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**INFINITE ALGEBRAS WITH 3-TRANSITIVE  
GROUPS OF WEAK AUTOMORPHISMS**

LÁSZLÓ SZABÓ

ABSTRACT. The infinite algebras with 3-transitive groups of weak automorphisms are investigated. Among others it is shown that if an infinite algebra with 3-transitive group of weak automorphisms has a nontrivial idempotent polynomial operation then either it is locally functionally complete or it is polynomially equivalent to a vector space over the two element field or it is a simple algebra that is semi-affine with respect to an elementary 2-group. In the second and third cases the group of weak automorphisms cannot be 4-transitive.

## INTRODUCTION

A. Salomaa in [9] proved that if an at least five element finite algebra with the full symmetric group in its clone has a surjective term operation depending on at least two variables then it is primal. Salomaa's theorem was extended to algebras with 3-transitive permutation groups in their clones in [13]. For finite algebras the most general results in this direction are in [16], where the structure of finite simple surjective algebras with transitive permutation groups in their clones were described. For infinite algebras the most general result in this direction given in [8] is the following: If an infinite algebra with a 3-transitive group in its clone has a nontrivial idempotent polynomial operation then it is either locally complete or semi-affine with respect to an elementary 2-group. This result was slightly improved in [12].

B. Csákány in [1] proved that every nontrivial at least five element finite algebra whose automorphism group is the full symmetric group is functionally complete. Csákány's result was extended to finite algebras with 3-transitive automorphism groups [10], to algebras with 2-transitive automorphism groups [6] and to algebras with primitive automorphism group [7]. The finite simple algebras with transitive automorphism groups were described in [14] and [15]. For finite algebras the most general results in this direction are in [17], where the finite characteristically simple algebras (i.e., algebras that have no nontrivial congruence relation preserved by

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all automorphisms) were classified. For infinite algebras the most general result in this direction proved by H. K. Kaiser and L. Marki in [4] is the following: Every nontrivial infinite algebra with 3-transitive automorphism group is either locally functionally complete or term equivalent to an affine space over the two element field. This result was slightly improved in [11].

Following A. Goetz [3] and E. Marczewski [5], by a weak automorphism of an algebra  $\mathbf{A}$  we mean a permutation  $\pi$  on its base set such that for every term operation  $f$  of  $\mathbf{A}$  we have that  $f^\pi$  and  $f^{\pi^{-1}}$  are also term operations of  $\mathbf{A}$ , where  $f^\pi$  is defined by  $f^\pi(x_1, \dots, x_n) = f(x_1\pi^{-1}, \dots, x_n\pi^{-1})\pi$ . It is easy to see that all automorphisms and if  $\mathbf{A}$  is finite then all unary bijective term operations of  $\mathbf{A}$  are weak automorphisms. Thus the common property of the algebras mentioned above is that they have "large" sets of weak automorphisms. In [18] we classified the finite algebras that have no nontrivial congruence relations preserved by all weak automorphisms and among others we described the finite algebras with 2-transitive group of weak automorphisms. The aim of the present paper is to investigate and classify the infinite algebras whose groups of weak automorphisms are 3-transitive (Theorem 3.2). As a corollary we have that if an infinite algebra with 3-transitive group of weak automorphisms has a nontrivial idempotent polynomial operation then either it is locally functionally complete or it is polynomially equivalent to a vector space over the two element field or it is an algebra having no nontrivial compatible binary reflexive relations that is semi-affine with respect to an elementary 2-group. In the second and third cases the group of weak automorphisms cannot be 4-transitive.

## 1. NOTIONS AND NOTATIONS

Let  $A$  be a nonempty set. For any positive integer  $n$  let  $\mathbf{O}_A^{(n)}$  denote the set of all  $n$ -ary operations on  $A$  and put  $\mathbf{O}_A = \bigcup_{n=1}^{\infty} \mathbf{O}_A^{(n)}$ . The full symmetric group and the set of all unary constant operations will be denoted by  $S_A$  and  $C_A$ , respectively. If  $m \geq 1$  then we put  $\mathbf{m} = \{1, \dots, m\}$ , and we write  $S_{\mathbf{m}}$  instead of  $S_{\mathbf{m}}$ . A permutation group  $G \leq S_A$  is said to be  $k$ -transitive ( $k \geq 1$ ) if for any pairwise distinct elements  $x_1, \dots, x_k \in A$  and for any pairwise distinct elements  $y_1, \dots, y_k \in A$  there exists a permutation  $\pi \in G$  such that  $x_i\pi = y_i$ ,  $i = 1, \dots, k$ ;  $G$  is termed *highly transitive* if  $G$  is  $k$ -transitive for any  $k \geq 1$ .  $G$  is said to be *primitive* if  $(A; G)$  is simple and  $|G| > 1$  if  $|A| = 2$ . Clearly, primitivity implies transitivity. The stabilizer subgroup of the elements  $a_1, \dots, a_n \in A$  in a permutation group  $G \leq S_A$  is denoted by  $G_{a_1, \dots, a_n}$ , i.e.,  $G_{a_1, \dots, a_n} = \{\pi \in G: a_1\pi = a_1, \dots, a_n\pi = a_n\}$  ( $n \geq 1$ ).

An operation  $f \in \mathbf{O}_A$  is *nontrivial* if it is not a projection. By a *clone* we mean a subset of  $\mathbf{O}_A$  which is closed under superpositions and contains all projections. A subset  $F \subseteq \mathbf{O}_A$  is *locally closed* if it contains every operation  $f \in \mathbf{O}_A^{(n)}$  ( $n = 1, 2, \dots$ ) with the following property: for every finite subset  $B \subseteq A^n$  there is a  $g \in F \cap \mathbf{O}_A^{(n)}$  such that  $f|_B = g|_B$ . The *local closure*  $\text{Loc } F$  of  $F$  is the least locally closed clone containing  $F$ .

