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## A NOTE ON COMPARISON THEOREMS FOR THIRD — ORDER LINEAR DIFFERENTIAL EQUATIONS

JOZEF ROVDER

In this paper we prove some comparison theorems for the differential equation of the third-order

$$(a) \quad y''' + b(x)y' + c(x)y = 0,$$

where  $b(x)$ ,  $c(x)$  and  $b'(x)$  are continuous functions in  $(0, \infty)$ .

As usual, a solution of (a) is called nonoscillatory iff it has no zeros for arbitrarily large  $x$  and (a) is said to be nonoscillatory iff all its nontrivial solutions are nonoscillatory.

The following theorem is analogous to Theorem 2 in [4] for differential equations of class  $V_1$ .

**Theorem 1.** *Suppose the coefficients of (a) satisfy the assumption  $2c(x) - b'(x) \geq 0$  in  $(0, \infty)$ . Let (a) be nonoscillatory. Then there exists a number  $\gamma > 0$  such that the equation (a) has no solution with more than two zeros in  $[\gamma, \infty)$ .*

*Proof.* Since the equation (a) is nonoscillatory, there exists a solution  $y(x)$  of (a) and a number  $\gamma > 0$  such that  $y(\gamma) = 0$ ,  $y(x) \neq 0$  for  $x > \gamma$ . Let  $z(x)$  be a solution of (a) with the properties  $z(\gamma) = z'(\gamma) = 0$ ,  $z''(\gamma) \neq 0$ . If  $y'(\gamma) \neq 0$ , then from Theorem 4 in [1] it follows  $z(x) \neq 0$  for  $x > \gamma$ . If  $y'(\gamma) = 0$ , then  $z(x) = cy(x)$  and so  $z(x) \neq 0$  for  $x > \gamma$ . Consequently, the equation (a) always has a solution  $z(x)$  such that  $z(\gamma) = z'(\gamma) = 0$ ,  $z(x) > 0$  in  $(\gamma, \infty)$ ,  $\gamma > 0$ .

Now we show that every solution of (a) has not more than two zeros in  $[\gamma, \infty)$ . At first, consider the solution of (a) with a zero at  $\gamma$ . Let  $u(x)$  be a solution of (a) such that  $u(\gamma) = u(x_1) = u(x_2) = 0$ ,  $\gamma \leq x_1 \leq x_2$ . If  $\gamma = x_1 < x_2$ , then  $u(x) = cz(x)$  and so  $u(x) \neq 0$  for  $x > \gamma$ . Also the case  $\gamma < x_1 = x_2$  leads to a contradiction with the identity

$$[yy'' - \frac{1}{2}y'^2 + \frac{1}{2}b(x)y^2]' = -\frac{1}{2}[2c(x) - b'(x)]y^2.$$

Now let  $\gamma < x_1 < x_2$ . Suppose  $u(x) > 0$  in  $(x_1, x_2)$ . Then there exist a number  $c > 0$  and  $\tau \in (x_1, x_2)$  such that the solution  $z(x) - cu(x)$  has a double zero at  $\tau$  and a simple zero at  $\gamma$ , which is in contradiction with the above identity. So every solution of (a) with a zero at  $\gamma$  has not more than two zeros in  $[\gamma, \infty)$ .

Finally we prove that every solution  $v(x)$  of (a) such that  $v(\gamma) \neq 0$  has not more than two zeros in  $[\gamma, \infty)$ . Suppose to the contrary that  $v(x_1) = v(x_2) = v(x_3) = 0$ ,  $\gamma < x_1 < x_2 < x_3$ . (As we have showed above, the case  $x_1 < x_2 = x_3$  leads to a contradiction.) Let  $v(x) > 0$  in  $(x_2, x_3)$ . Let  $w(x)$  be a solution of (a) such that  $w(\gamma) = w(x_1) = 0$ ,  $w(x) < 0$  in  $(\gamma, x_1)$ . Then  $w(x) > 0$  in  $(x_1, \infty)$ . Then by Lemma 2 in [1], there exist numbers  $c > 0$  and  $\tau \in (x_1, x_2)$  such that the solution  $w(x) - cv(x)$  of (a) has a double zero at  $\tau$  and a simple zero at  $x_1$  which contradicts the above identity. Theorem is proved completely.

