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*Mathematica Slovaca*, Vol. 41 (1991), No. 3, 299--310

Persistent URL: <http://dml.cz/dmlcz/133212>

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# AN EXAMPLE FOR GELFAND'S THEORY OF COMMUTATIVE BANACH ALGEBRAS

WOLFGANG SCHWARZ — THOMAS MAXSEIN — PAUL SMITH

ABSTRACT. Beginning with the  $\mathbb{C}$ -vector-spaces  $\mathcal{B}$ , resp.  $\mathcal{D}$ , spanned by the Ramanujan sums  $c_r$ , resp. the exponential functions  $n \mapsto \exp(2\pi i \frac{a}{r}n)$ , and using the supremum-norm  $\|f\|_u = \sup |f(n)|$ , the  $\|\cdot\|_u$ -closures  $\mathcal{B}^u$  and  $\mathcal{D}^u$  can be defined. According to Gelfand's theory of commutative Banach algebras, these spaces are isomorphic with the algebra  $\mathcal{C}(\Delta)$  of continuous functions on the "maximal ideal space"  $\Delta$ .

The maximal ideal spaces  $\Delta_{\mathcal{B}}$  and  $\Delta_{\mathcal{D}}$  are constructed, and the knowledge of these allows to deduce some properties of the function spaces  $\mathcal{B}^u$  and  $\mathcal{D}^u$ .

## 1. Introduction

Denote by  $\mathcal{B}$  (resp.  $\mathcal{D}$ ) the complex vector space of linear combinations of *Ramanujan sums*

$$c_r : n \mapsto \sum_{d|\gcd(r,n)} d\mu\left(\frac{r}{d}\right) = \sum_{\substack{1 \leq a \leq r, \\ \gcd(a,r)=1}} \exp(2\pi i \frac{a}{r}n).$$

(resp. of *exponential functions*  $e_{a/r} : n \mapsto \exp(2\pi i \frac{a}{r}n)$ ). The Ramanujan sum  $c_r$  is even mod  $r$ , that means, the values  $c_r(n)$  only depend on the greatest common divisor of  $n$  and  $r$ ,

$$c_r(n) = c_r(\gcd(n, r)).$$

The closure of  $\mathcal{B}$  (resp.  $\mathcal{D}$ ) with respect to the *supremum-norm*

$$\|f\|_u = \sup_{n \in \mathbb{N}} |f(n)| \tag{1.1}$$

is denoted by  $\mathcal{B}^u$  (resp.  $\mathcal{D}^u$ ). These vector-spaces are semi-simple commutative Banach algebras with identity 1 (the constant function). Therefore, by Gelfand's

AMS Subject Classification (1985): Primary 11A25, 11L03. Secondary 11R29, 46J10, 46J20.

Key words: Ramanujan sums, Banach algebras, Maximal ideal space, Gelfand transform

theory (see, for example, Rudin [7], Chapter 18, or Rudin [8], Chapter 10,11), the space  $\mathcal{B}^u$  of *uniformly-almost-even* arithmetical functions is algebraically and topologically isomorphic to the algebra  $\mathcal{C}(\Delta_{\mathcal{B}})$  of continuous functions on some space  $\Delta_{\mathcal{B}}$ , the “maximal ideal space”; the same is true for  $\mathcal{D}^u$ , the space of *uniformly-limit-periodic* arithmetical functions; its maximal ideal space is denoted by  $\Delta_{\mathcal{D}}$ .

In fact, the determination of  $\Delta_{\mathcal{B}}$  was achieved in Schwarz-Spiker [10] by an explicit construction, using the Weierstraß approximation theorem, but not using or mentioning Gelfand’s theory

It is the aim of this note to give another explicit determination of  $\Delta_{\mathcal{B}}$  and  $\Delta_{\mathcal{D}}$ , now using some simple facts from Gelfand’s theory. Of course, these maximal ideal spaces are known (see, for example, [3], [4], [5], [6])

$\Delta_{\mathcal{B}}$  may be described algebraically as the set of algebra-homomorphisms

$$h: \mathcal{B}^u \rightarrow \mathbb{C}$$

For any  $f$  in  $\mathcal{B}^u$  we denote by  $\text{spec}(f)$  the set of complex  $\lambda$ , for which the function  $f - \lambda \cdot 1$  is not invertible in  $\mathcal{B}^u$  (similarly for  $\mathcal{D}^u$ ). From Rudin [7], 18.17, we quote the following simple properties:

