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A Marchaud type inequality

JORGE BUSTAMANTE

Abstract. We present a new Marchaud type inequality in \mathbb{L}^p spaces.

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1. Introduction

For $1 \leq p < \infty$, the Banach space \mathbb{L}^p consists of all 2π -periodic, p th power Lebesgue integrable (class of) functions f on \mathbb{R} with the norm

$$\|f\|_p = \left(\frac{1}{2\pi} \int_{-\pi}^{\pi} |f(x)|^p dx \right)^{1/p}.$$

We also set $C_{2\pi}$ for the family of all 2π -periodic continuous functions on \mathbb{R} with the norm

$$\|f\|_{\infty} = \sup_{x \in [-\pi, \pi]} |f(x)|.$$

Moreover

$$X^p = \begin{cases} \mathbb{L}^p, & \text{if } 1 \leq p < \infty, \\ C_{2\pi}, & \text{if } p = \infty. \end{cases}$$

Notice that X^{∞} is not the space of essentially bounded functions.

For $m \in \mathbb{N}$ and $1 \leq r \leq p \leq \infty$, $r \neq \infty$, set

$$(1) \quad W_{p,r}^m = \{f \in X^p: f = \varphi \text{ a.e. } \varphi, \varphi^{(1)}, \dots, \varphi^{(m-1)} \in AC, \varphi^{(m)} \in X^r\}.$$

In the case $p = r = \infty$, we set $W_{\infty, \infty}^m = C_{2\pi}^m$ (functions m -times continuously differentiable).

For $m \in \mathbb{N}$, $f \in X^p$, $1 \leq p \leq \infty$, and $t > 0$ the usual modulus of continuity (smoothness) of order m of f is defined by

$$(2) \quad \omega_m(f, t)_p = \sup_{|h| \leq t} \|\Delta_h^m f\|_p,$$

where

$$\Delta_h^m f(x) = \sum_{k=0}^m (-1)^k \binom{m}{k} f(x + kh).$$

The Marchaud inequality appeared for the first time in [7], but there are various extensions. Let us recall a few.

Theorem 1 (H. Johnen, [5, page 302]). *Assume $1 \leq p < \infty$. If $f \in \mathbb{L}^p$ and*

$$(3) \quad \int_0^1 \frac{\omega_{m+n}(f, u)_p}{u^{k+1}} du < \infty$$

for some $k \in \mathbb{N}$, $1 \leq k \leq m - 1$, then $f \in W_{p,p}^k$, and for $0 < t \leq 1$ and $n \in \mathbb{N}$,

$$(4) \quad \omega_m(f^{(k)}, t)_p \leq C_{r,m} \left(t^m \int_t^2 \frac{\omega_{m+n}(f, u)_p}{u^{m+k+1}} du + \int_0^t \frac{\omega_{m+n}(f, u)_p}{u^{k+1}} du \right).$$

Another kind of estimate was given by H. Johnen and K. Scherer in [6]. If $1 \leq k \leq m - 1$ and (3) holds, then $f \in W_{p,p}^k$ and

$$\omega_{m-k}(f^{(k)}, t)_p \leq C \int_0^t \frac{\omega_m(f, u)_p}{u^{k+1}} du, \quad f \in X^p.$$

A proof was also included in [3, pages 178–179].

Recall that if $1 \leq r < p \leq \infty$ and $f \in X^p$, then $f \in X^r$ and $\|f\|_r \leq \|f\|_p$. Therefore $\omega_m(f, t)_r \leq \omega_m(f, t)_p$.