The clone of all term operations and the clone of all polynomial operations of an algebra  $\mathbf{A}$  are denoted by  $\text{Clo } \mathbf{A}$  and  $\text{Pol } \mathbf{A}$ , respectively. For every  $n \geq 1$  we put  $\text{Clo}_n \mathbf{A} = \text{Clo } \mathbf{A} \cap \mathbf{O}_A^{(n)}$  and  $\text{Pol}_n \mathbf{A} = \text{Pol } \mathbf{A} \cap \mathbf{O}_A^{(n)}$ . Two algebras  $\mathbf{A}$  and  $\mathbf{B}$  with a common base set are called *term equivalent* (*polynomially equivalent*) if  $\text{Clo } \mathbf{A} = \text{Clo } \mathbf{B}$  ( $\text{Pol } \mathbf{A} = \text{Pol } \mathbf{B}$ ). Two algebras  $\mathbf{A}$  and  $\mathbf{B}$  are also called *term equivalent* (*polynomially equivalent*) if  $\mathbf{A}$  is term equivalent (polynomially equivalent) to an algebra isomorphic to  $\mathbf{B}$ . An algebra  $\mathbf{A}$  is *locally primal* or *locally complete* if  $\text{Loc } F (= \text{Loc Clo } \mathbf{A}) = \mathbf{O}_A$ . We say that  $\mathbf{A}$  is *locally functionally complete* or has the *interpolation property* if  $\text{Loc}(F \cup C_A) (= \text{Loc Pol } \mathbf{A}) = \mathbf{O}_A$ .

The automorphism group of an algebra  $\mathbf{A} = (A; F)$  is denoted by  $\text{Aut } \mathbf{A}$ .

We say that an  $h$ -ary relation  $\rho$  on a set  $A$  is *reflexive* if  $(a, \dots, a) \in \rho$  for any  $a \in A$ . For a set of operation  $F$  the set of (reflexive) relations preserved by all operations in  $F$  will be denoted by  $\text{Inv } F$  ( $\text{Inv}_r F$ ). We say that a relation  $\rho$  is a *compatible relation* of the algebra  $(A; F)$  if  $\rho \in \text{Inv } F$ . The binary identity relation on  $A$  is denoted by  $\omega_A$  or simply by  $\omega$ . The converse of a binary relation  $\rho$  is the relation  $\rho^{-1} = \{(y, x): (x, y) \in \rho\}$ .

For an equivalence relation  $\Theta$  on the set  $\mathbf{h}$  ( $h \geq 1$ ) put

$$\Delta_\Theta = \{(x_1, \dots, x_h) \in A^h: x_i = x_j \text{ for any } (i, j) \in \Theta.\}$$

The relation  $\Delta_\Theta$  is termed a *diagonal relation* or a *trivial relation*. A relation  $\Theta$  on  $\mathbf{h}$  will be often given by the list  $\varepsilon_1, \dots, \varepsilon_l$  of its nonsingleton blocks and so  $\Delta_{12}^h$  or simply  $\Delta_{12}$  is the set of  $h$ -tuples  $(x_1, \dots, x_h)$  with  $x_1 = x_2$ ,  $\Delta_{12,34}^h$  or simply  $\Delta_{12,34}$  is the set of  $h$ -tuples  $(x_1, \dots, x_h)$  with  $x_1 = x_2$  and  $x_3 = x_4$ . It is well-known that a nonempty relation is trivial if and only if it is preserved by all operations in  $\mathbf{O}_A$ .

An  $h$ -ary relation  $\rho$  on  $A$  is called *totally symmetric* if  $(a_1, \dots, a_h) \in \rho$  implies  $(a_{1\pi}, \dots, a_{h\pi}) \in \rho$  for every  $\pi \in S_h$ , and  $\rho$  is called *totally reflexive* if  $(a_1, \dots, a_h) \in \rho$  whenever  $a_i = a_j$  for some  $i \neq j$  ( $1 \leq i, j \leq h$ ).

An algebra  $\mathbf{A}$  is *semi-affine* with respect to an Abelian group  $\bar{\mathbf{A}}$ , if  $\mathbf{A}$  and  $\bar{\mathbf{A}}$  have a common base set  $A$  and the quaternary relation

$$\{(x, y, z, t) \in A^4: x - y + z = t\}$$

is a compatible relation of  $\mathbf{A}$ ; if, in addition,  $x - y + z$  is a term operation of  $\mathbf{A}$  then  $\mathbf{A}$  is said to be *affine* with respect to  $\bar{\mathbf{A}}$ .

## 2. WEAK AUTOMORPHISMS AND COMPATIBLE RELATIONS

Let  $A$  be a nonempty set. For an  $n$ -ary operation  $f$ , a set of operations  $F$ , a set of relations  $R$ , an  $h$ -ary relation  $\rho$  and a permutation  $\pi$  on  $A$  put

$$f^\pi(x_1, \dots, x_n) = f(x_1\pi^{-1}, \dots, x_n\pi^{-1})\pi, \text{ for } x_1, \dots, x_n \in A,$$

$$\rho^\pi = \{(x_1\pi, \dots, x_h\pi): (x_1, \dots, x_h) \in \rho\}$$

and

$$F^\pi = \{f^\pi: f \in F\}, R^\pi = \{\sigma^\pi: \sigma \in R\}.$$

If  $B \subseteq A$ , i.e.,  $B$  is a unary relation of  $A$  then we often write  $B\pi$  instead of  $B^\pi$ .

In the next lemma we summarize some useful facts which are immediate consequences of the definitions and therefore the proofs are left to the reader.

