therefore:

Lemma VIII. The carrier  $T$  of an  $(n, R)$ -cycle  $C^n \pmod{S}$  is the sum of (some of the) components of  $R-S$ .

Lemma IX. Let  $P$  be a component of  $R-S$ . Let  $C^n$  be an  $(n, R)$ -cycle  $\pmod{S}$ . Then there exists an absolute  $(n, R)$ -cycle  $\Omega^n$  such that  $C^n \sim \Omega^n \pmod{R-P}$ .

Proof. Choose a point  $a \in P$ . Since  $R$  is locally connected and  $S$  is closed,  $P = U$  is open and, therefore, it is a neighborhood of  $a$ . Let  $V$  be a smaller neighborhood determined by property (5) of a pseudomanifold. It follows that there exists an absolute  $(n, R)$ -cycle  $\Omega^n$  such that  $C^n \sim \Omega^n \pmod{R-V}$ . Therefore the carrier  $T$  of  $C^n - \Omega^n$  is contained in  $R-V$ . By lemma VIII, it follows that  $T \subset R-P$ . But  $C^n \sim \Omega^n \pmod{T}$  by definition of  $T$ . Since  $T \subset R-P$ , we have  $C^n \sim \Omega^n \pmod{R-P}$ .

Now let us recall that  $\mathcal{M}$  was the modulus of all absolute  $(n-1, R)$ -cycles  $\Gamma^{n-1}$  in  $S$  such that  $\Gamma^{n-1} \sim 0$  in  $R$ , such a cycle  $\Gamma^{n-1}$  being regarded as zero if it is  $\sim 0$  in  $S$ .

We shall consider submoduli  $\mathcal{N}$  of the modulus  $\mathcal{M}$  (called moduli briefly). If  $\mathcal{N}$  is such a modulus, then  $\overline{\mathcal{N}}$  (the "closure" of  $\mathcal{N}$ ) is, by definition, the family of all those  $\Gamma^{n-1} \in \mathcal{M}$  having the following property:

Given any covering  $\mathcal{U}$ , there exists a  $\Delta^{n-1} \in \mathcal{M}$  (depending on  $\mathcal{U}$ ) such that

$$\Gamma^{n-1}(\mathcal{U}) \sim \Delta^{n-1}(\mathcal{U}) \quad \text{in } S.$$

Evidently  $\bar{\mathcal{M}}$  is a modulus ( $\mathcal{M} \subset \bar{\mathcal{M}} \subset \mathcal{M}_0$ ).

Everywhere in the sequel,  $\Psi$  denotes the family of all components of  $R-S$ . If  $\phi \subset \Psi$ , then  $\mathcal{H}(\phi)$  will denote the point set which is the sum of all the sets belonging to the family  $\phi$ . E.g.  $\mathcal{H}(\emptyset) = \emptyset$ ,  $\mathcal{H}(\Psi) = R-S$  and generally  $\mathcal{H}(\phi) + \mathcal{H}(\Psi - \phi) = R-S$ ,  $\mathcal{H}(\phi) \cdot \mathcal{H}(\Psi - \phi) = \emptyset$ .

Everywhere in the sequel, if  $\phi \subset \Psi$ ,  $\mathcal{M}(\phi)$  is the set of all those  $\Gamma^{n-1} \in \mathcal{M}$ , for which  $\Gamma^{n-1} \sim 0 \pmod{R - \mathcal{H}(\phi)}$ . So  $\mathcal{M}(\emptyset) = \mathcal{M}$ ,  $\mathcal{M}(\Psi) = \emptyset$ . In general,  $\phi_1 \subset \phi_2 \subset \Psi$  implies  $\mathcal{M}(\phi_1) \supset \mathcal{M}(\phi_2)$ .

If  $\phi \subset \Psi$ , then  $\underline{\mathcal{M}(\phi)}$  is a modulus and

$$\underline{\mathcal{M}(\phi)} = \mathcal{M}(\phi).$$

From this point on, we shall assume that the  $n^{\text{th}}$  Betti number of  $R$  (= the rank of the modulus of all the  $(n, R)$ -cycles) is finite. We shall denote it by  $m$  and shall choose, once for all, a fixed Betti basis  $\Omega_i^n$  ( $1 \leq i \leq m$ ) for the absolute  $(n, R)$ -cycles. By property (3) of a pseudomanifold,  $m > 0$ . We shall see later that in the case  $n = 1$  we must have  $m = 1$ . But for  $n > 1$ , every value of  $m$  is actually possible. Indeed, Wilder gave an example in the euclidean  $E_{n+1}$ , of a compact set  $R$  such that  $R$  is the boundary of all components of  $E_{n+1} - R$ , the number  $m+1 = 2, 3, \dots$  <sup>or</sup>  $m = \infty$  of those components being given, and each such component being uniformly locally connected. It is easy to prove (as a corollary of our following theorems) that such an  $R$  is an  $n$ -pseudomanifold, for which the number  $m$  has the signification given above.