In this note we show that a result similar to (4) holds, if we replace $\omega_m(f, u)_p$ by $\omega_m(f, u)_r$, with $1 \leq r < p$. In fact, we prove the following theorem:

Theorem 2. *Assume $1 \leq r < p < \infty$ and $m \in \mathbb{N}$, $m > 2$. There exists a constant C such that, if $f \in \mathbb{L}^p$, $1 \leq k < m - 1$, and*

$$(5) \quad \int_0^1 \frac{\omega_m(f, s)_r}{s^{1+k+1/r-1/p}} ds < \infty,$$

then $f \in W_{p,p}^k$ and for $0 < t \leq 1/2$,

$$(6) \quad \omega_{m-k}(f^{(k)}, t)_p \leq C \left(t^{m-1-k} \|f\|_r + \int_0^t \frac{\omega_m(f, s)_r}{s^{1+k+1/r-1/p}} ds + \frac{\omega_m(f, t)_r}{t^{1+k}} \right).$$

Notice that the case $k = m - 1$ is not included in Theorem 2. It is done because in such a case the term corresponding to $\|f\|_r$ does not go to zero when $t \rightarrow 0$. The result can be improved. As we show in Proposition 2, if we assume condition (5), then $f \in W_{r,r}^k$. A stronger property holds, but it requires to consider fractional derivatives and fractional moduli of smoothness (for definitions see [1]). In fact, it can be proved that under condition (5) there exists $\beta \in (0, 1)$ such that the

fractional derivative $D^{k+\beta} f$ exists a.e. This kind of problems goes beyond the scope of the paper.

2. Known results

In this section we recall some known facts.

The classes $W_{p,p}^m$ can be described in terms of strong derivatives. A function $f \in \mathbb{L}^p$ has a strong derivative, if there exists $g \in \mathbb{L}^p$ such that

$$\lim_{h \rightarrow 0^+} \left\| \frac{f(\cdot + h) - f(\cdot)}{h} - g \right\|_p = 0.$$

In such a case we denote $g = D_s^{(1)} f$. For $m \in \mathbb{N}$, the strong derivative is defined by $D_s^{(m)}(f) = D_s^{(1)}(D_s^{(m-1)} f)$. It is known that $f \in W_{p,p}^m$ if and only if f has a strong derivative $D_s^{(m)} f$, see [2, Theorem 10.1.12]. Moreover, if $f \in W_{p,p}^m$, then $D_s^{(m)} f = \varphi^{(m)}$, where φ is associated to f as in (1). In what follows we identify f and φ . Moreover, if $f \in W_{p,p}^m$, all strong derivatives of lower order exist, see [2, Theorem 10.1.6].

It is known that $D^m : W_{p,p}^m \rightarrow \mathbb{L}^p$, defined by $D^m f = D_s^{(m)} f$ is a closed linear operator, see [1, Lemma 2].

In the next result, for $1 \leq s < \infty$, $\mathbb{L}^s[a, b]$ denotes the usual Lebesgue space.

Theorem 3 (V. N. Gabushin, [4]). *Assume $p, q, r \geq 1$ are real numbers, $0 \leq k < m$, $k, n \in \mathbb{N}$, and*

$$(7) \quad \frac{m - k}{q} + \frac{k}{r} \geq \frac{m}{p}.$$

There exists a constant A such that, if $f \in \mathbb{L}^q[a, b]$ and $f^{(m)} \in \mathbb{L}^r[a, b]$, and $0 < \delta \leq (b - a)$, then

$$\delta^k \|D^k f\|_p \leq A(\delta^{1/p-1/q} \|f\|_q + \delta^{m+1/p-1/r} \|D^m f\|_r).$$

Proposition 1 (see [3, page 45]). *If $1 \leq p \leq \infty$, $f \in \mathbb{L}^p$, $m, n \in \mathbb{N}$ and $s > 0$, then*

$$(8) \quad \omega_m(f, ns)_p \leq n^m \omega_m(f, s)_p,$$

and

$$(9) \quad \omega_m(f, t)_p \leq 2 \omega_{m-1}(f, t)_p.$$

Theorem 4 (see [3, page 177]). *If $1 \leq r < \infty$ and $m \in \mathbb{N}$, there exist positive constants M_1 and M_2 such that for $f \in \mathbb{L}^r$ and $0 < t$*