- (1)  $h(f) \in \text{spec}(f)$  for any  $f \in \mathcal{B}^u$  and any  $h \in \Delta_{\mathcal{B}}$ ,
- (2)  $|h(f)| \leq \|f\|_u$
- (3)  $h$  is continuous on  $\mathcal{B}$  and the operator-norm is  $\|h\| \leq 1$

## 2. The maximal ideal space of $\mathcal{B}^u$

**a) Construction of some homomorphism.** Clearly, for any integer  $n \in \mathbb{N}$ , the *evaluation*  $\tau_n: f \mapsto f(n)$  are elements of  $\Delta_{\mathcal{B}}$ . Next, for any prime  $p$ , and for  $f \in \mathcal{B}^u$ , the limit

$$f(p^\infty) = \lim_{k \rightarrow \infty} f(p^k)$$

exists<sup>1</sup>, and so the function

$$h_\infty: f \mapsto f(p^\infty)$$

are elements of  $\Delta$

The argument continues in the following. Given a prime  $k_p$  for  $p$  prime  $0 \leq k_p \leq \infty$  a (complete) value  $f(k)$  can be defined for the set

$$\mathcal{K} = \{k_p \mid p \text{ prime}\} \tag{2.1}$$

<sup>1</sup> Given  $\varepsilon > 0$ , there is  $F \in \mathcal{B}$  such that  $\|f - F\|_u < \varepsilon$ . The function  $F$  is even a  $F(p^k) = \beta + \varepsilon$  contains for  $k \geq k_0(p, \varepsilon)$ , and before  $|f(p^k) - \beta| < \varepsilon$  for these  $k$ , therefore the sequence  $f(p^k)$  is a Cauchy sequence

in the following manner<sup>2</sup> : consider the monotonely increasing sequence  $n_r$  of positive integers

$$n_r = \prod_{1 \leq \rho \leq r} p_\rho^{\min(r, k_{p_\rho})}, \quad r = 1, 2, \dots,$$

with the property  $n_r | n_{r+1}$  for any  $r$ . Then

$$f(\mathcal{K}) = \lim_{r \rightarrow \infty} f(n_r) \tag{2.2}$$

exists<sup>3</sup>, and

$$h_{\mathcal{K}}: f \mapsto f(\mathcal{K}) \tag{2.3}$$

is an element of  $\Delta_{\mathcal{B}}$ . All these functions  $h_{\mathcal{K}}$  are different, as can be seen by evaluating  $h_{\mathcal{K}}$  on suitable Ramanujan sums  $c_{q^\ell}$ .

Our goal is to show that we got all the elements of  $\Delta_{\mathcal{B}}$ . Before doing this, we calculate the values of  $h_{\mathcal{K}}$  at Ramanujan sums  $c_{q^\ell}$  for prime powers  $q^\ell$ . Obviously (giving the greatest common divisor on the right-hand-side a natural interpretation)

$$h_{\mathcal{K}}(c_{q^\ell}) = c_{q^\ell}(\gcd(\prod_p p^{k_p}, q^\ell)),$$

and this equals

$$\left\{ \begin{array}{ll} = c_{q^\ell}(q^\ell) = \varphi(q^\ell), & \text{if } k_q \geq \ell, \\ = c_{q^\ell}(q^{\ell-1}) = -q^{\ell-1}, & \text{if } k_q = \ell - 1, \\ = 0, & \text{if } k_q < \ell - 1. \end{array} \right. \tag{2.4}$$

**b) Determination of  $\Delta_{\mathcal{B}}$ .** We are going to prove

**Theorem 2.1.** *The maximal ideal space  $\Delta_{\mathcal{B}}$  consists exactly of the functions  $h_{\mathcal{K}}$ , defined in (2.3), where  $\mathcal{K}$  runs through the set of vectors  $(k_p)_p$  prime, with  $0 \leq k_p \leq \infty$ .*

Assume  $h \in \Delta_{\mathcal{B}}$ ;  $h$  being continuous it is sufficient to know the values of  $h$  on the subalgebra  $\mathcal{B}$  of  $\mathcal{B}^u$ . The Ramanujan sums  $c_r$ , considered as functions of the index  $r$ , are multiplicative. Therefore it is sufficient to know the values

$$h(c_{q^\ell}) \quad \text{for prime-powers } q^\ell.$$

<sup>2</sup> We think of the sequence of primes being ordered according to their size. An integer  $n$  may be described as a special vector  $\mathcal{K}$ , where at most finitely  $k_p$  are non-zero and none is infinity.