Now we have the following general theorem regarding the separation of a pseudomanifold by an arbitrary closed subset:

Theorem III. Let  $\phi_1 \subset \phi_2 \subset \Psi$ . Let  $p$  be the number of the components forming the family  $\phi_2 - \phi_1$ . Let  $g$  be the rank of the modulus

$$\mathcal{M}(\phi_1) \text{ mod } \mathcal{M}(\phi_2)$$

(= the max. number of cycles  $\Gamma_i^{n-1} \in \mathcal{M}(\phi_1)$  such that  $\sum r_i \Gamma_i^{n-1} \in \mathcal{M}(\phi_2)$  implies  $r_i = 0$ ). Let

$$c = 1 \text{ if both } p > 0 \text{ and } \phi_1 = 0,$$

$$c = 0 \text{ if either } p = 0 \text{ or } \phi_1 \neq 0.$$

Then

$$g = m(p-c).$$

Proof. Let us assume that  $g < m(p-c)$ , so that  $g$  is finite. Let

$P_k$  ( $0 \leq k < p$ ) be all the components of  $R-S$  belonging to the family  $\phi_2 - \phi_1$ . By lemma VI, there exist  $(n, R)$ -cycles  $C_{ik}^n \text{ mod } \overline{SP}_k$  in  $\overline{P}_k$  such that  $C_{ik}^n \sim \Omega_i^n \text{ mod } R - P_k$ . Let  $\Gamma_{ik}^{n-1} = FC_{ik}^n$  so that evidently  $\Gamma_{ik}^{n-1} \in \mathcal{M}(\phi_1)$ .

Since  $g < m(p-c)$ , there must exist numbers  $r_{ik}$  which are not all null and such that  $\sum_{i=1}^m \sum_{k=c}^p r_{ik} \Gamma_{ik}^{n-1} \sim 0$  in  $R - \mathcal{H}(\phi_2)$ . By lemma I it follows that there exists an  $(n, R)$ -cycle  $D^n \text{ mod } S$  in  $R - \mathcal{H}(\phi_2)$  such that

$$FD^n \sim \sum_{i=1}^m \sum_{k=c}^p r_{ik} \Gamma_{ik}^{n-1} \text{ in } S.$$

It follows that  $D^n - \sum_{i=1}^m \sum_{k=c}^p r_{ik} C_{ik}^n$  is an  $(n, R)$ -cycle mod  $S$  in

$\sum_{k=c}^p \overline{P}_k + R - \mathcal{H}(\phi_2)$ , whose boundary is  $\sim 0$  in  $S$ . By lemma II, it follows

that there exists an absolute  $(n, R)$ -cycle  $\Delta^n \subset \sum_{k=c}^p \overline{P}_k + R = \mathcal{H}(\phi_2)$

such that  $D^n - \sum_{i=1}^m \sum_{k=c}^p r_{ik} C_{ik}^n \sim \Delta^n \text{ mod } S$ . Now, if  $c = 1$ , we have

$\sum_{k=c}^p \overline{P}_k + R - \mathcal{H}(\phi_2) \subset R - P_0 \neq R$ , and if  $c = 0$ , we have  $\phi_1 \neq 0$  and

$\sum_{k=c}^p \overline{P}_k + R - \mathcal{H}(\phi_2) \subset R - \mathcal{H}(\phi_1) \neq R$ ;

by property (4) in the def-

inition of a pseudomanifold, it follows that  $\Delta^n \sim 0$  and, therefore,

$$D^n \sim \sum_{i=1}^m \sum_{k=c}^p r_{ik} C_{ik}^n \text{ mod } S.$$

Let us choose the value of

$k(c \leq k \leq p)$ . We have  $D^n \subset R - \mathcal{H}_0(\phi_2) \subset R - P_k$ ,  $C_{ik}^n \sim \Omega_i^n \pmod{R - P_k}$ ,  
 $S \subset R - P_k$ ,  $D^n \sim \sum_{i=1}^m \sum_{k=c}^p \nu_{ik} C_{ik}^n \pmod{S}$ . Therefore  $\sum_{i=1}^m \nu_{ik} \Omega_i^n \sim 0$   
 $\pmod{R - P_k}$ . By lemma III and by property (4) of a pseudomanifold, this implies  
 $\sum_{i=1}^m \nu_{ik} \Omega_i^n \sim 0$  and, therefore,  $\nu_{ik} = 0$ , which is a contradiction.