$$(10) \quad M_1 \omega_m(f, t)_r \leq K_m(f, t)_r \leq M_2 \omega_m(f, t)_r,$$

where

$$K_m(f, t)_r = \inf_{g \in W_{p,p}^m} \{ \|f - g\|_r + t^m \|D^m g\|_r \}.$$

For $n \in \mathbb{N}_0$, let \mathbb{T}_n be the family of all trigonometric polynomials of degree not greater than n . For $f \in \mathbb{L}^r$ define

$$E_{n,r}(f) = \inf_{T \in \mathbb{T}_n} \|f - T\|_r.$$

3. Two results related with strong derivatives

Theorem 5. *Let r be a real number, $1 \leq r < \infty$, and $k, m \in \mathbb{N}$. If $f \in \mathbb{L}^r$ and*

$$(11) \quad \int_0^1 \frac{\omega_m(f, s)_r}{s^{1+k}} ds < \infty,$$

then $f \in W_{r,r}^j$ for every j , $0 \leq j \leq k$. Moreover if $\{g_n\}_{n \in \mathbb{N}}$ is a sequence satisfying $g_n \in W_{r,r}^m$ and

$$(12) \quad \|f - g_n\|_r + \frac{1}{n^m} \|D^m g_n\|_r \leq C \omega_m\left(f, \frac{1}{n}\right)_r, \quad n \in \mathbb{N},$$

with a constant C which depends not on f or n (whose existence is guaranteed by Theorem 4), then

$$(13) \quad (D^j f)(x) = (D^j g_n)(x) + \sum_{i=1}^{\infty} (D^j (g_{n2^i} - g_{n2^{i-1}}))(x) \quad \text{a.e.}$$

for each j , $0 \leq j \leq k$, and every $n \in \mathbb{N}$.

PROOF: Let $\{g_n\}_{n \in \mathbb{N}} \subset W_{r,r}^m$ be a sequence such that (12) holds.

Fix j , $0 \leq j \leq k$, and for $n, \nu \in \mathbb{N}$ set

$$G_n = \sum_{i=1}^{\infty} (g_{n2^i} - g_{n2^{i-1}}), \quad S_{\nu,n} = \sum_{i=1}^{\nu} (g_{n2^i} - g_{n2^{i-1}}),$$

and

$$H_{\nu,n,j} = \sum_{i=1}^{\nu} (D^j g_{n2^i} - D^j g_{n2^{i-1}}) = D^j (S_{\nu,n}).$$

If $j = 0$, it follows from (12) that

$$\lim_{\nu \rightarrow \infty} \|f - g_n - S_{\nu,n}\|_r = \lim_{\nu \rightarrow \infty} \|f - g_{n2^\nu}\|_r = 0.$$

Hence $f - g_n = G_n$ a.e. for each $n \in \mathbb{N}$. This proves (13) for $j = 0$.

In what follows we assume $1 \leq j \leq k$.

First, we prove that $H_{\nu,n,j}$ is a Cauchy sequence in \mathbb{L}^r . If $\nu, \sigma \in \mathbb{N}$, since $k < m$, from Theorem 3 (with $\delta = 1/(n2^i)$ and $p = q = r$) and (12), we obtain

$$\begin{aligned} \|H_{\nu+\sigma,n,j} - H_{\nu,n,j}\|_r &\leq \sum_{i=\nu+1}^{\nu+\sigma} \|D^j(g_{n2^i} - g_{n2^{i-1}})\|_r \\ &\leq A \sum_{i=\nu+1}^{\nu+\sigma} (n2^i)^j \|g_{n2^i} - g_{n2^{i-1}}\|_r + A \sum_{i=\nu+1}^{\nu+\sigma} \frac{1}{(n2^i)^{m-j}} \|D^m(g_{n2^i} - g_{n2^{i-1}})\|_r \\ &\leq A \sum_{i=\nu+1}^{\nu+\sigma} (n2^i)^j (\|g_{n2^i} - f\|_r + \|g_{n2^{i-1}} - f\|_r) \\ &\quad + AC \sum_{i=\nu+1}^{\nu+\sigma} \frac{(n2^i)^m}{(n2^i)^{m-j}} \omega_m\left(f, \frac{1}{n2^i}\right)_r \\ &\quad + AC \sum_{i=\nu+1}^{\nu+\sigma} \frac{(n2^i)^m}{(n2^i)^{m-j}} \frac{1}{2^m} \omega_m\left(f, \frac{1}{n2^{i-1}}\right)_r \\ &\leq 2AC \sum_{i=\nu}^{\nu+\sigma} (n2^{i+1})^j \omega_m\left(f, \frac{1}{n2^i}\right)_r + 2AC \sum_{i=\nu}^{\nu+\sigma} (n2^i)^j \omega_m\left(f, \frac{1}{n2^i}\right)_r \end{aligned}$$

