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ON EXTREMAL SIZES OF LOCALLY  $k$ -TREE GRAPHSMIECZYSLAW BOROWIECKI, Zielona Góra, PIOTR BOROWIECKI, Gdańsk,  
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*Abstract.* A graph  $G$  is a *locally  $k$ -tree graph* if for any vertex  $v$  the subgraph induced by the neighbours of  $v$  is a  $k$ -tree,  $k \geq 0$ , where 0-tree is an edgeless graph, 1-tree is a tree. We characterize the minimum-size locally  $k$ -trees with  $n$  vertices. The minimum-size connected locally  $k$ -trees are simply  $(k + 1)$ -trees. For  $k \geq 1$ , we construct locally  $k$ -trees which are maximal with respect to the spanning subgraph relation. Consequently, the number of edges in an  $n$ -vertex locally  $k$ -tree graph is between  $\Omega(n)$  and  $O(n^2)$ , where both bounds are asymptotically tight. In contrast, the number of edges in an  $n$ -vertex  $k$ -tree is always linear in  $n$ .

*Keywords:* extremal problems, local property, locally tree,  $k$ -tree

*MSC 2010:* 05C35

## 1. INTRODUCTION

The graphs  $G = (V, E)$  considered in this paper are finite and simple, i.e., undirected, loopless and without multiple edges. Let  $\mathcal{P}$  be a family of graphs. A graph  $G$  is said to satisfy *local property*  $\mathcal{P}$  if for all  $v \in V(G)$  we have  $G[N(v)] \in \mathcal{P}$ , where  $N(v)$  denotes the neighbourhood of  $v$  and  $G[S]$  stands for the subgraph induced by  $S \subseteq V(G)$ .

The graphs with local property  $\mathcal{P}$  for  $|\mathcal{P}| = 1$  have been studied by many authors. The study has been inspired by the Trahtenbrot-Zykov problem [23] whether, given a graph  $H$ , there exists a graph  $G$  which is locally constant, namely locally  $H$ . Summaries of the results of this type can be found in the survey papers by Hell [10] and Sedláček [19]. The major question is then the existence of any (or just finite) local realization  $G$  of  $H$ , see [4] for the nonexistence and [2], [3] for the existence of algorithms. The set of forbidden subgraphs of a graph with a local hereditary property  $\mathcal{P}$  has been described by Borowiecki and Mihók [1]. Interesting extremal

problems arise in case  $\mathcal{P}$  is infinite. Erdős and Simonovits [6] found the maximum number of edges in a locally acyclic graph. Ryjáček and Zelinka [17] constructed locally disconnected graphs with a large number of edges while Fronček [7] found an upper bound for the number of edges of a locally linear graph (i.e., for all  $v \in V(G)$ ,  $G[N(v)]$  is a regular graph of degree 1), and a locally path graph [8] (i.e., for all  $v \in V(G)$ ,  $G[N(v)]$  is a path). Zelinka [22] studied locally tree graphs, i.e., the graphs in which the subgraph induced by  $N(v)$  is a tree for all  $v \in V(G)$ . He proved that the minimum number of edges in a connected locally tree graph with  $n$  vertices is  $2n - 3$  and posed the problem of determining the maximum. The problem was addressed by Kowalska [12]. She proved that  $|E(G)| \leq \frac{1}{2}(n^2) - \frac{1}{2}(5n) + 7$  holds for any locally tree graph  $G$  with  $n$  vertices.

Sedláček [18] introduced an  $N_2$ -local property. The edge-induced subgraph on the set of all edges of a graph  $G$  that are adjacent to a given vertex  $x$  is denoted by  $N_2(x, G)$ . A graph  $G$  has an  $N_2$ -local property  $\mathcal{P}$  if the subgraph  $N_2(x, G)$  has property  $\mathcal{P}$  for every vertex  $x \in V(G)$ . The maximum size among planar  $N_2$ -locally disconnected graphs of given order was found in [16]. Also the concept of edge-local properties was studied, e.g., in [21], [13]. A graph  $G$  is said to satisfy an *edge-local property*  $\mathcal{P}$  if for any edge  $e = xy$  the subgraph induced by all vertices adjacent to at least one vertex  $x, y$  but different from them has property  $\mathcal{P}$ . An upper bound for the number of edges of edge-locally acyclic graphs was proven by Fronček [16].

In this paper we deal with infinitely many local infinite properties, namely, these are locally  $k$ -tree graphs,  $k = 0, 1, \dots$ . We need to define  $k$ -trees and a specific ordering of their vertices for  $k > 0$  only (because 0-trees are just edgeless graphs). To start with, let  $\{v_1, \dots, v_n\}$  be the vertex set of a graph  $G$  and let  $G_i$  denote the subgraph of  $G$  induced by vertices  $\{v_1, \dots, v_i\}$ ,  $i \geq 1$ . For each  $v_j \in V(G_i)$ ,  $N_i(v_j)$  and  $d_i(v_j)$  denote the neighbourhood and the degree of  $v_j$  in  $G_i$ , respectively. Any subgraph of  $G$  which is isomorphic to  $K_k$  (a complete graph with  $k$  vertices) is called a *k-clique*. Assuming  $1 \leq k \leq n$ , the ordering  $(v_1, \dots, v_n)$  of  $V(G)$  is the *k-perfect elimination ordering* ( $k$ -PEO for brevity) if vertices  $\{v_1, \dots, v_k\}$  induce a  $k$ -clique and for each  $i > k$  the set  $N_i(v_i)$  also induces a  $k$ -clique. Finally, the graph  $G$  is a *k-tree* with  $k \geq 1$  if it has a  $k$ -perfect elimination ordering while, for  $k \geq 0$ , it is a *locally k-tree graph* if for any vertex  $v$  the subgraph induced by  $N(v)$  is a  $k$ -tree.

In order to generate a PEO of a graph, Rose et al. [15] developed a method called Lexicographic Breadth First Search that has been also used in the recognition of  $k$ -trees [11].