<sup>3</sup> Given  $\varepsilon > 0$ , choose  $F \in \mathcal{B}$  satisfying  $\|f - F\|_u < \varepsilon$ . The function  $F$  is even, and so  $F(n_r) = \beta$  is constant for  $r \geq r_0(\varepsilon)$ , and so the sequence  $r \mapsto f(n_r)$  is a Cauchy sequence.

Since  $h(f) \in \text{spec}(f)$ , and  $\text{spec}(c_{q^\ell})$  is  $\{\varphi(q^\ell), -q^{\ell-1}, 0\}$ , if  $\ell > 1$ , and  $\{\varphi(q), -1\}$ , if  $\ell = 1$ , and  $\{1\}$  if  $\ell = 0$ , there are only a few (at most three) possibilities for choosing the value  $h(c_{q^\ell})$ .

However, not every choice is admissible. The relations

$$c_{p^m} \cdot c_{p^\ell} = \varphi(p^\ell) \cdot c_{p^m}, \quad \text{if } m > \ell, \quad (2.5)$$

and<sup>4</sup>

$$c_{p^\ell} \cdot c_{p^\ell} = \varphi(p^\ell) \cdot (c_1 + c_p + \cdots + c_{p^{\ell-1}}) + (p^\ell - 2p^{\ell-1}) \cdot c_{p^\ell} \quad (2.6)$$

imply (using the fact that  $h$  is an algebra-homomorphism;  $q$  denotes a prime)

- (a)  $h(c_{q^m}) = 0$ , if  $h(c_{q^\ell}) = 0$  and  $m > \ell$ ,
- (b)  $h(c_{q^m}) = \varphi(q^m)$ , if  $h(c_{q^\ell}) \neq 0$  and  $0 \leq m < \ell$ ,
- (c)  $h(c_{q^\ell}) < 0$  is possible for at most one  $\ell$  ( $q$  fixed),
- (d) if  $h(c_{q^{\ell+1}}) = 0$ , but  $h(c_{q^\ell}) \neq 0$ , then  $h(c_{q^\ell}) = -p^{\ell-1} < 0$ .

Therefore either  $h(c_{q^m}) = \varphi(q^m)$  for any  $m \geq 0$  (define  $k_q = \infty$  in that case), or there exists an exponent  $k_q$  such that

$$h(c_{q^\ell}) = \begin{cases} \varphi(q^\ell), & \text{if } \ell \leq k_q, \\ -p^{\ell-1}, & \text{if } \ell = k_q + 1, \\ 0, & \text{if } \ell > k_q + 1. \end{cases}$$

Then, for the vector  $\mathcal{K} = (k_q)_{q \text{ prime}}$ , we obtain  $h = h_{\mathcal{K}}$ , and so  $\Delta_{\mathcal{B}}$  is completely determined.

**c) Topology.** The Gelfand topology of  $\Delta_{\mathcal{B}}$  is the weakest topology the makes every Gelfand transform

$$\hat{f}: \Delta_{\mathcal{B}} \rightarrow \mathbb{C}, \quad \hat{f}(h) = h(f),$$

continuous.

So, for any prime power  $q^\ell$ , the sets

$$\hat{c}_{q^\ell}^{-1}(\mathcal{O}) = \{h \in \Delta; h(c_{q^\ell}) \in \mathcal{O}\}$$

are open for any open  $\mathcal{O}$  in  $\mathbb{C}$ . Therefore, using (2.4), the sets

$$\{h_{\mathcal{K}}; k_p \text{ arbitrary for } p \neq q, k_q \geq \ell\}$$

and

$$\{h_{\mathcal{K}}; k_p \text{ arbitrary for } p \neq q, k_q = \ell - 1\}$$

<sup>4</sup> By the way, the second relation implies  $h(c_{p^\ell}) \in \{0, -p^{\ell-1}, \varphi(p^\ell)\}$ .

are open. Choosing these sets as a subbasis for the topology, we see that every  $\hat{f}$  is continuous. For:

Given  $\varepsilon > 0$  and  $f$ , choose  $g = \sum_{1 \leq r \leq R} \gamma_r \cdot c_r$  satisfying  $\|f - g\|_u < \frac{1}{2}\varepsilon$ . Assume that  $h \in \Delta_{\mathcal{B}}$ ,  $h = h_{\mathcal{K}}$ ,  $\mathcal{K} = (k_p(h))$ , is given. An open neighbourhood  $U(h)$  of  $h$  is defined by the condition

$$h^* \in U(h) \text{ iff } h^* = h_{\mathcal{K}^*}, \text{ and } k_p(h^*) = k_p(h) \text{ for any } p \leq R.$$

Then  $h(g) = h^*(g)$  for any  $h^*$  in  $U(h)$ , and so

$$\begin{aligned} |\hat{f}(h) - \hat{f}(h^*)| &= |h(f) - h(f^*)| \leq \\ |h(f) - h(g)| + |h^*(g) - h^*(f)| &\leq \|f - g\|_u + \|f - g\|_u < \varepsilon \end{aligned}$$

(to get from the first to the second line, property (2) from §1 was used).

Therefore  $\hat{f}$  is continuous, and so the topology of  $\Delta_{\mathcal{B}}$  is completely determined. It coincides with the product topology on the space

$$\prod_p \{1, p, p^2, \dots, p^\infty\},$$

where each factor is the Alexandroff-one-point-compactification of the discrete (and locally compact) space  $\{1, p, p^2, \dots\}$ .

**d) Main result.** For functions  $f$  in  $\mathcal{B}^u$  obviously  $\|f^2\|_u = \|f\|_u^2$ , and so we obtain from 11.12 in [8]

**Theorem 2.2.** *The Banach-algebra  $\mathcal{B}^u$  is semi-simple, and the Gelfand transform  $f \mapsto \hat{f}$  is an isometric algebra-isomorphism from  $\mathcal{B}^u$  onto  $\mathcal{C}(\Delta_{\mathcal{B}})$ .*

By the way, semi-simplicity immediately also follows from the fact that the evaluation homomorphisms  $h_n: f \mapsto f(n)$  are in  $\Delta_{\mathcal{B}}$ , and so the assumption  $f \in \text{radical}(\mathcal{B}^u) = \bigcap_{h \in \Delta_{\mathcal{B}}} \text{kernel}(h)$  implies  $f = 0$ .

Next, [8] 11.20 implies<sup>5</sup>.

**Corollary 2.1.** *If  $f \in \mathcal{B}^u$  is real-valued, and if  $\inf_{n \in \mathbb{N}} f(n) > 0$ , then there exists a (real-valued) square-root  $g$  of  $f$  in  $\mathcal{B}^u$ .*

**e) Applications.** The following result is well known and may be derived from the Weierstraß approximation theorem also; we deduce it from our knowledge of  $\Delta_{\mathcal{B}}$ .

<sup>5</sup> The result can be deduced from the Weierstraß approximation theorem also.

**Corollary 2.2.** *Assume  $f \in \mathcal{B}^u$ . Then  $1/f \in \mathcal{B}^u$  if and only if there exists some positive constant  $\delta$ , for which  $\|f\|_u \geq \delta$ .*

**Proof.** If  $1/f \in \mathcal{B}^u$  then this function is bounded and so  $|f|$  is bounded from below.

On the other hand, according to Gelfand's theory (see R u d i n [7], 18.17)  $1/f \in \mathcal{B}^u$ , if for any  $h \in \Delta_{\mathcal{B}}$  the value  $h(f)$  is not zero. The values  $h(f)$  are given as certain limits in section 2, and the condition  $|f| \geq \delta$  obviously implies that all these limits are non-zero, and the corollary is proved.

These corollaries may be considerably extended, using known results on Banach algebras.

**Theorem 2.3.** *Let  $f \in \mathcal{B}^u$  be given. If the function  $F$  is holomorphic in some region of  $\mathbb{C}$  including the range  $\hat{f}(\Delta_{\mathcal{B}})$  of  $\hat{f}$ , then the composed function  $F \circ \hat{f}$  is in  $\mathcal{C}(\Delta_{\mathcal{B}})$  and thus equal to some  $\hat{g}$ ,  $g \in \mathcal{B}^u$ . Therefore  $F \circ f$  is in  $\mathcal{B}^u$  again.*

Except for the last sentence, this is a specialization of L. H. L o o m i s, *Abstract Harmonic Analysis*, Princeton 1953, 24 D. Next,  $\hat{g} = F \circ \hat{f}$  implies  $h(g) = F(h(f))$  for any  $h$  in  $\Delta_{\mathcal{B}}$ , and so the assertion is true if  $F$  is a polynomial (then  $F(h(f)) = h(F(f))$ ). The general case follows from this.