II. Let  $g > m(p-c)$ , so that  $p$  is finite. There exist cycles

$$\Gamma_\lambda^{n-1} \in \mathcal{M}(\phi) \quad (0 \leq \lambda \leq m(p-c)) \text{ such that}$$

$$\sum \Delta_\lambda \Gamma_\lambda^{n-1} \in \mathcal{M}(\phi_2) \quad \text{implies } \Delta_\lambda = 0.$$

Let  $P_k$  ( $0 \leq k \leq p-1$ ) be all components forming the family  $\phi_2 - \phi_1$ . By lemma I,  
 there exist  $(n, R)$ -cycles  $C_\lambda^n \pmod{S}$  in  $R - \mathcal{H}_0(\phi_1)$  such that  $FC_\lambda^n \sim \Gamma_\lambda^{n-1}$  in  $S$ .  
 By lemma IX, there exist numbers  $\nu_{ik\lambda} \in \mathcal{R}$  such that

$$C_\lambda^n \sim \sum_{i=1}^m \nu_{ik\lambda} \Omega_i^n \pmod{R - P_k}.$$

Let us consider the system of linear equations

$$\sum_{\lambda=0}^{m(p-c)} \nu_{ik\lambda} \Delta_\lambda = t_i \quad (1 \leq i \leq m, 0 \leq k \leq p-1)$$

where  $t_1 = \dots = t_m = 0$  in the case  $c = 0$ . The number of the equations of our  
 system is less than the number of unknowns;  $\mathcal{R}$  being a field, there follows  
 the existence of a solution  $\Delta_\lambda, t_i$  such that not every  $\Delta_\lambda$  is  $= 0$ . Evi-  
 dently

$$\sum \Delta_\lambda C_\lambda^n \sim \sum t_i \Omega_i^n \pmod{R - P_k};$$

therefore the carrier  $T$  of  $\sum \Delta_\lambda C_\lambda^n - \sum t_i \Omega_i^n$  satisfies the inclu-  
 sion  $T \subset R - P_k$ , whence  $T \subset R - \sum_{k=0}^{p-1} P_k = R - \mathcal{H}_0(\phi_2 - \phi_1)$ . In the case  $c = 0$  we  
 have  $t_i = 0$ ,  $C_\lambda^n \subset R - \mathcal{H}_0(\phi_1)$ , whence  $T \subset R - \mathcal{H}_0(\phi_1)$ . The same thing is true if  
 $c = 1$ , because this implies  $\mathcal{H}_0(\phi_1) = 0$ . Therefore

$$T \subset R - [\mathcal{H}_0(\phi_2 - \phi_1) + \mathcal{H}_0(\phi_1)] = R - \mathcal{H}_0(\phi_2),$$

whence

$$\sum \Delta_\lambda C_\lambda^n \sim \sum t_i \Omega_i^n \pmod{R - \mathcal{H}_0(\phi_2)}$$

and, therefore

$$\sum A_\lambda \Gamma_\lambda^{n-1} \sim \sum A_\lambda FC_\lambda^n \sim 0 \text{ in } R-\mathcal{H}(\phi_2),$$

which implies the contradiction  $A_\lambda = 0$ .

Now we shall determine the modulus  $\mathcal{M}(\phi)$  in a very general case.

Theorem IV. Let  $\Xi$  be a family of closed subsets of  $S$ . Let  $\phi$  be the family of all those components  $P$  of  $R-S$  whose boundary  $\bar{P}-P$  does not belong to the family  $\Xi$ . Let us suppose that  $\Xi$  has the following property: for any set  $B \in \Xi$  the set  $\mathcal{H}(\phi)$  is a subset of a connected subset of  $R-B$ . Let  $\mathcal{N}$  be the submodule of  $\mathcal{M}$  generated by all  $\Gamma^{n-1} \in \mathcal{M}$  such that  $\Gamma^{n-1} \subset B$ ,  $B$  being some set of the family  $\Xi$ . Then we have  $\mathcal{M}(\phi) = \overline{\mathcal{N}}$ .