**Lemma 2.1.** *If  $f$  is an operation,  $\rho$  is a relation,  $R$  is a set of relations,  $F$  is a set of operations,  $\pi, \tau$  are permutations on  $A$  and  $\mathbf{A} = (A; F)$  is an algebra then the following statements hold:*

$$(2.1.1) \quad (f^\pi)^\tau = f^{\pi\tau}, (F^\pi)^\tau = F^{\pi\tau}, (\rho^\pi)^\tau = \rho^{\pi\tau} \text{ and } (R^\pi)^\tau = R^{\pi\tau}.$$

$$(2.1.2) \quad R^\pi = R \text{ if and only if } R^\pi, R^{\pi^{-1}} \subseteq R.$$

$$(2.1.3) \quad F^\pi = F \text{ if and only if } F^\pi, F^{\pi^{-1}} \subseteq F.$$

$$(2.1.4) \quad (\text{Inv } F)^\pi = \text{Inv } F^\pi \text{ and } (\text{Inv}_r F)^\pi = \text{Inv}_r F^\pi.$$

Following A. Goetz [3] and E. Marczewski [5], by a *weak automorphism (pseudo-weak automorphism)* of an algebra  $\mathbf{A} = (A; F)$  we mean a permutation  $\pi \in S_A$  such that for every  $f \in \text{Clo } \mathbf{A}$  ( $f \in \text{Pol } \mathbf{A}$ ) we have that  $f^\pi, f^{\pi^{-1}} \in \text{Clo } \mathbf{A}$  ( $f^\pi, f^{\pi^{-1}} \in \text{Pol } \mathbf{A}$ ). The set of all weak automorphisms and the set of all pseudo-weak automorphisms of  $\mathbf{A}$  will be denoted by  $\text{WAut } \mathbf{A}$  and  $\text{WAut}^* \mathbf{A}$ , respectively. Clearly, they form groups under composition such that  $\text{Aut } \mathbf{A} \triangleleft \text{WAut } \mathbf{A} \leq \text{WAut}^* \mathbf{A}$ . If  $A$  is finite then  $\text{Clo } \mathbf{A} \cap S_A$  and  $\text{Pol } \mathbf{A} \cap S_A$  form groups under composition such that  $\text{Clo } \mathbf{A} \cap S_A \triangleleft \text{WAut } \mathbf{A}$  and  $\text{Pol } \mathbf{A} \cap S_A \triangleleft \text{WAut}^* \mathbf{A}$ .

The next lemma is an immediate consequence of the definition of (pseudo-)weak automorphisms and of (2.1.4). We shall often use it in our arguments without quoting the lemma.

**Lemma 2.2.** *If  $\mathbf{A} = (A; F)$  is an arbitrary algebra,  $\rho \in \text{Inv } F$  ( $\rho \in \text{Inv}_r F$ ) and  $\pi \in \text{WAut } \mathbf{A}$  ( $\pi \in \text{WAut}^* \mathbf{A}$ ) then  $\rho^\pi \in \text{Inv } F$  ( $\rho^\pi \in \text{Inv}_r F$ ).*

**Lemma 2.3.** *Let  $\mathbf{A} = (A; F)$  be an algebra and let  $G$  be an arbitrary subgroup of  $\text{WAut } \mathbf{A}$  ( $\text{WAut}^* \mathbf{A}$ ). If  $\rho \in \text{Inv } F$  ( $\rho \in \text{Inv}_r F$ ) then  $\bigcap \{\rho^\pi: \pi \in G\}$  belongs to  $\text{Inv}(F \cup G)$ .*

**Proof.** It is straightforward and is left to the reader. □

**Lemma 2.4.** *For an algebra  $\mathbf{A} = (A; F)$  the following statements hold:*

- (a) *If  $\text{WAut } \mathbf{A}$  is transitive then either  $C_A \subseteq \text{Clo}_1 \mathbf{A}$  or  $C_A \cap \text{Clo}_1 \mathbf{A} = \emptyset$ .*
- (b) *If  $\text{WAut } \mathbf{A}$  is 2-transitive then  $\mathbf{A}$  is either idempotent or has no proper subalgebra.*

**Proof.** Let  $\mathbf{A} = (A; F)$  be an algebra. In order to prove (a) suppose that  $\text{WAut } \mathbf{A}$  is transitive. If  $C_A \cap \text{Clo}_1 \mathbf{A} = \emptyset$  then we are done. Assume that for some  $a \in A$  the unary constant operation  $c_a: A \mapsto \{a\}$  is a term operation of  $\mathbf{A}$  and let  $b \in A$  be an arbitrary element. Since  $\text{WAut } \mathbf{A}$  is transitive, there is a  $\pi \in \text{WAut } \mathbf{A}$  such that  $a\pi = b$ . Then, clearly,  $c_a^\pi = c_{a\pi} = c_b: A \mapsto \{b\}$  is again a unary term operation. Hence we have  $C_A \subseteq \text{Clo}_1 \mathbf{A}$  completing the proof of (a).

Now in order to prove (b) suppose that  $\text{WAut } \mathbf{A}$  is 2-transitive. For an element  $a \in A$  let us denote by  $[a]$  the subalgebra generated by the singleton  $\{a\}$ . Since  $[a]\pi$  is a subalgebra and  $a\pi \in [a]\pi$  therefore  $[a\pi] \subseteq [a]\pi$ . Replacing  $a$  with  $a\pi$  and  $\pi$  with  $\pi^{-1}$  we obtain that  $[a] \subseteq [a\pi]\pi^{-1}$  and  $[a]\pi \subseteq [a\pi]$ . Hence  $[a]\pi = [a\pi]$  for any  $\pi \in \text{WAut } \mathbf{A}$ . It follows that the binary relation  $\rho = \{(a, b) : [a] \subseteq [b]\}$  is preserved by all weak automorphisms. Since  $G$  is 2-transitive we have that  $\rho \in \{\omega, A^2\}$ . If  $\mathbf{A}$  has no proper subalgebras then we are done. If  $\mathbf{A}$  has a proper subalgebra then  $[a] \neq A$  for some  $a \in A$  and  $(a, b) \notin \rho$  for every  $b \in A \setminus [a]$ . It follows that  $\rho \neq A^2$  and  $\rho = \omega$ . If  $\mathbf{A}$  is not idempotent then  $|[c]| > 1$  for some  $c \in A$ , and if  $d \in [c]$  with  $c \neq d$  then  $[d] \subseteq [c]$ . Thus  $(d, c) \in \rho$  and  $\rho \neq \omega$ , a contradiction. Hence  $\mathbf{A}$  is idempotent which completes the proof of (b) and the lemma.  $\square$

**Lemma 2.5.** *If  $\mathbf{A} = (A; F)$  is a non-simple algebra such that  $\text{WAut}^* \mathbf{A}$  is 3-transitive then  $\mathbf{A}$  is polynomially equivalent either to  $(A; \text{id}_A)$  or to  $(A; x + y)$  or to  $(A; \{x + a : a \in A\})$  where  $(A; +)$  is an elementary 2-group. In the second and third case  $\text{WAut}^* \mathbf{A} = \{xr + a : r \in \text{Aut}(A; +) \text{ and } a \in A\}$ .*

**Proof.** Let  $\mathbf{A} = (A; F)$  be a non-simple algebra and suppose that  $\text{WAut}^* \mathbf{A}$  is 3-transitive. Put  $G = \text{WAut}^* \mathbf{A}$ . For arbitrary distinct elements  $a, b \in A$ , as usual,  $\Theta(a, b)$  denotes the principal congruence generated by  $a$  and  $b$ .