**Theorem 1** ([15]). *The complexity of a  $k$ -tree recognition is  $O(kn)$ , where  $n = |V(G)|$ .*

**Theorem 2.** *The complexity of a locally  $k$ -tree graph recognition is  $O(km)$ , where  $m = |E(G)|$ .*

**Proof.** Let  $G$  be a locally  $k$ -tree graph. It is enough to test whether or not  $G[N(v)]$  is a  $k$ -tree for any  $v \in V(G)$ . Hence  $\sum_{v \in V(G)} O(kd(v)) = O(km)$ , and the theorem follows.  $\square$

In Section 2 we give basic properties of  $k$ -trees. We next prove that each  $(k + 1)$ -tree is a locally  $k$ -tree graph. We conversely prove that each connected locally  $k$ -tree graph contains a  $(k + 1)$ -tree as a spanning subgraph. Hence a locally  $k$ -tree graph has at least  $k + 1$  vertices. We characterize minimum-size locally  $k$ -tree graphs on  $n$  vertices. Namely, these are  $(k + 1)$ -forests with exactly  $\lfloor n/(k + 1) \rfloor$  components. The smallest size among locally  $k$ -tree graphs on  $n$  vertices is determined and is linear in  $n$ . In fact, the smallest size is asymptotic to  $(k + 1)n$  if graphs are connected, and asymptotic to  $nk/2$  otherwise.

In Section 3 we give some properties and constructions of locally  $k$ -tree graphs. Section 4 is devoted to the construction of maximal locally  $k$ -tree graphs.

For brevity, we will omit definitions of standard notions of graph theory we use here. For these and other related concepts we refer the reader to [5].

## 2. THE MINIMUM SIZE OF LOCALLY $k$ -TREE GRAPHS

To determine the minimum size of locally  $k$ -tree graphs we need some well-known properties of  $k$ -trees.

**Lemma 1.** *If  $G$  is a  $k$ -tree, then  $|E(G)| = \binom{k}{2} + (|V(G)| - k)k$  and, for any subgraph  $H$  of  $G$  with order at least  $k$ , we have  $|E(H)| \leq \binom{k}{2} + (|V(H)| - k)k$ .*

**Theorem 3.** *Let  $G$  be a  $k$ -tree and let  $\{v_1, \dots, v_k\} \subseteq V(G)$  be a set of vertices which induces  $K_k$  in  $G$ . Then the ordering  $(v_1, \dots, v_k)$  can be extended to a  $k$ -PEO of  $G$ .*

We extend Theorem 3 in the following way.

**Theorem 4.** *Let  $G$  be a  $k$ -tree and let  $H$  be a subgraph of  $G$  which also is a  $k$ -tree. Then each  $k$ -PEO of  $H$  can be extended to a  $k$ -PEO of  $G$ .*

**Proof.** Suppose that this is not the case. Let  $H$  be a subgraph of  $G$  with the maximum number of vertices which is a  $k$ -tree and such that there is a  $k$ -PEO of  $H$  which cannot be extended to a  $k$ -PEO of  $G$ . Let  $(v_1, \dots, v_n)$  be a  $k$ -PEO of  $G$  and

let  $(v_{i_1}, \dots, v_{i_t})$  be an ordered subset of  $\{v_1, \dots, v_n\}$  which contains all vertices of  $H$ . Obviously  $t < n$ .

Let us first prove that  $(v_{i_1}, \dots, v_{i_t})$  is a  $k$ -PEO of  $H$ . Since  $H$  is a  $k$ -tree with  $t$  vertices, we have  $|E(H)| = \binom{k}{2} + k(t - k)$ . On the other hand,  $|E(H)| \leq |E(G[\{v_{i_1}, \dots, v_{i_k}\}])| + \sum_{j=k+1}^t d_{i_j}(v_{i_j})$ . Since  $|E(G[\{v_{i_1}, \dots, v_{i_k}\}])| \leq \binom{k}{2}$  and  $\sum_{j=k+1}^t d_{i_j}(v_{i_j}) = k(t - k)$ , we conclude that the vertices  $\{v_{i_1}, \dots, v_{i_k}\}$  induce  $K_k$  and for  $j = k + 1, \dots, t$  the vertices  $N(v_{i_j})$  also induce  $K_k$ . Hence the ordering  $(v_{i_1}, \dots, v_{i_t})$  is a  $k$ -PEO of  $H$ .

If there exists a vertex  $v_j$ ,  $j > i_t$ , such that  $N_j(v_j) \subseteq V(H)$  then the ordering  $(v_{i_1}, \dots, v_{i_t}, v_j)$  is a  $k$ -PEO and so  $G[V(H) \cup \{v_j\}]$  is a  $k$ -tree with more vertices than  $H$ , a contradiction. If such a vertex does not exist then  $v_{i_1} \neq v_1$  (note that  $G \neq H$ ). Then the vertices  $v_{i_1}, \dots, v_{i_k}$  have a common neighbour  $v_p$ ,  $p < i_1$ . Hence  $d_H(v_p) = k$  and  $G[V(H) \cup \{v_p\}]$  is a  $k$ -tree, a contradiction.  $\square$

**Lemma 2.** *If a graph  $G$  is a  $(k + 1)$ -tree, then  $G$  is locally  $k$ -tree.*

**Proof.** Let  $(v_1, \dots, v_n)$  be a  $(k + 1)$ -PEO of a graph  $G$  and let  $v_j$  be a vertex of  $G$ . Let  $(v_{i_1}, \dots, v_{i_t})$  be an ordered subset of  $\{v_1, \dots, v_n\}$  which contains all vertices of  $N(v_j)$ . Since  $(v_1, \dots, v_n)$  is a  $(k + 1)$ -PEO, it follows that the vertices  $\{v_{i_1}, \dots, v_{i_{k+1}}\}$  induce a clique and  $i_{k+1} < j \leq i_{k+2}$ . Moreover,  $N_{i_p}(v_{i_p}) \subseteq N(v_j)$  for  $p \geq k + 2$ . This implies that  $(v_{i_1}, \dots, v_{i_t})$  is a  $k$ -PEO of the graph induced by  $N(v_j)$ .  $\square$

**Theorem 5.** *If a connected graph  $G$  is locally  $k$ -tree, then for any subgraph  $T$  of  $G$  which is a  $(k + 1)$ -tree there is a spanning subgraph  $H$  of  $G$  which is a  $(k + 1)$ -tree and contains  $T$ .*

**Proof.** Let  $H \subseteq G$  be a  $(k + 1)$ -tree which has the maximum order and contains  $T$ . Suppose that  $V(H) \neq V(G)$ . Since  $G$  is connected, there exists a vertex of  $H$  adjacent to a vertex of  $G - H$ . Let  $x$  be the first such vertex in a  $(k + 1)$ -PEO of  $H$ . From Theorem 4 it follows that any  $k$ -PEO of the subgraph induced by  $N(x) \cap V(H)$  can be extended to a  $k$ -PEO of the subgraph induced by  $N(x)$ . Let  $(v_1, \dots, v_t)$  be a  $k$ -PEO of  $G[N(x) \cap V(H)]$  and let  $y$  be the first vertex of the  $k$ -PEO of  $G[N(x)]$  which is not in  $H$ . Then  $(v_1, \dots, v_t, y)$  is also a  $k$ -PEO. Moreover, since  $xy \in E(G)$ , the subgraph induced by  $N(y) \cap V(H)$  is a  $(k + 1)$ -clique. Thus  $G[V(H) \cup \{y\}]$  is a  $(k + 1)$ -tree containing  $T$ , which contradicts the maximality of  $H$ .  $\square$