**Theorem 2.4.** *Let  $f \in \mathcal{B}^u$  be given. If  $\delta > 0$  and  $f$  is multiplicative, then  $f(p^k) = 0$  is possible for at most finitely primes  $p$ .*

The same argument gives the following stronger version.

**Theorem 2.5.** *Let  $f \in \mathcal{B}^u$  be given. If  $\delta > 0$  and  $f$  is multiplicative, then there are at most finitely many primes with the property  $|f(p^k) - 1| > \delta$  for some  $k$ .*

**Proof.**  $\hat{f}(h_{\mathcal{K}_0}) = 1$ , where  $\mathcal{K}_0 = (k_p)$ ,  $k_p = 0$  for any  $p$ . Given  $\varepsilon = \frac{1}{2}\delta$ , then there is some neighbourhood  $\mathcal{U}_0$  of  $h_{\mathcal{K}_0}$  with the property  $|\hat{f}(h) - 1| < \varepsilon$  for any  $h$  in  $\mathcal{U}_0$ . But this neighbourhood contains all  $h_{\mathcal{K}}$  with  $k_p$  arbitrary except for finitely many primes; for these exceptional primes  $k_p = 0$  may be taken. Next,  $f$  being multiplicative,

$$\hat{f}(h) = \lim_{L \rightarrow \infty} \prod_{p \leq L} f(p^{\min(k_p, L)}),$$

and this implies, by a suitable choice of the  $k_p$ , noticing  $|\hat{f}(h) - 1| < \varepsilon$ , that  $|f(p^k) - 1| > \varepsilon$  is impossible for any "non-exceptional" prime and any  $k$ .

### 3. The maximal ideal space $\Delta_{\mathcal{D}}$ of $\mathcal{D}^u$

a) Embedding of  $\Delta_{\mathcal{D}}$  in  $\prod_{r \in \mathbf{N}} \mathbf{Z}/r\mathbf{Z}$ .

Define, with the abbreviation  $\omega_r = \exp(2\pi i/r)$ , an element  $f_r \in \mathcal{D}$  by  $f_r(n) = \omega_r^n$ . The set of functions

$$\{f_r^\ell, 1 \leq \ell \leq r, \gcd(\ell, r) = 1, r = 1, 2, \dots\}$$

is a basis of  $\mathcal{D}$ . A function  $f$  in  $\mathcal{D}$  is  $r$ -periodic for a suitable  $r$ , and so  $1/f$  is again  $r$ -periodic and so in  $\mathcal{D} \subset \mathcal{D}^u$  if  $f$  does not assume the value zero. Therefore

$$\text{spec}(f_r) = \{\omega_r^j, 1 \leq j \leq r\}.$$

If  $h \in \Delta_{\mathcal{D}}$ , then, by (1), §1

$$h(f_r) = \omega_r^{j(r,h)}, \quad (3.1)$$

where  $j(r, h)$  is some uniquely determined integer modulo  $r$  depending on  $h$ . Thus we obtain a map

$$\varphi: \Delta_{\mathcal{D}} \rightarrow \prod_{r \in \mathbf{N}} \mathbf{Z}/r\mathbf{Z},$$

defined by  $\varphi(h) = (j(r, h))_{r=1,2,\dots}$ , where  $h$  and  $j$  are related by (3.1). Obviously  $\varphi$  is *injective*.

b) The Prüfer Ring  $\hat{\mathbf{Z}}$ .

For any  $n \in \mathbf{N}$  consider the residue class ring  $\mathbf{Z}/n\mathbf{Z}$  with the discrete topology. If  $m|n$ , then there is a continuous projection

$$\pi_{m,n}: \mathbf{Z}/n\mathbf{Z} \rightarrow \mathbf{Z}/m\mathbf{Z}, \quad (a \bmod n) \mapsto (a \bmod m).$$

If  $X = \prod_{r \in \mathbf{N}} \mathbf{Z}/r\mathbf{Z}$  with the product topology, then  $X$  is a compact Hausdorff space, and the set

$$\hat{\mathbf{Z}} = \{(\alpha_n) \in X, \alpha_n \in \mathbf{Z}/n\mathbf{Z} \text{ and } \pi_{m,n}(\alpha_n) = \alpha_m, \text{ if } m|n\}$$

is a closed subspace of  $X$  and therefore again compact (and Hausdorff). Note that  $\mathbf{N}$  is dense in  $\hat{\mathbf{Z}}$ ; the reason is that, given an element  $(\alpha_r)_r$  in  $\hat{\mathbf{Z}}$  and positive integers  $r_1, \dots, r_N$ , there exists an integer  $m \in \mathbf{N}$  satisfying  $m \equiv \alpha_r \pmod{r_i}$  for  $1 \leq i \leq N$ .