**Claim 1.**  $\Theta(a\pi, b\pi) = \Theta(a, b)^\pi$  and  $\Theta(a, b) \neq A^2$  for any  $a, b \in A$  with  $a \neq b$  and  $\pi \in \text{WAut}^* \mathbf{A}$ .

In order to prove Claim 1 let us choose two distinct elements  $a, b \in A$  and let  $\pi \in \text{WAut}^* \mathbf{A}$ . Consider the principal congruences  $\Theta(a, b)$  and  $\Theta(a\pi, b\pi)$ . Then  $(a\pi, b\pi) \in \Theta(a, b)^\pi$  implies that  $\Theta(a\pi, b\pi) \subseteq \Theta(a, b)^\pi$ . Replacing  $(a, b)$  with  $(a\pi, b\pi)$  and  $\pi$  with  $\pi^{-1}$  we obtain  $\Theta(a, b) \subseteq (\Theta(a\pi, b\pi))^{\pi^{-1}}$  and  $(\Theta(a, b))^\pi \subseteq \Theta(a\pi, b\pi)$ . Hence  $\Theta(a\pi, b\pi) = \Theta(a, b)^\pi$ . Since  $\mathbf{A}$  is non-simple, for some distinct elements  $x, y \in A$  we have that  $\Theta(x, y) \neq A^2$ . Since  $\text{WAut}^* \mathbf{A}$  is 3-transitive there is a  $\pi \in \text{WAut}^* \mathbf{A}$  such that  $x\pi = a$  and  $y\pi = b$ . Then  $\Theta(a, b) = \Theta(x\pi, y\pi) = \Theta(x, y)^\pi$  implies that  $\Theta(a, b) \neq A^2$  which completes the proof of Claim 1.

**Claim 2.** *For the congruence lattice of  $\mathbf{A}$  we have one of the following two possibilities:*

- (i) *All equivalence relations on  $A$  are congruence relations of  $\mathbf{A}$ .*
- (ii) *Each block of any principal congruence relation of  $\mathbf{A}$  has two elements.*

In order to prove Claim 2 let  $a, b \in A$  be two distinct elements. If  $\pi \in G_{a,b}$  then  $\Theta(a, b)^\pi = \Theta(a\pi, b\pi) = \Theta(a, b)$ . Hence  $\pi$  preserves  $\Theta(a, b)$ . Since  $\text{WAut}^* \mathbf{A}$  is 3-transitive  $G_{a,b}$  is transitive on  $A \setminus \{a, b\}$ . It follows that  $a/\Theta(a, b) = \{a, b\}$  and each block of  $\Theta(a, b)$  distinct from  $\{a, b\}$  has the same cardinality. Indeed, if  $c \in a/\Theta(a, b)$  with  $c \neq a, b$  then for any  $d \neq a, b$  we have  $d = c\pi$  for some  $\pi \in G_{a,b}$  and  $(a, d) = (a\pi, c\pi) \in \Theta(a, b)$  implying that  $\Theta(a, b) = A^2$ , a contradiction. Hence  $a/\Theta(a, b) = \{a, b\}$ . If  $c, d \notin \Theta(a, b)$  then choose a  $\pi \in G_{a,b}$  such that  $c\pi = d$ . Then, since  $\pi$  and  $\pi^{-1}$  preserves  $\Theta(a, b)$  we have  $(c/\Theta(a, b))\pi \subseteq c\pi/\Theta(a, b) = d/\Theta(a, b)$ ,  $(d/\Theta(a, b))\pi^{-1} \subseteq d\pi^{-1}/\Theta(a, b) = c/\Theta(a, b)$ ,  $(d/\Theta(a, b)) \subseteq (c/\Theta(a, b))\pi$  and  $(c/\Theta(a, b))\pi = d/\Theta(a, b)$ . It follows that each block of  $\Theta(a, b)$  distinct from

$\{a, b\}$  has the same cardinality, say  $\kappa$ . If  $x, y \in A$  with  $x \neq y$  then for some  $\pi \in \text{WAut}^* \mathbf{A}$  we have  $(x, y) = (a\pi, b\pi)$  and  $\Theta(x, y) = (\Theta(a, b))^\pi$ . It follows that for any  $x, y \in A$  with  $x \neq y$ ,  $x/\Theta(x, y) = \{x, y\}$  and each block of  $\Theta(x, y)$  distinct from  $\{x, y\}$  has the same cardinality  $\kappa$ .

If  $\kappa = 1$  then for any  $x, y \in A$  with  $x \neq y$ ,  $\Theta(x, y) = \omega \cup \{(x, y), (y, x)\}$ , and if  $\Theta$  is an arbitrary equivalence relation on  $A$  then  $\Theta = \bigvee \{\Theta(x, y) : (x, y) \in \Theta\}$ . Hence  $\Theta$  is a congruence relation of  $\mathbf{A}$  and we have (i).

Now suppose that  $\kappa \geq 2$ . Let  $a, b, c, d \in A$  be pairwise distinct elements such that  $(c, d) \in \Theta(a, b)$ . Then, since  $\Theta(c, d) \subseteq \Theta(a, b)$ , we have that  $2 \leq \kappa = |a/\Theta(c, d)| \leq |a/\Theta(a, b)| = 2$  and  $\kappa = 2$ . Hence we have (ii). This completes the proof of Claim 2.