**Corollary 1.** *If a connected graph  $G$  is locally  $k$ -tree, then  $G$  contains a spanning  $(k + 1)$ -tree.*

PROOF. It is easy to see that for any vertex  $x \in V(G)$  there is a  $k$ -clique in  $G[N[x]]$ . Let  $K$  be a  $(k + 1)$ -clique in  $G$ . Then by Theorem 5,  $K$  can be extended to a spanning subgraph of  $G$  which is a  $(k + 1)$ -tree.  $\square$

**Corollary 2.** *If  $G$  is a connected locally  $k$ -tree graph of order  $n$  and smallest size, then  $|E(G)| = n(k + 1) - \binom{k+2}{2}$ .*

If  $G$  is not connected and is a locally 0-tree graph of order  $n$  with the minimum number of edges, then  $G$  is isomorphic to  $\overline{K}_n$ . More generally, a locally  $k$ -tree graph of order  $n$  with the minimum number of edges is a  $(k + 1)$ -forest, whence  $n \geq k + 1$ .

**Theorem 6.** *Minimum-size locally  $k$ -tree graphs on  $n$  vertices are precisely the  $(k + 1)$ -forests with  $\lfloor n/(k + 1) \rfloor$  components (each of which is a  $(k + 1)$ -tree).*

PROOF. Let  $G_n$  be a minimum-size locally  $k$ -tree graph on  $n$  vertices and let  $n = (k + 1)p + r$  where  $p = \lfloor n/(k + 1) \rfloor \geq 1$  and  $r = n \bmod (k + 1)$  (whence  $0 \leq r \leq k$ ). If  $r = 0$  then clearly  $G_n = pK_{k+1}$ . Similarly, for any  $r$ ,  $p$  is the number of components of  $G_n$ . Hence, for  $r > 0$ , given any  $G_{n-1}$ , adding a new vertex, say  $v$ , together with  $k + 1$  edges which join  $v$  to a  $(k + 1)$ -clique of  $G_{n-1}$  gives a  $G_n$ . Moreover, each  $G_n$  with  $r > 0$  can thus be obtained, which completes the proof.  $\square$

**Corollary 3.** *Let  $n = (k + 1)p + r$  where  $r = n \bmod (k + 1)$ . A minimum-size locally  $k$ -tree graph  $G_n$  on  $n$  vertices has  $nk/2 + (k + 2)r/2$  edges, which is asymptotically  $nk/2$  as  $n \rightarrow \infty$ .*

PROOF. From the proof of Theorem 6 it follows that  $|E(G_n)| = p\binom{k+1}{2} + (k + 1)r$  where  $p = (n - r)/(k + 1)$ . Therefore  $|E(G_n)| = nk/2 + (k + 2)r/2$  with  $r = n - (k + 1)\lfloor n/(k + 1) \rfloor \leq k$ , whence the result follows.  $\square$

### 3. PROPERTIES AND CONSTRUCTIONS OF LOCALLY $k$ -TREE GRAPHS

The union of two vertex-disjoint graphs  $G$  and  $H$  is a graph  $G \cup H$  such that  $V(G \cup H) = V(G) \cup V(H)$  and  $E(G \cup H) = E(G) \cup E(H)$ . If  $A \subseteq E(\overline{G})$ , then by  $G + A$  we denote a graph with  $V(G + A) = V(G)$  and  $E(G + A) = E(G) \cup A$ .

#### Construction 1: $k$ -join

Let  $H$  and  $H'$  be two vertex-disjoint copies of  $K_{k+1}$  and let  $V(H) = \{v_1, \dots, v_{k+1}\}$ ,  $V(H') = \{v'_1, \dots, v'_{k+1}\}$ . Then a  $k$ -join of  $H$  and  $H'$ , denoted by  $H \oplus H'$ , is defined

as follows:

$$H \oplus H' = (H \cup H') + E',$$

where  $E' = \{v_i v'_j : i = 1, 2, \dots, k + 1, j = i, i + 1, \dots, k + 1\}$ .

New edges, which form the set  $E'$ , are called *the joining edges* of the  $k$ -join.



Figure 1. 1-join:  $H \oplus H'$  and  $H' \oplus H$ .

The graphs  $H \oplus H'$  and  $H' \oplus H$  are isomorphic, but as labeled graphs they are different. The importance of this fact will be seen in the next construction, where we use special graphs to obtain non-isomorphic locally  $k$ -tree graphs.

### Construction 2: $k$ -join substitution

A  $k$ -join substitution of a graph  $G$  is a graph  $G'$  that we obtain by replacing each vertex of  $G$  by a  $(k + 1)$ -clique  $K^v$ , and by adding edges between the cliques  $K^v$  and  $K^w$  for each edge  $vw$  of  $G$  such that the subgraph of  $G'$  induced on the vertices of the two cliques  $K^v, K^w$  is a  $k$ -join of  $K^v$  and  $K^w$ .

**Remark 1.** If  $G'$  is a result of a  $k$ -join substitution of  $G$ , then  $G'$  has exactly  $(k + 1)|V(G)|$  vertices and exactly  $\binom{k+2}{2}|E(G)|$  edges.

**Remark 2.** If graphs  $H_1$  and  $H_2$  are obtained from  $G$  by the  $k$ -join substitution, they need not be isomorphic. The result of the  $k$ -join substitution depends on the labels (the order) of vertices of  $(k + 1)$ -cliques and the order in which the  $k$ -join is performed on “adjacent”  $(k + 1)$ -cliques.

By  $K_{k+1} \overset{\oplus}{\rightarrow} G$  we will denote the set of graphs which can be obtained from  $G$  by a  $k$ -join substitution.