(here we have used (8) and it will be used again in the next inequality)

$$\begin{aligned} &\leq 2^{m+1}AC \sum_{i=\nu}^{\nu+\sigma} (n2^{i+1})^j \omega_m\left(f, \frac{1}{n2^{i+1}}\right)_r + 2AC \sum_{i=\nu}^{\nu+\sigma} (n2^i)^j \omega_m\left(f, \frac{1}{n2^i}\right)_r \\ &\leq C_1 \sum_{i=\nu}^{\nu+\sigma+1} (n2^i)^j \omega_m\left(f, \frac{1}{n2^i}\right)_r \leq C_2 \sum_{i=\nu}^{\nu+\sigma+1} \int_{1/n2^i}^{1/(n2^{i-1})} \frac{\omega_m(f, s)_r}{s^{j+1}} ds \\ &\leq C_2 \int_0^{1/(n2^\nu)} \frac{\omega_m(f, s)_r}{s^{j+1}} ds. \end{aligned}$$

Therefore, it follows from (11) that $\{H_{\nu,n,j}\}_{\nu=1}^\infty$ is a Cauchy sequence in \mathbb{L}^r . Thus there exists $g \in \mathbb{L}^r$ such that $\|H_{\nu,n,j} - g\|_r \rightarrow 0$, as $\nu \rightarrow \infty$.

Since $S_{\nu,n} \rightarrow f - g_n = G_n$, $H_{\nu,n}(f) = D^k(S_{\nu,n}) \rightarrow g$ in \mathbb{L}^r , as $\nu \rightarrow \infty$, and D^j is a closed linear operator, then $f - g_n = G_n \in W_{r,r}^j$ and $D^j(f - g_n) =$

$D^j f - D^j g_n = g$. Hence

$$D^j g_n + \sum_{i=1}^{\infty} (D^j g_{n2^i} - D^j g_{n2^{i-1}}) = g + D^j g_n = D^j f \quad \text{a.e.}$$

□

Proposition 2. *If $1 \leq r < p \leq \infty$, and $f \in \mathbb{L}^r$ satisfies (11) with $k \in \mathbb{N}$, then $f \in W_{r,r}^k$.*

PROOF: It is known, see [9, page 334], that if

$$\sum_{i=1}^{\infty} i^{k-1} E_{i,r}(f) < \infty,$$

then f is equivalent to a function $g \in X_{r,r}^k$. On the other hand, there exists a constant C such that for each $f \in \mathbb{L}^r$, see [9, page 325],

$$(14) \quad E_{n,r}(f) \leq C \omega_m \left(f, \frac{1}{n+1} \right)_r.$$

Hence

$$\begin{aligned} \sum_{i=1}^{\infty} i^{k-1} E_{i,r}(f) &\leq C_1 \sum_{i=1}^{\infty} i^{k-1} \omega_m \left(f, \frac{1}{i+1} \right)_r \leq C_1 \sum_{i=1}^{\infty} \int_i^{i+1} s^{k-1} \omega_m \left(f, \frac{1}{s} \right)_r ds \\ &= C_1 \int_1^{\infty} s^{k-1} \omega_m \left(f, \frac{1}{s} \right)_r ds = C_1 \int_0^1 \frac{\omega_m(f,t)_r}{t^{k+1}} dt < \infty. \end{aligned}$$