It is well-known that if an operation on an at least three element set  $A$  preserves all equivalence relations on  $A$  then it is either a projection or a constant. Therefore in case (i)  $\mathbf{A}$  is polynomially equivalent to  $(A; \text{id}_A)$ .

Finally in case (ii), taking into consideration the main result of [2] we have that  $\mathbf{A}$  is polynomially equivalent to either  $(A; x + y)$  or  $(A; \{x + a : a \in A\})$  where  $(A : +)$  is an elementary 2-group. Put

$$N = \{x + a : a \in A\} \text{ and } H = \{xr + a : r \in \text{Aut}(A; +) \text{ and } a \in A\}.$$

In both cases it is easy to check that  $H \subseteq G$ . Moreover,  $\text{Pol}_1 \mathbf{A} \cap S_A = N$  which implies that  $N \triangleleft G$ . Since  $G$  is a primitive permutation group, by [19; Theorem 8.2],  $G_0$  is a maximal subgroup of  $G$  and thus  $G_0 \cup N$  generates  $G$ . Therefore we have to show only that  $G_0 \subseteq H$ . Let  $\pi \in G_0$  and let  $a, b \in A$  be two arbitrary elements. Then  $x + b \in N$  implies that  $(x\pi^{-1} + b)\pi \in N$ , i.e.,  $(x\pi^{-1} + b)\pi = x + c$  for some  $c \in A$ . Then  $c = 0 + c = (0\pi^{-1} + b)\pi = (0 + b)\pi = b\pi$ . In case  $x = a\pi$  we have  $a\pi + b\pi = ((a\pi)\pi^{-1} + b)\pi = (a + b)\pi$ . Hence  $\pi \in \text{Aut}(A; +)$  and  $G_0 \subseteq H$ . This completes the proof.  $\square$

**Lemma 2.6.** *If  $\mathbf{A}$  is an algebra such that  $\text{WAut}^* \mathbf{A}$  is  $k$ -transitive for some  $k \geq 3$ , then the following statements hold:*

- (a) *If  $\mathbf{A}$  is simple then  $\mathbf{A}$  has no nontrivial compatible binary reflexive relations.*
- (b) *If  $\mathbf{A}$  has a compatible  $h$ -ary ( $3 \leq h \leq k$ ) totally reflexive and totally symmetric relation distinct from the full relation then every polynomial operation of  $\mathbf{A}$  depending on at least two variables takes on at most  $h - 1$  values.*

**Proof.** Let  $\mathbf{A} = (A; F)$  be a simple algebra such that  $\text{WAut}^* \mathbf{A}$  is  $k$ -transitive ( $k \geq 3$ ). To show (a) suppose that  $\rho$  is a nontrivial compatible binary reflexive relation of  $\mathbf{A}$ . First we show that  $\rho$  cannot be symmetric. In order to show this suppose that  $\rho$  is symmetric. If  $\rho$  is a central relation, i.e., there is an  $a \in A$  such that  $\rho_a \subseteq \rho$  where

$$\rho_a = \{(x, y) \in A^2 : x = y \text{ or } x = a \text{ or } y = a\},$$

then, consider the relation  $\sigma = \bigcap \{\rho^\pi : \pi \in G_a\}$  which, by Lemma 2.3, is a compatible relation of  $(A; F \cup G_a)$ . Clearly,  $\rho_a \subseteq \sigma$ . If  $\rho_a \neq \sigma$  then there are two distinct elements  $b, c \in A \setminus \{a\}$  such that  $(b, c) \in \sigma$ . Since  $G$  is 3-transitive therefore  $G_a$  is 2-transitive on  $A \setminus \{a\}$ . Thus for any  $x, y \in A \setminus \{a\}$  with  $x \neq y$  there is a  $\pi \in G_a$  such that  $b\pi = x$  and  $c\pi = y$ . Then  $(x, y) = (b\pi, c\pi) \in \sigma$  shows that  $\sigma = A^2$  which is impossible since  $\sigma \subseteq \rho$ . Hence  $\sigma = \rho_a$ . If  $b \in A$  with  $a \neq b$  and  $\pi \in \text{WAut}^* \mathbf{A}$  with  $a\pi = b$  then  $\rho_a^\pi = \rho_{a\pi} = \rho_b$ . Thus  $\rho_b$  is a compatible relation of  $\mathbf{A}$  and  $\rho_a \cap \rho_b = \{(a, b)\} \cup \{(b, a)\} \cup \omega$  is congruence relation of  $\mathbf{A}$ , a contradiction.

If  $\rho$  is not a central relation and  $(a, b) \in \rho$  with  $a \neq b$  then consider the relation  $\sigma = \bigcap \{\rho^\pi : \pi \in G_{a,b}\}$ . Again, by Lemma 2.3,  $\sigma$  is a compatible relation of  $(A; F \cup G_{a,b})$ . Then for any  $x \in A$ ,  $(a, x) \in \sigma$  if and only if  $x = a$  or  $x = b$ , and  $(b, x) \in \sigma$  if and only if  $x = b$  or  $x = a$ . (Indeed, if  $(a, x) \in \sigma$  for some  $x \neq a, b$  then, since  $G_{a,b}$  is transitive on  $A \setminus \{a, b\}$ , for any  $y \in A \setminus \{a, b\}$  there is a  $\pi \in G_{a,b}$  such that  $x\pi = y$ . Therefore  $(a, y) = (a\pi, x\pi) \in \sigma$  and  $\rho_a \subseteq \sigma \subseteq \rho$  which is a contradiction since  $\rho$  is not a central relation.) Therefore the transitive hull of  $\sigma$  is a nontrivial congruence of  $\mathbf{A}$ , contrary to our assumption on  $\mathbf{A}$ . Hence  $\mathbf{A}$  have no nontrivial compatible binary reflexive and symmetric relations.