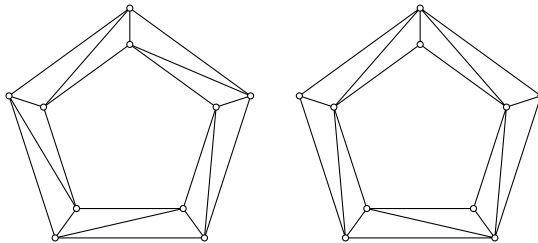


Figure 2. Two non-isomorphic graphs in  $K_2 \overset{\oplus}{\rightarrow} C_5$ .

**Theorem 7.** *If  $G$  is a  $K_3$ -free graph, then each graph in  $K_{k+1} \overset{\oplus}{\rightarrow} G$  is locally  $k$ -tree.*

**Proof.** Let  $H$  be a graph obtained from  $G$  by a  $k$ -join substitution and let  $v' \in V(H)$ . Let  $K^v$  be a  $(k+1)$ -clique which contains the vertex  $v'$  and which replaces the vertex  $v$  of  $G$ . Since  $G$  is  $K_3$ -free, the set  $N_G(v) = \{u^1, \dots, u^t\}$  is independent. Let  $K^{u^i}$  be a  $(k+1)$ -clique which replaces the vertex  $u^i$ . We will show that the graph  $F$  induced by vertices  $V(K^v) \cup V(K^{u^1}) \cup \dots \cup V(K^{u^t})$  is a  $(k+1)$ -tree. Let  $\{u_1^i, \dots, u_{k+1}^i\}$  be the vertex set of  $K^{u^i}$ ,  $i = 1, \dots, t$ , which is ordered so that  $|N(u_j^i) \cap V(K^v)| = k+2-j$ ,  $j = 1, \dots, k+1$ . Then  $(v_1, \dots, v_{k+1}, u_1^1, \dots, u_{k+1}^1, u_1^2, \dots, u_{k+1}^2, \dots, u_1^t, \dots, u_{k+1}^t)$  is a  $(k+1)$ -PEO, where  $(v_1, \dots, v_{k+1})$  is an appropriate PEO of  $K^v$ . Hence  $F$  is a  $(k+1)$ -tree and since  $N_H(v') = N_F(v')$  we obtain that the graph induced by  $N_H(v')$  is a  $k$ -tree.  $\square$

If  $H \in K_{k+1} \overset{\oplus}{\rightarrow} G$ , then  $|V(H)| = (k+1)|V(G)|$ . To obtain a locally  $k$ -tree with  $n$  vertices (for arbitrary  $n$ ) we can use the next lemma.

**Lemma 3.** *Let  $G$  be a locally  $k$ -tree graph and  $H$  a  $(k+1)$ -clique of  $G$ . If we join a new vertex  $v$  with all vertices of  $H$ , then the resulting graph is also locally  $k$ -tree.*

A subgraph  $H \subseteq G$  is called a  $K_t$ -factor of  $G$  if  $H$  is a spanning subgraph of  $G$ , and  $H$  is the union of vertex-disjoint  $t$ -cliques. By  $\mathcal{L}_F(k)$  we denote the set of locally  $k$ -tree graphs which contain a  $K_{k+1}$ -factor.

**Lemma 4.** *Let  $G$  and  $F$  be disjoint  $k$ -trees. Let  $G'$  and  $F'$  be  $k$ -cliques in  $G$  and  $F$ , respectively. Let  $H$  be a graph obtained from the graphs  $G$  and  $F$  by bijectively identifying vertices of  $G'$  with those of  $F'$  and leaving the remaining vertices unchanged. Then  $H$  is a  $k$ -tree.*

**Proof.** Let  $V(G') = \{v_1, \dots, v_k\}$  and  $V(F') = \{w_1, \dots, w_k\}$ , where the notation is chosen such that  $v_i$  and  $w_i$  are identified into a vertex, say  $v_i$ , of  $H$ . Assume that the remaining vertices are denoted so that  $(v_1, v_2, \dots, v_{|V(G)|})$  and  $(w_1, w_2, \dots, w_{|V(F)|})$  are  $k$ -PEO's of  $G$  and  $F$ , respectively (by Theorem 3 such  $k$ -PEO's exist). Then the ordering  $(v_1, v_2, \dots, v_{|V(G)|}, w_{k+1}, \dots, w_{|V(F)|})$  is a  $k$ -PEO of  $H$ . Hence  $H$  is a  $k$ -tree.  $\square$

**Theorem 8.** *Let  $F$  be a locally  $k$ -tree graph and let  $F'$  be an induced subgraph of  $F$  such that*

- (1)  $F'$  is the union of  $(k+1)$ -cliques, and
- (2) there exists a locally  $k$ -tree graph  $G$  such that  $F'$  is a spanning subgraph of  $G$ , and such that for each edge  $uv \in E(G) \setminus E(F')$ , the distance  $d_F(u, v)$  of  $u$  and  $v$  in  $F$  is at least 3.



Then the graph obtained from  $F$  by adding the edges  $E(G) \setminus E(F')$  is also a locally  $k$ -tree graph.

**Proof.** Let  $F''$  be the graph obtained from  $F$  by adding the edges  $E(G) \setminus E(F')$ . Let  $S \subseteq V(F'')$  be the set of vertices which are incident to edges which were added to  $F''$ . Since all edges which we added connect vertices which are in the distance at least 3, it follows that for any vertex  $x \in V(F'') \setminus S$  the graphs induced by the neighbours of  $x$  in  $F''$  and in  $F$  are the same, i.e., it is a  $k$ -tree. For any vertex  $y \in S$  the graph induced by its neighbours is a gluing of two  $k$ -trees (i.e., by identification of vertices of  $k$ -cliques which was described in Lemma 4), so that the resulting graph is a  $k$ -tree.  $\square$

#### 4. CONSTRUCTION OF MAXIMAL LOCALLY $k$ -TREE GRAPHS

A locally  $k$ -tree graph is *maximal*, if it is not a spanning subgraph of another locally  $k$ -tree graph. In this section, we describe a construction of maximal locally  $k$ -tree graphs for  $k \geq 1$ .

Let  $G(a, b; k)$  denote a graph obtained from a complete bipartite graph  $K_{a,b}$  by the  $k$ -join substitution performed on  $(k+1)$ -cliques which replace the vertices of an independent set of order  $a$  and  $(k+1)$ -cliques replacing the vertices of an independent set of order  $b$ .

Recall that  $\mathcal{L}_F(k)$  is the set of locally  $k$ -tree graphs which contain a  $K_{k+1}$ -factor.

