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THE NONEXISTENCE OF FREE COMPLETE VECTOR LATTICES

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Free vector lattices were investigated in [1], [3], [9], [11] (cf. also [2], Chap. XV, § 5). Since the class of all vector lattices is an equational one, for each cardinal m there exists a free vector lattice X_m with a set A of free generators such that $\text{card } A = m$. HALES [4] proved that there does not exist a free complete Boolean algebra with an infinite set of free complete generators (this solved the problem proposed by RIEGER [8]). Using the result of Hales we show that there does not exist a free complete vector lattice with an infinite set of free complete generators. An analogous result concerning complete l -groups was proved in [5]. Further, we examine the existence of free (α, ∞) -distributive vector lattices where α is an infinite regular cardinal.

For the terminology, cf. [2], Chap. XV. Lattice unions and intersections are denoted by \vee and \wedge , respectively. Set unions, set intersections and the inclusion are denoted by \cup , \cap and \subset , respectively. A sublattice L_1 of a lattice L is said to be a closed sublattice of L , if, whenever $\{x_i\}$ ($i \in I$) is a subset of L_1 such that $\bigvee x_i$ exists in L , then $\bigvee x_i \in L_1$, and if the dual condition also holds. A mapping φ of a lattice L into a lattice L' is said to be a complete homomorphism if it fulfils the following condition (c_1) and also the condition (c_2) that is dual to (c_1) : If $\{x_i\} \subset L$ and if $\bigvee x_i$ exists in L , then

$$\bigvee \varphi(x_i) \text{ exists in } L' \text{ and } \varphi(\bigvee x_i) = \bigvee \varphi(x_i).$$

Let us recall the definition of a vector lattice (cf. [2]).

A real linear space L with elements f, g, \dots , is called a vector lattice if L is lattice ordered in such a manner that the partial ordering is compatible with the algebraic structure of L , i.e.,

- (i) $f \leq g$ implies $f + h \leq g + h$ for every $f, g, h \in L$,
- (ii) $f \geq 0$ implies $\alpha f \geq 0$ for every $f \in L$ and every real number $\alpha \geq 0$.

Thus $(L; +, \wedge, \vee)$ is an Abelian lattice ordered group; hence $(L; \wedge, \vee)$ is a distributive lattice and

$$\begin{aligned} f + (g \vee h) &= (f + g) \vee (f + h), \\ f + (g \wedge h) &= (f + g) \wedge (f + h) \end{aligned}$$

is valid for every $f, g, h \in L$.

Let A be a subset of a complete Boolean algebra B . We say that A completely generates B if $B_1 = B$ for each closed subalgebra B_1 of B with $A \subset B_1$. The set A is said to be a set of free generators of B , if it satisfies the following conditions: (a) A completely generates B ; (b) if B' is a complete Boolean algebra and if f is a mapping of the set A into B' such that the set $f(A)$ completely generates B' , then there exists a complete homomorphism ψ of B onto B' such that $\psi(a) = f(a)$ for each $a \in A$. (Cf. [4].)

Now we introduce analogous notions for complete vector lattices. For any vector lattice X the corresponding lattice will be denoted by \bar{X} . A vector sublattice X_1 of a vector lattice X is said to be a closed vector sublattice of X , if \bar{X}_1 is a closed sublattice of \bar{X} . Let A be a subset of a complete vector lattice X . We say that A completely generates X if $X_1 = X$ for each closed vector sublattice X_1 of X with $A \subset X_1$. A homomorphism φ of a complete vector lattice X into a complete vector lattice X' is called a complete homomorphism if φ is a complete homomorphism of the lattice \bar{X} into the lattice \bar{X}' . Let A be a subset of a complete vector lattice X . Then A is said to be a set of free complete generators of X if it fulfils the following conditions: (a) A completely generates X , and (b) for each complete vector lattice X' and each mapping $f : A \rightarrow X'$ such that $f(A)$ completely generates X' there is a complete homomorphism ψ of X onto X' such that $\psi(a) = f(a)$ for each $a \in A$. If A is a set of free complete generators of a complete vector lattice X and $\text{card } A = \gamma$, then X is called a free complete vector lattice on γ free complete generators.

Let X be a complete vector lattice, $0 < e \in X$. The element e is called a weak unit of X if $e \wedge x > 0$ for each $0 < x \in X$. The element e is a strong unit of X if for each $0 < x \in X$ there is a positive integer $n(x)$ such that $x \leq n(x)e$. Each strong unit of X is a weak unit of X . Let e be a weak unit of X and let $B(e)$ be the set of all elements $e_i \in X$ such that $e_i \geq 0$ and $e_i \wedge (e - e_i) = 0$. The set $B(e)$ is said to be a basis of X .