Proof. I. Let  $\Gamma^{n-1} \subset B \in \Xi$ ,  $\Gamma^{n-1} \sim 0$  in  $R$ . By lemma I, there exists an  $(n, R)$ -cycle  $C^n \text{ mod } B$  such that  $FC^n \sim \Gamma^{n-1}$  in  $B$ . According to the property assumed of  $\Xi$ , there exists a component  $Q$  of  $R-B$  such that  $\mathcal{H}(\phi) \subset Q$ . By lemma IX, there exist numbers  $\lambda_i$  such that  $C^n \sim \sum \lambda_i \Omega_i^n \text{ mod } R-Q$ , so that, by lemma III, there exists an  $(n, R)$ -cycle  $D^n \text{ mod } B$  in  $R-Q$  such that  $C^n - \sum \lambda_i \Omega_i^n \sim D^n \text{ mod } B$ , whence  $FC^n \sim FD^n$  in  $B$  and, therefore,  $\Gamma^{n-1} \sim FD^n$  in  $B$ . But  $D^n \subset R-Q$ , so that

$$\Gamma^{n-1} \sim 0 \text{ in } R-Q \subset R-\mathcal{H}(\phi),$$

i.e.,  $\Gamma^{n-1} \in \mathcal{M}(\phi)$ . It follows that  $\mathcal{N} \subset \mathcal{M}(\phi)$ . Since  $\mathcal{M}(\phi) = \overline{\mathcal{M}(\phi)}$ , we must have  $\overline{\mathcal{N}} \subset \mathcal{M}(\phi)$ .

II. It remains to be proved that  $\mathcal{M}(\phi) \subset \overline{\mathcal{N}}$ . Let  $\Gamma^{n-1} \in \mathcal{M}(\phi)$  and let  $\mathcal{U}$  be a given covering. We have to prove the existence of a  $\Delta^{n-1} \in \mathcal{N}$  such that  $\Gamma^{n-1}(\mathcal{U}) \sim \Delta^{n-1}(\mathcal{U})$  in  $S$ . By lemma V, there exists a neighborhood  $\bar{G}$  of  $S$  and a refinement  $\mathcal{H}$  of  $\mathcal{U}$  such that, for any  $(n, \mathcal{M})$ -chain  $E^n(\mathcal{H})$ ,  $E^n(\mathcal{H}) \subset \bar{G}$  implies  $E^n(\mathcal{H}) \subset S$ . Since

$\Gamma^{n-1} \in \mathcal{M}(\phi)$ , by lemma I there exists an  $(n, R)$ -cycle  $C^n \text{ mod } S$  in  $R-\mathcal{H}(\phi)$

such that  $FC^n \sim \Gamma^{n-1}$  in  $S$ . Since  $R-G$  is bicompact and  $R$  is locally connected,  $R-S$  has only a finite number of components  $P$  such that both  $P \in \Psi - \emptyset$  and  $P-G \neq \emptyset$ . Let  $P_k$  ( $1 \leq k \leq p$ ) be all those components and let

$$Q = \mathcal{H}_0(\Psi - \emptyset) - \sum P_k \quad \text{whence } Q \subset G.$$

Since  $[R - \mathcal{H}_0(\emptyset)] - S = \sum P_k + Q$  with separate summands, by lemma VI there exist  $(n, R)$ -cycles  $D^n \text{ mod } (S, \sum \bar{P}_k)$  in  $\sum \bar{P}_k$  and  $E^n \text{ mod } S\bar{Q}$  in  $\bar{Q}$  such that  $C^n \sim D^n + E^n \text{ mod } S$ , whence  $C^n \sim D^n \text{ mod } \bar{Q}$ , wherefore  $FD^n \sim FC^n \sim \Gamma^{n-1}$  in  $\bar{Q} \subset \bar{G}$ ; by definition of  $G$  and  $\mathcal{M}$  it follows that  $FD^n(\mathcal{M}) \sim \Gamma^{n-1}(\mathcal{M})$  in  $S$ , whence  $FD^n(\mathcal{V}) \sim \Gamma^{n-1}(\mathcal{V})$  in  $S$ , since  $\mathcal{M}$  is a refinement of  $\mathcal{V}$  and both  $FD^n$  and  $\Gamma^{n-1}$  are absolute  $(n-1, R)$ -cycles in  $S$ . Since  $D^n \subset \sum \bar{P}_k$  and  $\sum \bar{P}_k - S = \sum P_k$  with separate summands in the righthand side, lemma VI implies the existence of  $(n, R)$ -cycles  $D_k^n \text{ mod } \bar{P}_k - P_k$  in  $\bar{P}_k$  such that  $D^n \sim \sum D_k^n \text{ mod } S$ , whence  $FD^n \sim \sum FD_k^n$  in  $S$ . Since  $P_k \in \Psi - \emptyset$ , we have  $\bar{P}_k - P_k \in \Xi$ . Since  $D_k^n$  is a cycle mod  $\bar{P}_k - P_k$  in  $\bar{P}_k$ , it follows that  $FD_k^n \in \mathcal{N}$  and, therefore  $\Delta^{n-1} = \sum FD_k^n \in \mathcal{N}$ . But we had  $FD^n(\mathcal{V}) \sim \Gamma^{n-1}(\mathcal{V})$  in  $S$  and  $FD^n \sim \Delta^{n-1}$  in  $S$ , which implies that  $\Gamma^{n-1}(\mathcal{V}) \sim \Delta^{n-1}(\mathcal{V})$  in  $S$ .