**Proposition 1.** *Let  $a, b, k$  be positive integers. Then  $G(a, b; k) \in \mathcal{L}_F(k)$ .*

**Proof.** By Theorem 7 each graph obtained from a  $K_3$ -free graph by the  $k$ -join substitution is locally  $k$ -tree. Then  $G(a, b; k)$  is a locally  $k$ -tree graph. It is easy to see that  $G(a, b; k)$  contains a  $K_{k+1}$ -factor, and hence,  $G(a, b; k) \in \mathcal{L}_F(k)$ .  $\square$

The graph  $G(a, b; k)$  has exactly  $(a+b)(k+1)$  vertices and exactly  $ab \binom{k+2}{2}$  edges. Then the number of edges of  $G(a, b; k)$  for a given number of vertices is maximized if  $a = b$ . In that case,  $G(a, a; k)$  is a graph on  $n = 2a(k+1)$  vertices and  $a^2 \binom{k+2}{2} = \frac{1}{8}n^2(k+2)/(k+1)$  edges. That is, we obtain the following bound.

**Corollary 4.** *If  $n$  is divisible by  $k+1$ , then a locally  $k$ -tree graph on  $n$  vertices with maximum number of edges has at least  $\frac{1}{8}n^2(k+2)/(k+1)$  edges.*

It turns out that we can do better. We describe a construction of a locally  $k$ -tree graph that works for all sufficiently large values of  $n$ , and using this graph we obtain an improved bound.

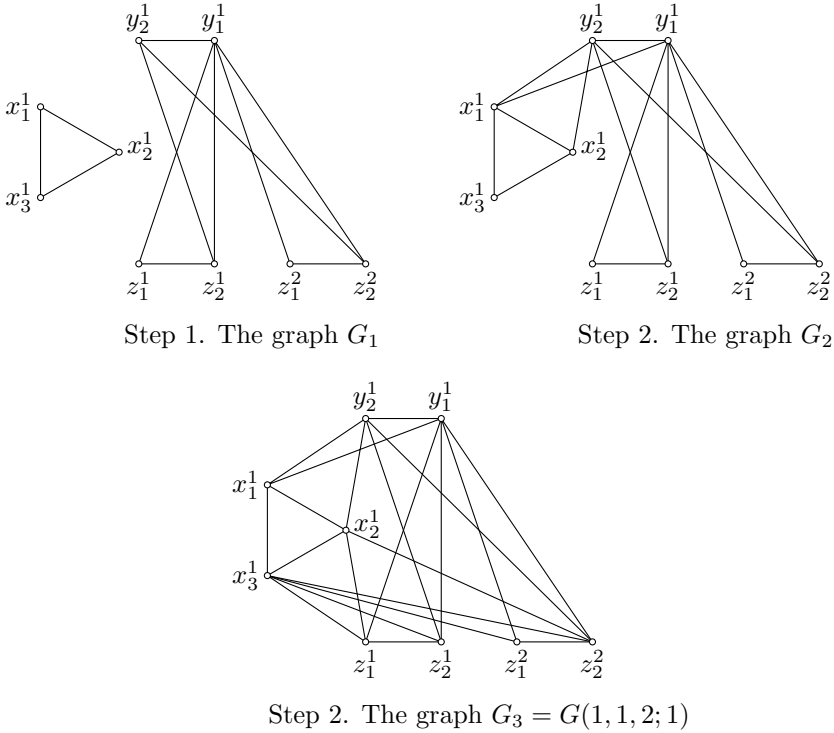


Figure 3. Construction of maximal locally  $k$ -tree graphs.

**Construction 3**

For positive integers  $k, p, r$  and  $t$ , let  $G(p, r, t; k)$  denote the graph obtained from graphs  $G_0, G_1, G_2$  and  $G_3$  defined as follows:

- (i) Let  $G_0$  denote the union of  $r+t$  cliques  $Y_1, \dots, Y_r, Z_1, \dots, Z_t$  of order  $(k+1)$ , and  $p$  cliques  $X_1, \dots, X_p$  of order  $(k+2)$  where the vertices of each  $X_i$  are labeled  $\{x_1^i, x_2^i, \dots, x_{k+2}^i\}$ , the vertices of each  $Y_i$  are labeled  $\{y_1^i, y_2^i, \dots, y_{k+1}^i\}$ , and the vertices of each  $Z_i$  are labeled  $\{z_1^i, z_2^i, \dots, z_{k+1}^i\}$ . Moreover, let  $X_i^Y$  denote the clique on the vertices  $\{x_1^i, \dots, x_{k+1}^i\}$ , and let  $X_i^Z$  denote the clique on the vertices  $\{x_2^i, \dots, x_{k+2}^i\}$ .
- (ii) Let  $G_1$  denote the graph obtained from  $G_0$  by adding edges between each clique  $Y_i$  and each clique  $Z_j$  such that the subgraph of  $G_1$  induced on the cliques  $Y_i, Z_j$  is a  $k$ -join of  $Y_i$  and  $Z_j$ . (Note that the subgraph of  $G_0$  induced on the cliques  $Y_1, \dots, Y_r, Z_1, \dots, Z_t$  is a  $k$ -join substitution of  $K_{r,t}$ ).
- (iii) Let  $G_2$  denote the graph obtained from  $G_1$  by adding edges between each clique  $Y_i$  and each clique  $X_j^Y$  such that the subgraph of  $G_2$  induced on the cliques  $Y_i, X_j^Y$  is a  $k$ -join of  $Y_i$  and  $X_j^Y$ .

- (iv) Let  $G_3$  denote the graph obtained from  $G_2$  by adding edges between each clique  $Z_i$  and each clique  $X_j^Z$  such that the subgraph of  $G_3$  induced on cliques  $Z_i, X_j^Z$  is a  $k$ -join of  $Z_i$  and  $X_j^Z$ .
- (v) Then  $G(p, r, t; k)$  is the graph  $G_3$ .

**Proposition 2.** *The graph  $G(p, r, t; k)$  has  $p(k + 2) + (r + t)(k + 1)$  vertices and  $(pr + pt + rt)\binom{k+2}{2} + p\binom{k+2}{2} + (r + t)\binom{k+1}{2}$  edges.*

For  $k \geq 1$  and  $n \geq 3k + 4$  let us denote by  $\mathcal{G}(k, n)$  the set of locally  $k$ -tree graphs of order  $n$  which can be obtained by Construction 3, i.e.,  $\mathcal{G}(k, n) = \{G(p, r, t; k) : p, r, t \geq 1 \text{ and } p(k + 2) + (r + t)(k + 1) = n\}$ .