Since  $f_{r,s}^s = f_r$  it follows that  $j(r \cdot s, h) \equiv j(r, h) \pmod{r}$  for any  $h \in \Delta_{\mathcal{D}}$ . Therefore the image of the map  $\varphi$  is contained in  $\hat{\mathbf{Z}}$ .



**c) Surjectivity of  $\varphi: \Delta_{\mathcal{D}} \rightarrow \hat{\mathbb{Z}}$ .**

Let some element  $(\alpha_r)_r$  in  $\hat{\mathbb{Z}}$  be given. Our aim is to construct an algebra-homomorphism  $h \in \Delta_{\mathcal{D}}$  satisfying  $\varphi(h) = (\alpha_r)_r$ . Define a linear map  $h: \mathcal{D} \rightarrow \mathbb{C}$  on the elements of the basis of  $\mathcal{D}$  by

$$h(f_r^k) = \omega_r^{k \cdot \alpha_r}, \quad 1 \leq k \leq r, \quad \gcd(k, r) = 1, \quad r = 1, 2, \dots,$$

and extend  $h$  linearly to  $\mathcal{D}$ . Then  $h$  is multiplicative on  $\mathcal{D}$ ; assume first  $\gcd(r, s) = 1$ ; then the relation

$$s \cdot k \cdot \alpha_r + r \cdot \ell \cdot \alpha_s = (s \cdot k + r \cdot \ell) \cdot \alpha_{r \cdot s} \pmod{r \cdot s}$$

implies

$$h(f_r^k \cdot f_s^\ell) = h(f_r^k) \cdot h(f_s^\ell).$$

This is also true if  $\gcd(r, s) \neq 1$ ; without loss of generality,  $r$  and  $s$  may be assumed to be powers of the same prime, and then the assertion is easily checked. Furthermore  $h$  is continuous on  $\mathcal{D}$ . Given an element  $\psi \in \mathcal{D}$ ,  $\psi = \sum_{1 \leq \nu \leq N} a_\nu \cdot f_{r_\nu}^{k_\nu}$ , satisfying  $\|\psi\| \leq 1$ , there exists an  $m \in \mathbb{N}$ , for which  $m \equiv \alpha_{r_\nu} \pmod{r_\nu}$ , for  $1 \leq \nu \leq N$ . Since  $h(\psi) = \psi(m)$ , we obtain

$$|h(\psi)| \leq |\psi(m)| \leq \|\psi\|_u \leq 1,$$

and so  $h$  is continuous on  $\mathcal{D}$ . This space being dense in  $\mathcal{D}$ ,  $h$  may be continuously extended to an algebra-homomorphism of  $\mathcal{D}^u$ , and  $\varphi(h) = (\alpha_r)_{r=1,2,\dots}$ .

**d) Continuity of  $\varphi: \Delta_{\mathcal{D}} \rightarrow \hat{\mathbb{Z}}$ .**

Fix  $\alpha_k \in \mathbb{Z}/k\mathbb{Z}$ ,  $1 \leq k \leq N$  with the property  $\alpha_n \equiv \alpha_m \pmod{m}$  if  $m \mid n$ . Then

$$V(\alpha_1, \dots, \alpha_N) = \{(\beta_n) \in \hat{\mathbb{Z}}, \beta_k = \alpha_k \text{ for } 1 \leq k \leq N\}$$

is a typical basis element of the (product-) topology of  $\hat{\mathbb{Z}}$ . Moreover  $h \in \varphi^{-1}(V(\alpha_1, \dots, \alpha_N))$  if and only if  $h(f_k) = \omega_k^{\alpha_k}$  for any  $k$  in  $1 \leq k \leq N$ . This is equivalent with  $\hat{f}_k(h) = \omega_k^{\alpha_k}$ ,  $1 \leq k \leq N$ , where  $\hat{f}_k$  is the Gelfand transform of  $f_k$ , defined by  $\hat{f}_k(H) = H(f)$  for any  $H \in \Delta_{\mathcal{D}}$ .