The significance of theorem IV will appear clearly if we consider some special cases of it, which, still, are very general.

Case I. Let  $A$  be a given subset of  $S$ . (There would be no loss of generality in assuming  $A$  closed.) Let the family  $\Xi$  consist of all closed subsets  $B$  of  $S$  such that  $A$  is not a subset of  $B$ . The family  $\emptyset$  consists of all components  $P$  of  $R-S$  whose boundary contains  $A$ . It is easy to verify that, given  $B \in \Xi$ ,  $\mathcal{H}_0(\emptyset)$  is a subset of a connected subset of  $R-B$ . Therefore,  $\mathcal{M}_0(\emptyset) = \bar{\mathcal{N}}$ , where the modulus  $\mathcal{N}$  is generated by all absolute  $(n-1, R)$ -cycles  $\Gamma^{n-1}$ ,  $\sim 0$  in  $R$ , such that  $\Gamma^{n-1} \subset B \in \Xi$ . Let us introduce the following notations:



- (1)  $g$  is the rank of  $\mathcal{M}$  mod  $\mathcal{M}(\emptyset)$ ,  
 $g^*$  is the rank of  $\mathcal{M}(\emptyset)$ ;

- (2)  $\left. \begin{matrix} p \\ p^* \end{matrix} \right\}$  is the number of components  $P$  of  $R-S$  such that  $A \left\{ \begin{matrix} \text{is} \\ \text{is not} \end{matrix} \right.$  a sub-  
 set of the boundary of  $P$ .

We may apply theorem III in two manners, putting first  $\emptyset_1 = 0, \emptyset_2 = \emptyset$  and second  $\emptyset_1 = \emptyset, \emptyset_2 = \emptyset$  and we have the following two statements:

- (3) If  $p = 0$ , then  $g = 0$ ; if  $p > 0$ , then  $g = m(p-1)$ .

- (4) If either  $p^* = 0$  or  $p > 0$ , then  $g^* = mp^*$ ; if both  $p^* > 0$  and  $p = 0$ , then  $g^* = m(p^*-1)$ .

Case II. Let there be given a connected subset  $A$  of  $S$  (not necessarily closed).  $\Xi$  is the family of all those closed subsets of  $S$  which do not meet  $A$ .  $\emptyset$  is the family of all components of  $R-S$  whose boundary meets  $A$ . As in case I, it is easy to verify that, given a  $B \in \Xi$ , the set  $\mathcal{H}_0(\emptyset)$  is a subset of a connected subset of  $R-B$ . Therefore,  $\mathcal{M}(\emptyset) = \overline{\mathcal{N}}$ , where the modulus  $\mathcal{N}$  is generated by all  $\Gamma^{n-1} \in \mathcal{M}$  such that  $\Gamma^{n-1} \subset B \in \Xi$ . Let us introduce again the notation (1), and, instead of (2):

- (2')  $\left. \begin{matrix} p \\ p^* \end{matrix} \right\}$  is the number of components  $P$  of  $R-S$  whose boundary  $\left\{ \begin{matrix} \text{meets} \\ \text{does not meet} \end{matrix} \right.$   
 the set  $A$ .

Then we have again the statements (3) and (4).