If  $\rho$  is not symmetric then  $\rho$  is antisymmetric since the compatible reflexive and symmetric relation  $\rho \cap \rho^{-1}$  is trivial. If  $\rho$  is bounded from below, i.e., there is an  $a \in A$  such that  $(a, x) \in \rho$  for any  $x \in A$  then, repeating the corresponding argument for the relation  $\sigma = \bigcap \{\rho^\pi : \pi \in G_a\}$  we used in case of central  $\rho$ , we have that  $\sigma = \{(a, x) : x \in A\} \cup \omega$ . It follows that  $\rho\rho^{-1} = \rho_a$  is a compatible relation of  $\mathbf{A}$ , a contradiction. If  $\rho^{-1}$  is bounded from below then repeating the above argument for  $\rho^{-1}$  we obtain again a contradiction.

Finally if neither  $\rho$  nor  $\rho^{-1}$  is bounded from below and  $(a, b) \in \rho$  with  $a \neq b$  then consider the relation  $\sigma = \bigcap \{\rho^\pi : \pi \in G_{a,b}\}$ . Repeating the corresponding argument for  $\sigma$  we used in case of non-central and symmetric  $\rho$ , we have that for any  $x \in A$ ,  $(a, x) \in \sigma$  if and only if  $x = a$  or  $x = b$ , and  $(x, b) \in \sigma$  if and only if  $x = b$  or  $x = a$ . It follows that  $\rho\rho^{-1}$  is again a nontrivial compatible binary reflexive and symmetric relation of  $\mathbf{A}$ . This contradiction completes the proof of (a).

In order to show (b) suppose that  $\rho$  is a compatible  $h$ -ary ( $3 \leq h \leq k$ ) totally reflexive and totally symmetric relation of  $\mathbf{A}$  distinct from the full relation. Then, by Lemma 2.3,  $\sigma = \bigcap \{\rho^\pi : \pi \in \text{WAut}^* \mathbf{A}\}$  is a compatible  $h$ -ary totally reflexive and totally symmetric relation of  $(A; F \cup C_A \cup \text{WAut}^* \mathbf{A})$  distinct from  $A^h$ . Since  $\text{WAut}^* \mathbf{A}$  is  $h$ -transitive, it follows that  $\tau = \{(x_1 \dots, x_h) \in A^h : |\{x_1, \dots, x_h\}| \leq h\}$ . It is well-known that every operation depending on at most two variables and preserving  $\tau$  takes on at most  $h - 1$  values, which completes the proof of (b).  $\square$

### 3. MAIN RESULTS

In [8] we gave a local completeness criterion by means of compatible relations. The next theorem is a direct consequence of this criterion:

**Theorem 3.1** ([8]). *An algebra  $\mathbf{A} = (A; F)$  is locally functionally complete if  $\mathbf{A}$  has no compatible relation of one of the following types:*



- (3.1.1) *nontrivial binary and reflexive relations,*
- (3.1.2) *ternary relations  $\rho = \sigma \cup \Delta_{12}$  where  $\sigma (\neq \emptyset)$  consists of triples of pairwise distinct elements and for all  $x, y, z, t \in A$ ,  $(x, y, z) \in \rho$  implies  $(y, x, z) \in \rho$ ,  $(x, t, z) \in \rho$  and  $(y, t, z) \in \rho$  implies  $(x, y, z) \in \rho$ , and for every finite  $B \subseteq A$  we have  $B^2 \times \{u\} \subseteq \rho$  for some  $u \in A$ ,*
- (3.1.3) *quaternary relations of the form  $\{(x, y, z, t) \in A^4: x - y + z = t\}$  where  $(A; +)$  is an Abelian group which is either an elementary  $p$ -group ( $p$  prime) or a torsionfree divisible group.*
- (3.1.4) *at least ternary totally reflexive and totally symmetric relations distinct from the full relation.*

Now we formulate our main theorem.

**Theorem 3.2.** *Let  $\mathbf{A} = (A; F)$  be a nontrivial infinite algebra. If  $\text{WAut}^* \mathbf{A}$  is  $k$ -transitive for some  $k \geq 3$  then one of the following conditions holds:*

- (3.2.1)  *$\mathbf{A}$  is locally functionally complete.*
- (3.2.2)  *$k=3$  and  $\mathbf{A}$  is polynomially equivalent to either  $(A; \{x + a: a \in A\})$  or  $(A; x + y)$  where  $(A : +)$  is an elementary 2-group. Furthermore  $\text{WAut}^* \mathbf{A} = \{xr + a: a \in A, r \in \text{Aut}(A; +)\}$ .*
- (3.2.3)  *$\mathbf{A}$  has neither a nontrivial compatible binary reflexive relation nor a nontrivial idempotent polynomial operation and has a compatible ternary relation  $\rho$  of the form  $\rho = \sigma \cup \Delta_{12}$  where  $\sigma (\neq \emptyset)$  consists of triples with pairwise distinct elements and for all  $x, y, z, t \in A$ ,  $(x, y, z) \in \rho$  implies  $(y, x, z) \in \rho$ ,  $(x, t, z) \in \rho$  and  $(y, t, z) \in \rho$  implies  $(x, y, z) \in \rho$ , and for every finite  $B \subseteq A$  we have  $B^2 \times \{u\} \subseteq \rho$  for some  $u \in A$ . Moreover, if  $k \geq 6$  then  $\sigma$  contains all triples of pairwise distinct elements.*
- (3.2.4)  *$k = 3$ ,  $\mathbf{A}$  has no nontrivial compatible binary reflexive relation and  $(A; F \cup \text{WAut}^* \mathbf{A})$  is semi-affine with respect to an elementary 2-group.*
- (3.2.5)  *$\mathbf{A}$  has neither a nontrivial compatible binary reflexive relation, nor a surjective polynomial operation depending on at least two variables and  $(A; F \cup \text{WAut}^* \mathbf{A})$  has an  $h$ -ary ( $h \geq 3$ ) totally reflexive and totally symmetric relation distinct from the full relation. Moreover, if  $h \leq k$  then every polynomial operation of  $\mathbf{A}$  depending on at least two variables takes on at most  $h - 1$  values.*

**Proof.** Let  $\mathbf{A} = (A; F)$  be an infinite algebra such that  $\text{WAut}^* \mathbf{A}$  is  $k$ -transitive for some  $k \geq 3$ . If  $\mathbf{A}$  is nonsimple then, by Lemma 2.5, we have (3.2.2). □

From now on in the proof suppose that  $\mathbf{A}$  is simple. Then, by Lemma 2.6(a),  $\mathbf{A}$  has no nontrivial compatible binary reflexive relations. Apply Theorem 3.1 for  $\mathbf{A}$ . Then (3.1.1) cannot occur. If  $\mathbf{A}$  is locally complete then we have (3.2.1). Suppose that  $\mathbf{A}$  has a compatible  $h$ -ary totally reflexive and totally symmetric relation  $\rho$  distinct from  $A^h$  with  $h \geq 3$ . If  $h \leq k$  then, by Lemma 2.6(b), we have that every polynomial operation of  $\mathbf{A}$  depending on at least two variables takes on at most  $h - 1$  values.