□

4. Proof of Theorem 2

Given $t \in (0, 1/2]$, choose $n \in \mathbb{N}$ such that

$$\frac{1}{1+n} < t \leq \frac{1}{n} < 2\pi.$$

Set $\tau(j) = (1+n)2^j$ and $\lambda_j = 1/\tau(j)$. If $f \in \mathbb{L}^p$, taking into account (10) for each $j \in \mathbb{N}_0$ we can fix $h_j \in W_{r,r}^m$ such that

$$(15) \quad \|f - h_j\|_r + \lambda_j^m \|D^m h_j\|_r \leq 2M_2 \omega_m(f, \lambda_j)_r.$$

Step 1: From Proposition 2 we know that $f \in W_{r,r}^k$. Hence there exists φ such that $f = \varphi$ a.e., $\varphi, \varphi^{(1)}, \dots, \varphi^{(m-1)} \in AC$, and $\varphi^{(m)} \in \mathbb{L}^r$. Thus we only need to

find $G \in \mathbb{L}^p$, such that

$$(16) \quad \varphi^{(m)} = G \quad \text{a.e.}$$

Of course, we can assume that $f = \varphi$.

The conditions $h_j \in W_{r,r}^m$ and $0 \leq k < m$ imply $D^k h_j \in \mathbb{L}^p$. In fact $D^k h_j$ is an (absolutely) continuous function.

Taking into account that $h_i \rightarrow f$ in the norm of \mathbb{L}^r , see (15),

$$(17) \quad f = h_0 + \sum_{i=0}^{\infty} (h_{i+1} - h_i) \quad \text{a.e.}$$

Since $(D^k h_{i+1} - D^k h_i) \in \mathbb{L}^p$ for each $i \in \mathbb{N}$, if

$$(18) \quad \sum_{i=0}^{\infty} \|D^k h_{i+1} - D^k h_i\|_p < \infty$$

then the series

$$(19) \quad D^k h_0 + \sum_{i=0}^{\infty} (D^k h_{i+1} - D^k h_i)$$

converges in \mathbb{L}^p , see [8, page 109].

Let us verify that (18) holds. In order to simplify the notations we set $\alpha = 1/r - 1/p$.

In the case $q = r < p$, condition (7) holds. Since $\lambda_i^k < 2\pi$, Theorem 3 can be used (with $\delta = \lambda_i$, recall that we consider $q = r$) and it follows from (15) that

$$\begin{aligned} \lambda_i^k \|D^k h_{i+1} - D^k h_i\|_p &\leq A(\lambda_i^{-\alpha} \|h_{i+1} - h_i\|_r + \lambda_i^{m-\alpha} \|D^m h_{i+1} - D^m h_i\|_r) \\ &\leq A\lambda_i^{-\alpha} (\|h_{i+1} - f\|_r + \|f - h_i\|_r + \lambda_i^m \|D^m h_i\|_r + 2^m \lambda_{i+1}^m \|D^m h_{i+1}\|_r) \\ &\leq 2M_2 A \lambda_j^{-\alpha} (\omega_m(f, \lambda_i)_r + 2^m \omega_m(f, \lambda_{i+1})_r) \\ &\leq 2AM_2 (1 + 2^m) \lambda_i^{-\alpha} \omega_m(f, \lambda_i)_r. \end{aligned}$$

Note that, since $\lambda_i = 2\lambda_{i+1}$,

$$1 \leq 2 \int_{\lambda_{i+1}}^{\lambda_i} \frac{ds}{s}.$$

Therefore, using (8), one has (recall that $k + \alpha > 0$)

$$\begin{aligned} \sum_{j=0}^{\infty} \|D^k h_{j+1} - D^k h_j\|_p &\leq 2(1 + 2^m) AM_2 \sum_{i=0}^{\infty} \lambda_i^{-\alpha} \frac{\omega_m(f, \lambda_i)_r}{\lambda_i^k} \\ &\leq 4(1 + 2^m) AM_2 \sum_{i=0}^{\infty} \frac{2^m \omega_m(f, \lambda_{i+1})_r}{\lambda_i^{k+\alpha}} \int_{\lambda_{i+1}}^{\lambda_i} \frac{ds}{s} \end{aligned}$$