**Corollary 1.** *Suppose the inequality  $2c(x) - b'(x) \geq 0$  ( $2c(x) - b'(x) \leq 0$ ) holds in  $(0, \infty)$ . Then (a) is nonoscillatory in  $(0, \infty)$  if and only if there exists a number  $\gamma > 0$  such that the equation (a) is disconjugate in  $[\gamma, \infty)$ , i.e. the equation (a) has no solution with more than two zeros in  $[\gamma, \infty)$ .*

*Proof.* If  $2c(x) - b'(x) \geq 0$ , then the assertion follows from Theorem 1. If  $2c(x) - b'(x) \leq 0$  and (a) is nonoscillatory, then, by Theorem 3 in [1], its adjoint equation is nonoscillatory. The coefficients of the adjoint equation, denoted by  $\bar{b}(x)$  and  $\bar{c}(x)$ , satisfy the assumption  $2\bar{c}(x) - \bar{b}'(x) \geq 0$ . Then the adjoint equation of (a) is disconjugate in  $[\gamma, \infty)$  for some  $\gamma > 0$ , and by Corollary 3 in [3] the equation (a) is disconjugate in  $[\gamma, \infty)$ . The sufficient conditions are obvious.

Theorems 6 and 7, Corollaries 1 and 2 in [1] yield the following theorem.

**Theorem 2.** *Consider the differential equations*

$$(1_i) \quad y'' + b_i(x)y' + c_i(x)y = 0, \quad i = 1, 2, 3,$$

where  $b_i(x), c_i(x)$  are continuous functions in  $(0, \infty)$ . Let the coefficients of (1<sub>i</sub>) satisfy

$$(2) \quad \begin{aligned} & b_2(x) \leq b_1(x), \\ & 2c_1(x) - b_1'(x) \leq 0, \\ & 2c_1(x) - b_1'(x) \leq 2c_2(x) - b_2'(x) \leq 2c_3(x) - b_3'(x), \\ & b_2(x) \leq b_3(x), \\ & 2c_3(x) - b_3'(x) \geq 0 \end{aligned}$$

Let the coefficients of (1<sub>2</sub>) satisfy the inequality  $2c_2(x) - b_2'(x) \geq 0$ , or  $2c_2(x) - b_2'(x) \leq 0$  in  $(0, \infty)$ , or the equation (1<sub>2</sub>) is of class  $V_1$ , or class  $V_2$ .

Then the equation (1<sub>2</sub>) is nonoscillatory if the equation (1<sub>1</sub>) and the equation (1<sub>3</sub>) are nonoscillatory.

*Proof.* Let, for instance,  $2c_2(x) - b_2'(x) \geq 0$ . Suppose to the contrary that (1<sub>2</sub>) is oscillatory, i.e. there exists a solution of (1<sub>2</sub>) which has zeros for arbitrarily large  $x$ . From the conditions (2) it follows

$$b_3(x) \geq b_2(x), \quad 2c_3(x) - b_3'(x) \geq 2c_2(x) - b_2'(x) \geq 0.$$

Then, by Theorem 6 in [1], the equation (1<sub>3</sub>) is oscillatory, which is a contradiction.

In the same way we can prove all cases included in this theorem. (The definitions of the class  $V_1$  and  $V_2$  see in [1] or [4].)

The main aim of this note is to show that Theorem 2 will be valid also, if we omit the assumptions  $2c_2(x) - b_2^1(x) \geq 0$  ( $2c_2(x) - b_2^1(x) \leq 0$ ), (1<sub>2</sub>) is of class  $V_1$  or class  $V_2$  in it. To prove it, we shall use the following theorem (see [2]).

**Theorem 3.** *Suppose the functions  $f(x)$ ,  $g_i(x)$ ,  $i = 1, 2, 3$  are continuous in an interval  $I$ . Let for any  $x \in I$  be*

$$(3) \quad g_1(x) \leq g_2(x) \leq g_3(x) .$$

*If the differential equation*

$$(4) \quad y''' + f(x)y' + g_i(x)y = 0$$

*is disconjugate for  $i = 1, 3$ , then it is disconjugate for  $i = 2$  in  $I$ .*

**Theorem 4.** *Suppose the coefficients of (1<sub>i</sub>) satisfy (2). If the equations (1<sub>1</sub>) and (1<sub>3</sub>) are nonoscillatory, then the equation (1<sub>2</sub>) is nonoscillatory in  $(0, \infty)$ .*

*Proof.* Consider the differential equations

$$(5) \quad y''' + b_2(x)y' + \bar{c}(x)y = 0 ,$$

$$(6) \quad y''' + b_2(x)y' + \tilde{c}(x)y = 0 ,$$

where the functions  $\bar{c}(x)$ ,  $\tilde{c}(x)$  are defined as follows

$$\bar{c}(x) = \begin{cases} c_2(x) & \text{for all } x \in (0, \infty) \text{ such that } 2c_2(x) - b_2^1(x) \geq 0, \\ \frac{1}{2} b_2^1(x) & \text{for all } x \in (0, \infty) \text{ such that } 2c_2(x) - b_2^1(x) < 0, \end{cases}$$

$$\tilde{c}(x) = \begin{cases} c_2(x) & \text{for all } x \in (0, \infty) \text{ such that } 2c_2(x) - b_2^1(x) \leq 0, \\ \frac{1}{2} b_2^1(x) & \text{for all } x \in (0, \infty) \text{ such that } 2c_2(x) - b_2^1(x) > 0. \end{cases}$$