We need the following results:

Theorem A. (Cf. [6], p. 92.) *Let e be a weak unit of a complete vector lattice X . Then the basis $B(e)$ is a closed sublattice of \bar{X} and $B(e)$ is a Boolean algebra.*

Theorem B. (Cf. [6], p. 131, Thm. 1.53.) *Let B be a complete Boolean algebra. Then there is a complete vector lattice X and a weak unit e of X such that the basis $B(e)$ is isomorphic to B .*

Theorem C. (Cf. [4], § 4, Thm. 3.) *Let m be an infinite cardinal. There exists a complete Boolean algebra B_m and a subset $A \subset B_m$ such that A completely generates B_m , $\text{card } A = \aleph_0$ and $\text{card } B_m = m$.*

Theorem 1. *Let α be an infinite cardinal. There does not exist a free complete vector lattice on α free complete generators.*

Proof. Suppose that a set A_0 is the set of free complete generators of a complete lattice X_0 , $\text{card } A_0 = \alpha$. Let m be a cardinal, $m > \text{card } X_0$. Let $B = B_m$ be a Boolean algebra fulfilling the assertion of Thm. C. Further let X be a complete vector lattice satisfying the assertion of Thm. B. Since the Boolean algebras B_m and $B(e)$ are isomorphic we may put $B(e) = B_m$. Choose $a_0, a_1 \in A_0$ and $A_1 \subset A_0 \setminus \{a_0, a_1\}$, $\text{card } A_1 = \aleph_0$. Let $f_1 : A_1 \rightarrow A$ be a bijection and let f be a mapping of the set A_0 into X such that $f(a_0) = 0, f(a_1) = e, f(a) = f_1(a)$ for each $a \in A_1$ and $f(a) = 0$ for each $a \in A_0 \setminus (A_1 \cup \{a_0, a_1\})$. Let Y be the intersection of all closed vector sublattices Y_i of X with $f(A_0) \subset Y_i$. Then Y is a closed vector sublattice of X , hence Y is a complete lattice and Y is completely generated by the set $f(A_0)$.

According to the definition of a free complete vector lattice, there is a complete homomorphism ψ of X_0 onto Y such that $\psi(a) = f(a)$ for each $a \in A_0$. Since e is a weak unit of X , e is a weak unit of Y . By Thm. A, $B(e) = B$ is a closed sublattice of X and hence the set $B \cap Y = B_0$ is a closed sublattice of Y . Thus, since $0, e \in B_0$, the set B_0 is a complete lattice. Obviously B_0 is distributive. Let $b_0 \in B_0$. Then $b_0 \in B(e)$, hence $b_0 \wedge (e - b_0) = 0$. This implies $e - b_0 \in B(e)$ and so $e - b_0 \in B_0$. Further we have $b_0 \vee (e - b_0) = b_0 + (e - b_0) = e$, hence $e - b_0$ is the complement of b_0 in the Boolean algebra B . This implies that B_0 is a closed subalgebra of B . Since $A \subset B_0$ we obtain (because B is completely generated by A) that $B_0 = B$. Therefore $m = \text{card } B \leq \text{card } Y = \text{card } \psi(X_0)$. This implies $\text{card } X_0 \geq m$, which is a contradiction.

Let α, β be cardinals. Let us consider the following condition on a lattice L (cf. [4]):
(d₁) L satisfies the identity

$$\bigwedge_{s \in S} \bigvee_{t \in T} X_{s,t} = \bigvee_{\varphi \in T^S} \bigwedge_{s \in S} X_{s, \varphi(s)}$$

whenever $\text{card } S \leq \alpha$, $\text{card } T \leq \beta$ and all joins and meets do exist in L .

If L satisfies (d₁) and the condition dual to (d₁) then L is called (α, β) -distributive. If L is (α, β) -distributive for each cardinal β , then it is said to be (α, ∞) -distributive. It is easy to verify that a vector lattice is (α, β) -distributive if it fulfils the condition (d₁).

A complete (α, ∞) -distributive Boolean algebra B is said to be a free complete (α, ∞) -distributive Boolean algebra on γ free complete generators if there is a subset $A \subset B$ with $\text{card } A = \gamma$ such that A is a set of free complete generators of B and every mapping f of A onto a subset A' of a complete (α, ∞) -distributive Boolean algebra B' which completely generates B' can be extended to a complete homomorphism of B onto B' .

Replacing "Boolean algebra" by "vector lattice" everywhere in the above definition, we obtain the definition of a free complete (α, ∞) -distributive vector lattice on γ complete generators.