**Lemma 5.** *For any integer  $n \geq (k + 2)^2$  the set  $\mathcal{G}(k, n)$  is nonempty.*

**Proof.** By Proposition 2, the graph  $G(p, r, t; k)$  has  $n = p(k + 2) + (r + t)(k + 1) = (k + 1)(r + t + p) + p$  vertices. Let  $a = \lfloor n/(k + 1) \rfloor$  and let  $b = n \bmod (k + 1)$ , and  $0 \leq b \leq k$ . We observe that  $n \geq (k + 2)^2$  implies that if  $b = 0$ , then  $a \geq k + 4$ , and if  $b \geq 1$ , then  $a \geq k + 2$ . Hence, if  $b = 0$ , we let  $p = k + 1, r = 1$  and  $t = a - k - 3$ , and if  $b \geq 1$ , we let  $p = b, r = 1$  and  $t = a - b - 1$ . Clearly, since  $k \geq 0$ , in both cases we have  $p, r, t \geq 1$  and  $n = p(k + 2) + (r + t)(k + 1)$ , which proves the lemma.  $\square$

Recall that a locally  $k$ -tree graph  $G$  is called maximal if  $G$  is not a spanning subgraph of any other locally  $k$ -tree graph.

We prove that the graphs in  $\mathcal{G}(k, n)$  are maximal locally  $k$ -tree graphs.

**Lemma 6.** *Let  $G$  be a locally  $k$ -tree graph and let  $E' \subseteq E(\overline{G})$  be a set of edges such that  $G + E'$  is also a locally  $k$ -tree graph. Then for any edge  $uv \in E'$  we have  $d_G(u, v) \geq 3$ .*

**Proof.** Let  $u, v \in V(G)$  and  $d_G(u, v) = 2$ . Then there is a vertex  $x$  such that  $u, v \in N(x)$ . Let  $d(x) = d$ . By our assumption  $H = G[N(x)]$  is a  $k$ -tree, hence  $|E(H + uv)| = (d - k)k + \binom{k}{2} + 1$ . Thus by Lemma 1,  $H + uv$  is not a  $k$ -tree and  $H + uv$  is not a subgraph of any  $k$ -tree.  $\square$

**Lemma 7.** *Let  $G$  be a locally  $k$ -tree graph. Let  $E' \subseteq E(\overline{G})$  be a set of edges such that  $G + E'$  is also a locally  $k$ -tree graph. Then there is a vertex  $v$  such that  $v$  is incident to  $k + 1$  edges of  $E'$ , say  $vv_1, vv_2, \dots, vv_{k+1}$ , and the vertices  $v_1, \dots, v_{k+1}$  induce a  $(k + 1)$ -clique in  $G$ .*

**Proof.** Let  $G' = G + E'$  and let  $w$  be a vertex of  $G$  which is incident to at least one edge of  $E'$ . Let  $(w_1, \dots, w_p)$  be a  $k$ -PEO of  $G'[N(w)]$  such that  $w, w_1, \dots, w_k$  induce a  $(k + 1)$ -clique in  $G$  (by Theorem 3 such a  $k$ -PEO exists). Let  $v$  be the

first vertex of  $(w_1, \dots, w_p)$  which is joined with  $w$  by an edge belonging to  $E'$ . Let  $\{v_1, v_2, \dots, v_k\} \subseteq N(v)$  be the subset of  $(w_1, \dots, w_p)$  which precede  $v$  and induce a  $k$ -clique in  $G'$ . From Lemma 6 it follows that the edges of this clique are in  $E(G)$ . To complete the proof it suffices to show that the edges  $v_1v, \dots, v_kv$  are in  $E'$ . Suppose that this is not true and there is an edge  $vv_i$  which is not in  $E'$ . Then  $d_G(v, w) = 2$  in  $G$  and the edge  $vw \in E'$ , a contradiction with Lemma 6.  $\square$

**Theorem 9.** *If  $G \in \mathcal{G}(k, n)$ , then  $G$  is a maximal locally  $k$ -tree graph.*

**Proof.** First we will show that  $G$  is a locally  $k$ -tree graph. The graph  $G_0$  is a union of locally  $k$ -trees, hence it is locally  $k$ -tree. The edges which we added to  $G_0$  in (ii) satisfy the assertions of Theorem 8, hence  $G_1$  is locally  $k$ -tree. Similarly, the edges which we added to  $G_1$  in (iii) and to  $G_2$  in (iv) satisfy the assertions of Theorem 8, hence  $G_3$  is locally  $k$ -tree. Thus  $G$  is locally  $k$ -tree. The graph  $G$  does not contain any vertex  $v$  for which there are vertices  $v_1, \dots, v_{k+1}$  which induce a  $(k + 1)$ -clique and are in distance at least 3 with  $v$ . Then by Lemma 7,  $G$  is a maximal locally  $k$ -tree graph.  $\square$

## 5. THE MAXIMUM SIZE OF LOCALLY $k$ -TREE GRAPHS

In this section we characterize the graphs of  $\mathcal{G}(k, n)$  with the maximum number of edges (for fixed  $k$  and  $n$ ). As a consequence, we obtain a lower bound on the maximum number of edges in a locally  $k$ -tree graph on  $n$  vertices.

**Lemma 8.** *Let  $k, n, p, r, t$  be positive integers such that  $n \geq (k + 2)^2$  and  $n = p(k + 2) + (r + t)(k + 1)$ . If the graph  $G(p, r, t; k)$  has the maximum number of edges for fixed  $k, n, p$ , then  $|r - t| \leq 1$ .*

**Proof.** Suppose that  $G = G(p, r, t; k)$  is the graph with the maximum number of edges for fixed  $k, n, p$  and  $r \geq t + 2$ . Then we construct a new graph  $G' = G(p', r', t'; k)$  using Construction 3 with parameters  $p' = p, r' = r - 1, t' = t + 1$ . Then

$$|E(G')| = |E(G)| + (r - t - 1) \binom{k + 2}{2} > |E(G)|.$$

Hence the graph  $G'$  has more edges than  $G$ , a contradiction.  $\square$

**Lemma 9.** Let  $k, n$  be positive integers such that  $n \geq (k+2)(3k+2)$ . If the graph  $G(p, r, t; k)$  has the maximum number of edges for fixed  $k, n$ , then  $p$  satisfies one of the following conditions

$$\begin{aligned} & \frac{1}{k+2} \left( \frac{k}{3k+2} n - \frac{k+1}{2(3k+2)} (3k^2 + 8k + c) \right) \\ & \leq p \leq \frac{1}{k+2} \left( \frac{k}{3k+2} n + \frac{k+1}{2(3k+2)} (3k^2 + 8k + 8 + c) \right) \end{aligned}$$

where  $c = 0$  if  $k$  is even,  $c = -1$  if  $k$  is odd and  $(n - p(k+2))/(k+1)$  is odd, and  $c = 1$  if  $k$  is odd and  $(n - p(k+2))/(k+1)$  is even.