The functions  $\bar{c}(x)$  and  $\tilde{c}(x)$  defined in this way are continuous in  $(0, \infty)$ . The coefficients of (5) satisfy the conditions

$$0 \leq 2\bar{c}(x) - b_2^1(x) = \max [0, 2c_2(x) - b_2^1(x)] \leq 2c_3(x) - b_3^1(x) , \\ b_2(x) \leq b_3(x) .$$

Since the equation (1<sub>3</sub>) is nonoscillatory, then the equation (5) is nonoscillatory by Theorem 2.

Likewise, the coefficients of (6) satisfy the conditions

$$0 \geq 2\tilde{c}(x) - b_2^1(x) = \min [0, 2c_2(x) - b_2^1(x)] \geq 2c_1(x) - b_1^1(x) , \\ b_2(x) \leq b_1(x) .$$

Then, by Theorem 2, the equation (6) is nonoscillatory since the equation (1<sub>1</sub>) is nonoscillatory.

From the definition of  $\bar{c}(x)$  and  $\tilde{c}(x)$  it follows

$$2\bar{c}(x) - b_2'(x) \leq 2c_2(x) - b_2'(x) \leq 2\tilde{c}(x) - b_2'(x),$$

i.e.

$$\tilde{c}(x) \leq c_2(x) \leq \bar{c}(x).$$

From the Corollary 1 it follows that the equations (5) and (6) are disconjugate in  $[\gamma, \infty)$  for a number  $\gamma > 0$ . Then the equation (1<sub>2</sub>) is disconjugate in  $[\gamma, \infty)$  by Theorem 3, and so (1<sub>2</sub>) is nonoscillatory in  $(0, \infty)$ .

Remark. From the conditions (2) it follows that if  $b_1(x) = b_2(x) = b_3(x)$ , i.e. if the equation (1<sub>i</sub>) has the same form as (4), then the conditions (2) imply the conditions (3) and hence Theorem 4 generalizes Theorem 3.

**Corollary 2.** *Let the coefficients of (a) satisfy assumptions*

$$b(x) \leq p \quad \text{and} \quad |2c(x) - b'(x)| \leq q,$$

where  $p \leq 0$  and  $q \leq 4/3 \sqrt{3}(-p)^{3/2}$ ,  $p, q$  are constants, or the assumptions

$$b(x) \leq \frac{p}{x^2} \quad \text{and} \quad |2c(x) - b'(x)| \leq \frac{\varepsilon}{x^3},$$

where  $p \leq 1$  and  $\varepsilon \leq 4/3 \sqrt{3}(1-p)^{3/2}$ ,  $p, \varepsilon$  are constants. Then the equation (a) is nonoscillatory.

**Corollary 3.** *Let in the equation (a) be  $b(x) \equiv 0$ . Then the equation (a) is nonoscillatory if*

$$|c(x)| \leq \frac{2}{3\sqrt{3}} \cdot \frac{1}{x^3}.$$

Proof. These corollaries are consequences of Theorem 11 in [1].

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## ЗАМЕЧАНИЕ О ТЕОРЕМАХ СРАВНЕНИЯ ДЛЯ ДИФФЕРЕНЦИАЛЬНОГО УРАВНЕНИЯ ТРЕТЬЕГО ПОРЯДКА

Йосеф Ровдер

### Резюме

Решение уравнения  $(a)$  мы будем называть неколебательным, если существует число  $a$  такое, что это решение неимеет нулей в интервале  $(a, \infty)$ . Уравнение  $(a)$  мы будем называть неколебательным, если все его решения неколебательны, и мы будем называть его без сопряженных точек на  $I$ , если каждое его решение имеет на  $I$  не более двух нулей.

В работе доказано что если  $2c(x) - b'(x) \geq 0$  ( $\leq 0$ ) в интервале  $(0, \infty)$ , потом уравнение  $(a)$  является неколебательным на  $(0, \infty)$  тогда и только тогда, когда существует число  $\gamma > 0$  такое, что уравнение  $(a)$  является без сопряженных точек на интервале  $[\gamma, \infty)$ .

Главным результатом этой работы является

Теорема 4. Пусть коэффициенты уравнения  $(1_1)$  удовлетворяют свойствами  $(2)$  и пусть уравнения  $(1_1)$  и  $(1_3)$  являются неколебательными на интервале  $(0, \infty)$ . Тогда уравнение  $(1_2)$  является неколебательным на интервале  $(0, \infty)$ .