$$\begin{aligned}
 &\leq 4(1 + 2^m) A M_2 2^m \sum_{i=0}^{\infty} \int_{\lambda_{i+1}}^{\lambda_i} \frac{\omega_m(f, s)_r}{s^{1+k+\alpha}} ds \\
 (20) \quad &= 4(1 + 2^m) A M_2 2^m \int_0^{\lambda_0} \frac{\omega_m(f, s)_r}{s^{1+k+\alpha}} ds \\
 &\leq 4(1 + 2^m) A M_2 2^m \int_0^t \frac{\omega_m(f, s)_r}{s^{1+k+1/r-1/p}} ds < \infty,
 \end{aligned}$$

where we use condition (5). Thus the series (19) converges in \mathbb{L}^p . The limit of the partial sums of the series (19) will be denoted by M_k .

We will show that in (16) we can take $G = M_k$. Since h_0 can be chosen as g_{n+1} in (12) and h_i as $g_{(n+1)2^i}$, it follows from (13) that

$$M_k = D^k g_{n+1} + \sum_{i=0}^{\infty} D^k (g_{(n+1)2^{i+1}} - g_{(n+1)2^i}) = D^k f \quad \text{a.e.}$$

We have proved that $f \in W_{p,p}^k$.

Step 2: We will need an estimate of $\|D^{m-1}h_0\|_p$ in terms of $\|f\|_r$ and $\omega_m(f, t)_r$. Take into account that

$$\frac{1}{\lambda_0} = 1 + n \leq 2n \leq \frac{2}{t}.$$

We use again Theorem 3 (with $\delta = 1$) and (15) to obtain

$$\begin{aligned}
 \|D^{m-1}h_0\|_p &\leq A(\|h_0\|_r + \|h_0^{(m)}\|_r) \leq A(\|f\|_r + \|f - h_0\|_r + \|D^m h_0\|_r) \\
 (21) \quad &\leq A\left(\|f\|_r + 2M_2\omega_m(f, \lambda_0)_r + \frac{1}{\lambda_0^m} 2M_2\omega_m(f, \lambda_0)_r\right) \\
 &\leq A\left(\|f\|_r + 2M_2\frac{\omega_m(f, t)_r}{t^m} + 2\frac{2^m}{t^m} M_2\omega_m(f, t)_r\right) \\
 &\leq A\left(\|f\|_r + 2M_2(1 + 2^m)\frac{\omega_m(f, t)_r}{t^m}\right).
 \end{aligned}$$

Step 3: Let us verify (6). From (8), (9) and (10), we know that

$$\begin{aligned}
 \omega_{m-k}(D^k f, t)_p &\leq 2^{m-k}\omega_{m-k}\left(D^k f, \frac{1}{1+n}\right)_p \leq 2^{1+m-k}\omega_{m-1-k}\left(D^k f, \frac{1}{1+n}\right)_p \\
 (22) \quad &\leq \frac{2^{1+m-k}}{M_1}\left(\|D^k f - D^k h_0\|_p + \frac{1}{(1+n)^{m-1-k}}\|D^{m-1}h_0\|_p\right) \\
 &\leq \frac{2^{1+m-k}}{M_1}\left(\|D^k f - D^k h_0\|_p + t^{m-1-k}\|D^{m-1}h_0\|_p\right).
 \end{aligned}$$

From (22), (17), (20), and (15) we obtain

$$\begin{aligned}\omega_{m-k}(D^k f, t)_p &\leq C_2 \left(\sum_{j=0}^{\infty} \|D^k h_{j+1} - D^k h_j\|_p + t^{m-1-k} \|D^{m-1} h_0\|_p \right) \\ &\leq C_2 \left(\int_0^t \frac{\omega_m(f, s)_r}{s^{1+k+\alpha}} ds + t^{m-1-k} \|f\|_r + \frac{\omega_m(f, t)_r}{t^{1+k}} \right).\end{aligned}$$

□

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