**Proof.** Let  $G = G(p, r, t; k)$  have the maximum number of edges. Lemma 8 implies that  $|r - t| \leq 1$ . Then the graph  $G$  has

$$\begin{aligned} |E(G)| &= p \frac{n - p(k+2)}{k+1} \binom{k+2}{2} + p \binom{k+2}{2} + \frac{n - p(k+2)}{k+1} \binom{k+1}{2} \\ &+ \left\lfloor \left( \frac{n - p(k+2)}{2(k+1)} \right)^2 \right\rfloor \binom{k+2}{2} \end{aligned}$$

edges.

*Case 1.*  $k$  is even.

Suppose that  $p < 1/(k+2)(k/(3k+2)n - (k+1)/(2(3k+2))(3k^2 + 8k))$ . Then the graph  $G' = G(p', r', t'; k)$  with parameters  $p' = p + k + 1$ ,  $r' = r - \frac{1}{2}(k+2)$ ,  $t' = t - \frac{1}{2}(k+2)$  has  $n$  vertices and more edges:

$$\begin{aligned} |E(G')| &= |E(G)| \\ &+ \frac{1}{4}(k+2)^2(3k+2) \left[ -p + \frac{1}{k+2} \left( \frac{k}{3k+2} n - \frac{k+1}{2(3k+2)} (3k^2 + 8k) \right) \right]. \end{aligned}$$

Suppose that  $p > 1/(k+2)(k/(3k+2)n + (k+1)/(2(3k+2))(3k^2 + 8k + 8))$ . Then the graph  $G' = G(p', r', t'; k)$  with parameters  $p' = p - (k+1)$ ,  $r' = r + \frac{1}{2}(k+2)$ ,  $t' = t + \frac{1}{2}(k+2)$  has  $n$  vertices and more edges:

$$\begin{aligned} |E(G')| &= |E(G)| \\ &+ \frac{1}{4}(k+2)^2(3k+2) \left[ p - \frac{1}{k+2} \left( \frac{k}{3k+2} n + \frac{k+1}{2(3k+2)} (3k^2 + 8k + 8) \right) \right]. \end{aligned}$$

*Case 2.*  $k$  is odd.

The proof falls naturally into two subcases.

*Case 2.1.*  $k$  is odd and  $(n - p(k + 2))/(k + 1)$  is odd.

Since  $(n - p(k + 2))/(k + 1)$  is odd, we have  $|r - t| = 1$  and assume that  $r = t + 1$ . Then

$$|E(G)| = p \frac{n - p(k + 2)}{k + 1} \binom{k + 2}{2} + p \binom{k + 2}{2} + \frac{n - p(k + 2)}{k + 1} \binom{k + 1}{2} + \left( \left( \frac{n - p(k + 2)}{2(k + 1)} \right)^2 - \frac{1}{4} \right) \binom{k + 2}{2}.$$

Suppose that  $p < 1/(k + 2)(k/(3k + 2n) - \frac{1}{2}(k + 1)/(3k + 2)(3k^2 + 8k - 1))$ . Then the graph  $G' = G(p', r', t'; k)$  with parameters  $p' = p + k + 1$ ,  $r' = r - \frac{1}{2}(k + 3)$ ,  $t' = t - \frac{1}{2}(k + 1)$  has more edges:

$$|E(G')| = |E(G)| + \frac{1}{4}(k + 2)^2(3k + 2) \left[ -p + \frac{1}{k + 2} \left( \frac{k}{3k + 2} n - \frac{k + 1}{2(3k + 2)} (3k^2 + 8k - 1) \right) \right].$$

If  $p > 1/(k + 2)(k/(3k + 2)n + \frac{1}{2}(k + 1)/(3k + 2)(3k^2 + 8k + 7))$ , then again there exists a graph with  $n$  vertices and more edges, i.e.,  $G' = G(p', r', t'; k)$  with parameters  $p' = p - (k + 1)$ ,  $r' = r + \frac{1}{2}(k + 1)$ ,  $t' = t + \frac{1}{2}(k + 3)$ :

$$|E(G')| = |E(G)| + \frac{1}{4}(k + 2)^2(3k + 2) \left[ p - \frac{1}{k + 2} \left( \frac{k}{3k + 2} n + \frac{k + 1}{2(3k + 2)} (3k^2 + 8k + 7) \right) \right].$$

*Case 2.2.*  $k$  is odd and  $(n - p(k + 2))/(k + 1)$  is even.

Since  $(n - p(k + 2))/(k + 1)$  is even, we have that  $|r - t| = 0$ . Then

$$|E(G)| = p \frac{n - p(k + 2)}{k + 1} \binom{k + 2}{2} + p \binom{k + 2}{2} + \frac{n - p(k + 2)}{k + 1} \binom{k + 1}{2} + \left( \frac{n - p(k + 2)}{2(k + 1)} \right)^2 \binom{k + 2}{2}.$$

If  $p < 1/(k + 2)(k/(3k + 2)n - \frac{1}{2}(k + 1)/(3k + 2)(3k^2 + 8k + 1))$ , then the graph  $G' = G(p', r', t'; k)$  with parameters  $p' = p + k + 1$ ,  $r' = r - \frac{1}{2}(k + 1)$ ,  $t' = t - \frac{1}{2}(k + 3)$  has more edges:

$$|E(G')| = |E(G)| + \frac{1}{4}(k + 2)^2(3k + 2) \left[ -p + \frac{1}{k + 2} \left( \frac{k}{3k + 2} n - \frac{k + 1}{2(3k + 2)} (3k^2 + 8k + 1) \right) \right].$$

If  $p > 1/(k+2)(k/(3k+2)n + \frac{1}{2}(k+1)/(3k+2)(3k^2+8k+9))$ , then for parameters  $p' = p - (k+1)$ ,  $r' = r + \frac{1}{2}(k+1)$ ,  $t' = t + \frac{1}{2}(k+3)$  the graph  $G' = G(p', r', t'; k)$  has more edges:

$$|E(G')| = |E(G)| + \frac{1}{4}(k+2)^2(3k+2) \left[ p - \frac{1}{k+2} \left( \frac{k}{3k+2}n + \frac{k+1}{2(3k+2)}(3k^2+8k+9) \right) \right].$$

Since by the assumption of the present lemma the number of vertices is large enough, in all the cases the graph  $G'$  exists.  $\square$

The next theorem gives, for any fixed  $n$  and  $k$ , the best choice for parameters  $p$ ,  $r$ ,  $t$  that maximizes the number of edges in  $G(p, r, t; k)$ .