Theorem C'. (Cf. [4], p. 62.) *Let γ be an infinite regular cardinal. Let m be a cardinal, $m \geq \gamma$. There exists a complete (γ, ∞) -distributive Boolean algebra B_m^0 and a subset $A \subset B_m^0$ such that A completely generates B_m^0 , $\text{card } A = \gamma$, and $\text{card } B_m^0 = m$.*

Theorem D. (Cf. [7].) *Let B be a Boolean algebra and let M be the Stone space of B . Then the lattice $C(M)$ of all real continuous functions on M is (α, β) -distributive if and only if B is (α, β) -distributive.*

Theorem E. (Cf. [10], Thm. v. 3.1.) *Let e be a strong unit of a complete vector lattice Y . Let M be the Stone space of the Boolean algebra $B(e) = B$. Then Y is isomorphic with the vector lattice $B(M)$ consisting of all bounded continuous functions on M .*

A subset P of a vector lattice Q is said to be convex if $p_1, p_2 \in P, q \in Q, p_1 \leq q \leq p_2$ implies $q \in P$.

Lemma. *Let P be a vector sublattice of a vector lattice Q . Assume that P is a convex subset of Q and that for each $0 < q \in Q$ there exists $0 < p \in P$ with $p \wedge q > 0$. Then P is (α, β) -distributive.*

Proof. If $\{f_i\}$ is a subset of P and if $f \in P$ is the least upper bound of $\{f_i\}$ in P , then f is also the least upper bound of the set $\{f_i\}$ in Q (since P is convex in Q). A similar assertion holds for greatest lower bounds of subsets of P . Thus if P is not (α, β) -distributive, then Q fails to be (α, β) -distributive. Assume that Q is not (α, β) -distributive. Then there exists a system $\{x_{s,t}\} \subset Q$ with $\text{card } S \leq \alpha, \text{card } T \leq \beta$ such that all joins and meets standing in (d_1) do exist in Q and

$$v = \bigwedge_{s \in S} \bigvee_{t \in T} x_{s,t} > \bigvee_{\varphi \in TS} \bigwedge_{s \in S} x_{s, \varphi(s)} = u.$$

There exists $0 < f_1 \in P$ with $f_1 \wedge (v - u) > 0$. Denote

$$(x_{s,t} \wedge v) \vee u = \bar{x}_{s,t},$$

$$(\bar{x}_{s,t} - u) \wedge f_1 = y_{s,t}.$$

Then we have

$$0 < f_1 \wedge (v - u) = \bigwedge_{s \in S} \bigvee_{t \in T} y_{s,t} \neq \bigvee_{\varphi \in TS} \bigwedge_{s \in S} y_{s, \varphi(s)} = 0;$$

hence P is not (α, β) -distributive.

Theorem 2. *Let γ be an infinite regular cardinal. Then there does not exist a free complete (γ, ∞) -distributive vector lattice on γ complete generators.*

Proof. Suppose that X_0 is a complete (γ, ∞) -distributive vector lattice with a set A_0 of free complete generators, $\text{card } A_0 = \gamma$. Let m be a cardinal, $m > \text{card } X_0$. Let $B_m^0 = B$ be as in Thm. C'. Now we use a similar method as in the proof of Thm. 1. Let X be as in Thm. B. We may put $B = B(e)$. Choose two distinct elements $a_0, a_1 \in A_0$ and denote $A_1 = A_0 \setminus \{a_0, a_1\}$. Then there exists a mapping f_1 of A_1 onto A and let f be a mapping of A_0 into X such that $f(a_0) = 0, f(a_1) = e$ and $f(a) = f_1(a)$ for each $a \in A_0$.

Let Y be the closed vector sublattice of X generated by the set $A \cup \{0, e\}$. Then Y is a complete vector lattice that is completely generated by the set $A \cup \{e\}$ and e is a weak unit of Y . Let Y_0 be the set of all $y \in Y$ satisfying $-n(y)e \leq y \leq n(y)e$ for a positive integer $n(y)$. The set Y_0 is a complete vector lattice and it is a convex vector sublattice of Y ; the element e is a strong unit of Y_0 .

Let M be the Stone space of the Boolean algebra B . According to Thm. D, $C(M)$ is (γ, ∞) -distributive and hence by the Lemma the vector lattice $B(M)$ is (γ, ∞) -distributive. From Thm. E it follows that Y_0 is isomorphic with $B(M)$ and therefore Y_0 is (γ, ∞) -distributive. Since e is a weak unit of Y and since e belongs to Y_0 , according to the Lemma we obtain that Y is (γ, ∞) -distributive. Thus there is a complete homomorphism ψ of X_0 onto Y . By the same reasoning as in the proof of Thm. 1 we get that $B(e) \subset Y$. Therefore $m \leq \text{card } Y \leq \text{card } X_0$, which is a contradiction.

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