If  $U_k$  is a neighbourhood of  $\omega_k^{\alpha_k}$ , not containing any other  $k$ th root of unity then it follows that

$$\varphi^{-1}(V(\alpha_1, \dots, \alpha_N)) = \bigcap_{k=1}^N \hat{f}_k^{-1}(U_k)$$

is an open set in the Gelfand topology of  $\Delta_{\mathcal{D}}$ , and so  $\varphi$  is continuous. Since  $\Delta_{\mathcal{D}}$  and  $\hat{\mathbb{Z}}$  are compact Hausdorff spaces,  $\varphi$  is a homeomorphism. Thus we got

**Theorem 3.1.** *The maximal space  $\Delta_{\mathcal{D}}$  is homeomorphic with  $\hat{\mathbb{Z}}$ , defined in 3b.*

#### 4. On the characterization of additive and multiplicative functions in $\mathcal{B}^u$

In [1] N. G. De B r u i j n characterized multiplicative almost-periodic arithmetical functions. Additive almost-periodic functions were characterized by E. R. Van K a m p e n in “*On uniformly almost periodic multiplicative and additive functions*”, Amer. J. Math. 62, (1940), 107–114; see also [11] and the paper of J. K n o p f m a c h e r quoted there. The results are as follows.

**Theorem 4.1.** *Assume  $f$  to be fibre-constant.<sup>6</sup> Then  $f$  is in  $\mathcal{B}^u$  if and only if  $\lim_{k \rightarrow \infty} f(p^k)$  exists (for any prime).*

**Theorem 4.2.** *An additive function is in  $\mathcal{B}^u$  if and only if*

$$\lim_{k \rightarrow \infty} f(p^k) \quad \text{exists for any prime} \quad (4.1)$$

and

$$\sum_p \sup_k |f(p^k)| < \infty. \quad (4.2)$$

**Theorem 4.3.** *A multiplicative function is in  $\mathcal{B}^u$  if and only if (4.1) holds and if*

$$\sum_p \sup_k |f(p^k) - 1| < \infty \quad (4.3)$$

is true.

We give proofs for these known theorems, using the isomorphy of  $\mathcal{B}^u$  with  $\mathcal{C}(\Delta)$ . However, the ideas used are more or less also well known.

**R e m a r k.** If  $f$  is in  $\mathcal{B}^u$ , then the Gelfand transform  $\hat{f}$  is continuous at  $h_{\mathcal{K}}$ , where  $\mathcal{K} = (k_p)_p$ , and  $k_q = \infty$ ,  $k_p = 0$ , if  $p \neq q$ . All the functions  $h_{\mathcal{K}'}$ , where  $k'_p = k_p = 0$  for  $p \neq q$ , and  $k'_q = L$ ,  $L$  sufficiently large, are near  $h_{\mathcal{K}}$ , and so the limes relation (4.1) is true.

The proof of **Theorem 4.1.** now follows from the preceding remark and the fact, that for fibre-constant functions  $\hat{f}(h)$  may be defined in an obvious manner, using the limes relation (4.1) at  $q$ . The resulting functions  $\hat{f}$  obviously is continuous, and so  $f$  is in  $\mathcal{B}^u$ .

We now use the following notation: Given any arithmetical function, define, with an obvious interpretation of the greatest common divisor,

$$f_{(p)}(n) = f(\gcd(n, p^\infty)), \quad \text{if } p \text{ is prime} \quad (4.4)$$

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<sup>6</sup>  $f$  is called fibre-constant if there is a prime  $q$  such that  $f(n) = f(\gcd(n, q^\infty))$  for any  $n$ . Obviously,  $\lim_{k \rightarrow \infty} f(p^k)$  exists for any prime  $p \neq q$  trivially.

and

$$F_R(n) = f(\gcd(n, \prod_{p>R} p^\infty)). \quad (4.5)$$

The functions  $f_{(p)}$  are fibre-constant.

**P r o o f o f T h e o r e m 4.2.**

(a) Assume that (4.1) and (4.2) hold.  $f$  being additive,

$$f = \sum_{p \leq R} f_{(p)} + F_R, \quad (4.6)$$

and the functions  $f_{(p)}$  are in  $\mathcal{B}^u$  by Theorem 4.1. Next

$$|F_R(n)| = |f(n) - \sum_{p \leq R} f_{(p)}(n)| \leq \sum_{p > R} \sup_k |f(p^k)| < \varepsilon,$$

if  $R$  is sufficiently large, and so  $f \in \mathcal{B}^u$ .