**Theorem 10.** *Let  $k, n$  be positive integers such that  $n \geq (k+2)(3k+2)$ . The graph  $G(p, r, t; k)$  achieves the maximum number of edges for given fixed  $n$  and  $k$ , when  $|r-t| \leq 1$  and  $p$  is an integer from the interval*

$$I = \left\langle \frac{1}{k+2} \left( \frac{k}{3k+2}n - \frac{k+1}{2(3k+2)}(3k^2+8k+c) \right), \frac{1}{k+2} \left( \frac{k}{3k+2}n + \frac{k+1}{2(3k+2)}(3k^2+8k+8+c) \right) \right\rangle$$

such that  $k+1$  divides  $n-p$ , and  $c = 0$  if  $k$  is even,  $c = -1$  if  $k$  is odd and  $(n-p(k+2))/(k+1)$  is odd, and  $c = 1$  if  $k$  is odd and  $(n-p(k+2))/(k+1)$  is even.

*Proof.* Recall that  $n = p(k+2) + (r+t)(k+1)$ , which implies that  $k+1$  divides  $n-p$ . From Lemma 8 and Lemma 9 it follows that if  $G(p, r, t; k)$  has the maximum number of edges for fixed  $n, k$ ; then  $|r-t| \leq 1$  and  $p$  is an integer from the interval  $I$ . Now we prove that if there is more than one parameter  $p$  such that  $k+1$  divides  $n-p$  and  $p \in I$ , then the number of edges of  $G(p, r, t; k)$  for any such choice of  $p$  is the same. We observe that it suffices to consider only two different values of  $p$  satisfying the conditions, since  $|I| \leq k+2$  and  $k+1$  must divide  $n-p$ . Therefore, let  $p$  and  $p'$  be two successive integers of  $I$  such that  $k+1$  divides  $n-p$  and  $p' = p+k+1$ . Let  $r, t$  and  $r', t'$  be integers such that  $n = p(k+2) + (r+t)(k+1)$ ,  $|r-t| \leq 1$  and  $n = p'(k+2) + (r'+t')(k+1)$ ,  $|r'-t'| \leq 1$ . We show that  $G = G(p, r, t; k)$  and  $G' = G(p', r', t'; k)$  have the same number of edges. By a calculation similar to that in the proof of Lemma 9, we have

$$|E(G')| = |E(G)| + \frac{1}{4}(k+2)^2(3k+2) \left[ -p + \frac{1}{k+2} \left( \frac{k}{3k+2}n - \frac{k+1}{2(3k+2)}(3k^2+8k+c) \right) \right]$$

and  $c = 0$  if  $k$  is even,  $c = -1$  if  $k$  is odd and  $(n - p(k + 2))/(k + 1)$  is odd, and  $c = 1$  if  $k$  is odd and  $(n - p(k + 2))/(k + 1)$  is even. Since  $p \in I$ , we have  $|E(G')| \leq |E(G)|$ . On the other hand,

$$|E(G)| = |E(G')| + \frac{1}{4}(k + 2)^2(3k + 2) \left[ p' - \frac{1}{k + 2} \left( \frac{k}{3k + 2}n + \frac{k + 1}{2(3k + 2)}(3k^2 + 8k + 8 + c) \right) \right]$$

and  $c = 0$  if  $k$  is even,  $c = -1$  if  $k$  is odd and  $(n - p'(k + 2))/(k + 1)$  is odd, and  $c = 1$  if  $k$  is odd and  $(n - p'(k + 2))/(k + 1)$  is even. Since  $p' \in I$ , we have  $|E(G')| \geq |E(G)|$ . Thus  $|E(G')| = |E(G)|$ .  $\square$

Using Lemma 8 and Theorem 10 we can calculate the maximum number of edges in the graph  $G(p, r, t; k)$ . Thus we obtain the following result.

**Theorem 11.** *Let  $k \geq 1$  and  $n \geq (k + 2)(3k + 2)$  and let  $G$  be a locally  $k$ -tree graph of order  $n$  with the maximum number of edges. Then*

$$|E(G)| \geq \frac{k + 1}{2(3k + 2)} n^2 + \frac{3k(k + 1)}{2(3k + 2)} n + c(k)$$

for a constant  $c = c(k)$ .

Every locally tree graph is locally acyclic. Erdős and Simonovits [6] showed that the maximum-size locally acyclic graphs are precisely the nearly-balanced complete bipartite graphs (or Mantel-Turán's graphs) with maximum matching being added to one partite side chosen so that the matching is as large as possible. Hence, if  $n$  is the order, the size of the graph is  $\lfloor n/2 \rfloor \cdot \lceil n/2 \rceil + \lfloor (n + 1)/4 \rfloor \leq \frac{1}{4}n^2 + \frac{1}{4}n$ . Therefore Lemma 9 implies the following

**Corollary 5.** *Let  $n \geq 15$  and let  $G$  be a locally tree graph of order  $n$  with maximum size. Then*

$$\frac{1}{5}n^2 + \frac{3}{5}n + c \leq |E(G)| < \frac{1}{4}n^2 + \frac{1}{4}n,$$

where  $c$  is a constant.

**Remark 3.** For small  $n$  (i.e.,  $k + 1 \leq n < (k + 2)(3k + 2)$ ) we can obtain a maximal locally  $k$ -tree graph of order  $n$  with large number of edges using Lemma 3 and the  $(k + 1)$ -join substitution applied to the Mantel-Turán graph.



## 6. CONCLUDING REMARKS

In Section 2 the minimum-size locally  $k$ -tree graphs of order  $n$  for  $k \geq 0$  have been characterized. In Section 4 the construction which gives a lower bound for the maximum size of locally  $k$ -tree graphs of order  $n$  for large  $n$  and for  $k \geq 1$  has been described. The problem of finding the maximum size of locally  $k$ -tree graphs of order  $n$  for  $k = 0$  is solved by Mantel' Theorem [14] of 1906 on the largest size of triangle-free graphs. For  $k \geq 1$  the problem is still open.

**Problem 1.** What is the maximum size of locally  $k$ -tree graphs of order  $n$  for  $k \geq 1$ ?

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