Consider the algebra  $\widehat{\mathbf{A}} = (A; \widehat{F})$  where  $\widehat{F}$  is the set of all surjective polynomial operations of  $\mathbf{A}$ . Then, clearly,  $\text{WAut}^* \mathbf{A} \subseteq \text{WAut}^* \widehat{\mathbf{A}}$  and  $\rho$  is a compatible

relation of  $\widehat{\mathbf{A}}$ . It is known and easy to check that if a surjective operation preserves  $\rho$  then it also preserves

$$\sigma = \{(x_1, x_2, x_3): (x_1, \dots, x_h) \in \rho \text{ for all } x_4, \dots, x_h \in A\}.$$

Thus  $\sigma$  is a ternary totally reflexive and totally symmetric relation of  $\widehat{\mathbf{A}}$ . Then, by Lemma 2.6(b), every operation in  $\widehat{F}$  depending on at most two of its variables takes on at most two values. Hence every operation in  $\widehat{F}$  depends on one variable and we have (3.2.5).

From now on in the proof suppose that  $\mathbf{A}$  has no nontrivial compatible at least ternary totally reflexive and totally symmetric relations.

Now suppose that  $\rho = \sigma \cup \Delta_{12}$  is a ternary compatible relation of  $\mathbf{A}$  with the properties given in (3.1.2). We show that  $\mathbf{A}$  has no nontrivial idempotent polynomial operations. In order to do this consider the algebra  $(A; I)$  where  $I$  is the set of all idempotent polynomial operations of  $\mathbf{A}$ . Then, clearly,  $\text{WAut}^* \mathbf{A} \subseteq \text{WAut}^*(A; I)$  and thus  $\text{WAut}^*(A; I)$  is 3-transitive. Let  $a, b, c \in A$  be pairwise distinct elements such that  $(a, b, c) \in \rho$  and consider the binary relation  $\rho_c = \{(x, y) \in A^2: (x, y, c) \in \rho\}$ . Then it is easy to check that  $\rho_c$  is a compatible relation of  $(A; I)$ . Taking into consideration the properties of  $\rho$ , we have that  $\rho_c$  is an equivalence relation with  $c/\rho_c = \{c\}$ . Therefore, by Lemma 2.5, every operation in  $I$  is trivial.

In order to obtain (3.2.3) we have to show that if  $k \geq 6$  then  $\sigma$  contains all triples of pairwise distinct elements. Now suppose that  $k \geq 6$  and let  $u, v, w \in A$  be pairwise distinct elements such that  $(u, v, w) \notin \sigma$ . Let  $a, b \in A \setminus \{u, v, w\}$  be two distinct elements and put  $B = \{u, v, w, a, b\}$ . Then there is a  $c \in A$  such that  $B^2 \times \{c\} \subseteq \rho$ . It follows that  $(a, b, c) \in \rho$ . Observe that  $c \notin B$ . Indeed, if e.g.  $c = u$  then we have that  $(u, v, u) \in \rho$  which is impossible since  $\rho = \sigma \cup \Delta_{12}$ . Put  $G = \text{WAut}^* \mathbf{A}$  and consider the relation  $\tau = \bigcap \{\rho^\pi: \pi \in G_{a,b,c}\}$ . Then, by Lemma 2.3,  $\tau$  is a compatible relation of  $(A; F \cup G_{a,b,c})$ . Clearly,  $(a, b, c) \in \tau$  and  $\tau = \sigma' \cup \Delta_{12}$  where  $\sigma'$  consists of triples of pairwise distinct elements.

For any integer  $h$  with  $h \geq 2$  consider the compatible  $h$ -ary relation

$$\alpha_h = \{(x_1, \dots, x_h) \in A^h: (x_i, x_j, t) \in \tau \text{ for all } 1 \leq i, j \leq h \text{ for some } t \in A\}$$

of  $(A; F \cup G_{a,b,c})$ . We show by induction that  $\alpha_h = A^h$  for all  $h$ . Then  $\Delta_{12} \subseteq \tau$  and  $(a, b, c) \in \tau$  imply that  $\alpha_2$  is a reflexive relation containing  $(a, b)$ . Since  $\mathbf{A}$  and thus  $(A; F \cup G_{a,b,c})$  have no nontrivial compatible binary reflexive relations, we have that  $\alpha_2 = A^2$ . Now let  $h \geq 3$  and assume that  $\alpha_{h-1} = A^{h-1}$ . Then, clearly,  $\alpha_h$  is a totally symmetric relation and  $\alpha_{h-1} = A^{h-1}$  implies that  $\alpha_h$  is totally reflexive. Since, by our assumption,  $\mathbf{A}$  has no nontrivial compatible totally reflexive and totally symmetric relations therefore  $\alpha_h = A^h$ . Hence  $\alpha_h = A^h$  for all  $h$  which implies that for every finite  $B \subseteq A$  we have  $B^2 \times \{t\} \subseteq \rho$  for some  $t \in A$ . Now put  $B = \{a, b, c, u, v\}$ . Then there is a  $t \in A$  such that  $B^2 \times \{t\} \subseteq \tau$ . It follows that  $(u, v, t) \in \tau$ . Observe again that  $t \notin B$ . Indeed, if e.g.  $t = a$  then we have that  $(a, b, a) \in \tau$  which is impossible since  $\tau = \sigma' \cup \Delta_{12}$ . Since  $G$  is

6-transitive,  $G_{a,b,c}$  is 3-transitive on  $A \setminus \{a, b, c\}$  therefore there is a  $\pi \in G_{a,b,c}$  such that  $u\pi = u, v\pi = v$  and  $t\pi = w$ . It follows that  $(u, v, w) = (u\pi, v\pi, t\pi) \in \tau \subseteq \rho$ , which is a contradiction. This contradiction proves that  $\sigma$  contains all triples of pairwise distinct elements. Hence we have (3.2.3).