(b) If  $\mathcal{K} = (0, 0, \dots)$ ,  $\mathcal{K}' = (k_p)_p$ , where  $k_p$  is arbitrary for  $p > R$  and  $k_p = 0$  if  $p \leq R$ , then  $h_{\mathcal{K}'}$  is near  $h_{\mathcal{K}}$ . Since  $f$  is additive, we obtain  $\hat{f}(h_{\mathcal{K}}) = 0$ ;  $\hat{f}$  is continuous, and so  $|\hat{f}(h_{\mathcal{K}'})| < \varepsilon$ , if  $R$  is sufficiently large. Therefore, evaluating  $\hat{f}(h_{\mathcal{K}'})$ , one gets

$$| \sum_{R < p < R'} f(p^{k_p}) | < \varepsilon$$

for any system  $k_p$  of exponents ( $k_p = \infty$  is admissible,  $f(p^\infty) = \lim_k f(p^k)$ ), and so every subseries of

$$\sum_p f(p^{k_p})$$

is convergent, therefore this series is absolutely convergent (see, for example, P ó l y a — S z e g ö , Aufgaben und Lehrsätze aus der Analysis, III, 51) for any choice of the exponents. This implies (4.2).

**P r o o f o f T h e o r e m 4.3.**

(a) Assume that (4.1) and (4.3) hold. Being multiplicative,

$$f = \prod_{p \leq R} f_{(p)} \cdot F_R,$$

where the fibre-constant functions  $f_{(p)}$  are in  $\mathcal{B}^u$ . Next, using (4.3),

$$| \prod_{p \leq R} f_{(p)}(n) | \leq \exp \left\{ \sum_{p \leq R}^* (|f_{(p)}(n)| - 1) \right\} \leq C,$$

uniformly in  $R$ , where  $*$  means that summation is only over those primes for which  $|f_{(p)}(n)| \geq 1$ . And

$$|f(n) - \prod_{p \leq R} f_{(p)}(n)| \leq C|F_R(n)| < C \cdot \varepsilon,$$

uniformly in  $n$ , if  $R$  is large, again using (4.3).

Therefore  $f$  is in  $\mathcal{B}^u$ .

(b) If  $f$  is in  $\mathcal{B}^u$  and multiplicative, then the proof is similar to the corresponding proof of Theorem 4.2. The details, a little more complicated than before, are omitted. One needs that absolute convergence of a product  $\prod x_i$  is equivalent with the absolute convergence of the series  $\sum \{x_i - 1\}$ .

### 5. Another Application

Using our knowledge of  $\Delta_{\mathcal{B}}$  and the Tietze extension theorem (see for example Hewitt—Stromberg, Real and abstract analysis) we prove

**Theorem 5.1.** *Given a sequence  $\{n_j\}$  of (pairwise distinct) integers greater than one with the property*

$$\text{the minimal prime-divisors } p_{\min}(n_j) = p_j \text{ of } n_j \text{ tend to } \infty \text{ as } j \rightarrow \infty, \quad (5.1)$$

*and given complex numbers  $a_j$  converging to  $a \in \mathbb{C}$ , then there exists a function  $f$  in  $\mathcal{B}^u$  assuming the values  $a_j$  at  $n_j$ .*

**Proof.** Condition (5.1) implies that  $\lim_{j \rightarrow \infty} h_{n_j} = h_1$  in  $\Delta_{\mathcal{B}}$ . The subset  $\mathcal{K}$  of  $\Delta_{\mathcal{B}}$ ,  $\mathcal{K} = \{h_1\} \cup \{h_{n_j}\}$  is closed and therefore compact. Define a complex-valued function  $F$  on  $\mathcal{K}$  by

$$F(h_1) = a, \quad \text{and} \quad F(h_{n_j}) = a_j.$$

It is easy to check that  $F$  is continuous on  $\mathcal{K}$ , and Tietze's extension theorem gives the existence of a continuous function  $F^*$  on  $\Delta_{\mathcal{B}}$  extending  $F$ , which is the image of some  $f$  in  $\mathcal{B}^u$  under the Gelfand transform, and

$$f(n_j) = \hat{f}(h_{n_j}) = F(h_{n_j}) = a_j.$$

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Received September 18, 1989

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