The case II may be generalized as follows. Let there be given a subset  $A$  of  $S$  and a family  $\Gamma \neq \emptyset$  of subsets of  $A$  such that: (i) if  $C \in \Gamma$  and  $C^* \subset C$ , then  $C^* \in \Gamma$ , (ii) if  $C \in \Gamma$ , then  $A-C$  is connected. (In particular  $A$  must be connected, since  $\emptyset \in \Gamma$ .)  $\Xi$  will be the family of all  $B = \overline{B} \subset S$  such that the set  $AB$  belongs to  $\Gamma$ .  $\emptyset$  will be the family of all

components of  $R-S$  whose boundary meets  $A$  in a set not belonging to  $\Gamma$ . If we have (1) and

(2'')  $\left. \begin{array}{l} p \\ p^* \end{array} \right\}$  is the number of components of  $R-S$  whose boundary meets  $A$  in a set  $\left\{ \begin{array}{l} \text{not belonging} \\ \text{belonging} \end{array} \right\}$  to the family  $\Gamma$ ,

then we have again (3) and (4).

It is easy to describe the most general 1-pseudomanifold  $R$ . If  $S$  consists of two points, then the modulus  $\mathcal{M}$  evidently has rank  $g = 1$ . But if  $R-S$  has  $p$  components, it follows from theorem III that  $g = m(p-1)$ . Therefore  $m = 1$ , as was announced above, and  $p = 2$ . It follows that  $R$  has the property that any two points decompose it in precisely two parts. Therefore, as is well known,  $R$  is the sum of two simply ordered continua having only the terminal points in common. If  $R$  is separable, it is a circle.

I shall finish with a very quick summary of further results.

If  $\{\phi_i\}$  is an arbitrary collection (finite, countable or uncountable) of subfamilies of  $\psi$ , then  $\mathcal{M}(\prod \phi_i) = \overline{\sum \mathcal{M}(\phi_i)}$  where  $\sum \mathcal{M}(\phi_i)$  is the minimum modulus containing all  $\mathcal{M}(\phi_i)$ . If the collection  $\{\phi_i\}$  is finite, then  $\overline{\sum \mathcal{M}(\phi_i)} = \sum \mathcal{M}(\phi_i)$ .

It is more difficult to describe  $\mathcal{M}(\sum \phi_i)$ . The result is that  $\mathcal{M}(\sum \phi_i)$  may be determined by means of the moduli  $\mathcal{M}(\phi_i)$  only if we know, for each couple  $(\iota, \kappa)$  whether  $\phi_\iota \phi_\kappa$  is or is not vacuous. In particular we have simply  $\mathcal{M}(\sum \phi_i) = \prod \mathcal{M}(\phi_i)$  if always  $\phi_\iota \phi_\kappa \neq 0$ .

My further remarks are here stated only for separable (= metri zable) pseudomanifolds. In theorem III we have  $p = \infty$  if and only if  $g = \infty$ . But we can obtain more precise statements. The simplest case is when  $p$  is "weakly infinite", i.e., for every  $\epsilon > 0$  there exists only a finite number of components

$P \in \phi_2 - \phi_1$  having diameter  $> \epsilon$ . The necessary and sufficient condition is that the rank of

$$\mathcal{M}(\phi_1) \text{ mod } \mathcal{M}(\phi_2)$$

be, too, "weakly infinite" in the following sense. Given an  $\epsilon > 0$ , the rank of

$$\mathcal{M}(\phi_1) \text{ mod } [\mathcal{M}(\phi_2) + \mathcal{N}_\epsilon]$$

is finite, where  $\mathcal{N}_\epsilon$  is the modulus generated by all  $\Gamma^{n-1} \in \mathcal{M}(\phi_1)$  such that  $\Gamma^{n-1} \subset B \subset S$ , the diameter of  $B$  being less than  $\epsilon$ .

Let us suppose that the family  $\Xi$  in the theorem IV has the following property: If  $A_n$  and  $A$  are closed subsets of  $S$  such that no  $A_n$  belongs to  $\Xi$ , and if  $\lim A_n = A$  (in Hausdorff's sense), then  $A$  does not belong to  $\Xi$ . Then (in the notations of theorem IV) we have  $\mathcal{N} = \bar{\mathcal{N}}$ , if and only if the following statement is true: If  $P_k \in \Psi - \phi$ ,  $A = \lim P_k$ , then  $A \in \Xi$ . The assumed property of  $\Xi$  is true in both cases I and II treated above as illustrations of theorem IV, <sub>but</sub> not necessarily in the above generalization of case II.