Finally suppose that  $\mathbf{A}$  has no relations of type (3.1.1), (3.1.2) or (3.1.4) and has a quaternary relation  $\tau = \{(x, y, z, t) \in A^4: x - y + z = t\}$  where  $(A; +)$  is an Abelian group which is either an elementary  $p$ -group ( $p$  prime) or a torsionfree divisible group. Consider the relation  $\hat{\tau} = \bigcap \{\rho^\pi: \pi \in \text{WAut}^* \mathbf{A}\}$  and the algebra  $\hat{\mathbf{A}} = (A; F \cup \text{WAut}^* \mathbf{A})$ . Then, by Lemma 2.3,  $\hat{\tau}$  is a compatible relation on  $\hat{\mathbf{A}}$ . It is easy to check that  $\Delta_{12,34}, \Delta_{14,23} \subseteq \hat{\tau}$ . It follows that  $\hat{\tau}$  cannot be a trivial relation and  $\hat{\mathbf{A}}$  is not locally functionally complete. Apply Theorem 2.1 for  $\hat{\mathbf{A}}$ . By our assumptions on  $\mathbf{A}$ , the algebra  $\hat{\mathbf{A}}$  has no relations of type (3.1.1), (3.1.2) or (3.1.4) and therefore  $\hat{\mathbf{A}}$  has a quaternary relation

$$\rho = \{(x, y, z, t) \in A^4: x - y + z = t\}$$

where  $(A; +)$  is an Abelian group which is either an elementary  $p$ -group ( $p$  prime) or a torsionfree divisible group. Since every permutation in  $\text{WAut}^* \mathbf{A}$  preserves  $\rho$  therefore  $\text{WAut}^* \mathbf{A}$  cannot be 4-transitive, i.e.,  $k = 3$ . To complete the proof of the theorem we have to show only that  $(A; +)$  is an elementary 2-group. If  $\pi \in \text{WAut}^* \mathbf{A}$  then for a given  $a \in A, a \neq 0$  ( $0$  is the neutral element of  $(A; +)$ ), we have  $(2a)\pi = (a - 0 + a)\pi = a\pi - 0\pi + a\pi = 2(a\pi) - 0\pi$ . Since  $\text{WAut}^* \mathbf{A}$  is 3-transitive it follows that  $2a = 0$ , i.e.,  $(A; +)$  is elementary 2-group.

**Corollary 3.3.** *Let  $\mathbf{A} = (A; F)$  be an infinite algebra with a nontrivial idempotent polynomial operation. If  $\text{WAut}^* \mathbf{A}$  is  $k$ -transitive for some  $k \geq 3$  then one of the following conditions holds:*

- (3.3.1)  $\mathbf{A}$  is locally functionally complete;
- (3.3.2)  $k=3$  and  $\mathbf{A}$  is polynomially equivalent to  $(A; x + y)$  where  $(A; +)$  is an elementary 2-group. Furthermore  $\text{WAut}^* \mathbf{A} = \{xr + a: a \in A, r \in \text{Aut}(A; +)\}$ ;
- (3.3.3)  $k = 3, \mathbf{A}$  has no nontrivial compatible binary reflexive relations and  $(A; F \cup \text{WAut}^* \mathbf{A})$  is semi-affine with respect to an elementary 2-group.

**Corollary 3.4.** *Let  $\mathbf{A} = (A; F)$  be an infinite algebra with a nontrivial idempotent polynomial operation. If  $\text{WAut}^* \mathbf{A}$  is 4-transitive then  $\mathbf{A}$  is locally functionally complete.*

**Corollary 3.5.** *Let  $\mathbf{A} = (A; F)$  be an infinite algebra such that for any  $k$  there is a polynomial operation of  $\mathbf{A}$  depending on two variables and taking on at least  $k$  values. If  $\text{WAut}^* \mathbf{A}$  is highly transitive then one of the following two conditions holds:*

- (3.5.1)  $\mathbf{A}$  is locally functionally complete;
- (3.5.2)  $\mathbf{A}$  has neither a proper subalgebra nor a nontrivial compatible binary reflexive relation nor a nontrivial idempotent polynomial operation and the ternary relation  $\sigma_3 \cup \Delta_{12}$  where  $\sigma_3$  consists of all triples with pairwise distinct elements, is a compatible relation of  $\mathbf{A}$ .

**Corollary 3.6.** *Let  $\mathbf{A} = (A; F)$  be a nontrivial infinite algebra with a proper subalgebra. If  $\text{WAut } \mathbf{A}$  is 3-transitive then  $\mathbf{A}$  is idempotent and one of the following conditions holds:*

- (3.6.1)  $\mathbf{A}$  is locally functionally complete;
- (3.6.2)  $\mathbf{A}$  is term equivalent to  $(A; x + y + z)$  where  $(A; +)$  is an elementary 2-group, and  $\text{WAut } \mathbf{A} = \text{WAut}^* \mathbf{A} = \{xr + a : a \in A, r \in \text{Aut}(A; +)\}$ ;
- (3.6.3)  $\mathbf{A}$  has no nontrivial compatible binary reflexive relations and  $(A; F \cup \text{WAut}^* \mathbf{A})$  is semi-affine with respect to an elementary 2-group.

**Proof.** Let  $\mathbf{A} = (A; F)$  be a nontrivial infinite algebra with a proper subalgebra and suppose that  $\text{WAut } \mathbf{A}$  is 3-transitive. Then, by Lemma 2.4(b),  $\mathbf{A}$  is idempotent. Since  $\text{WAut } \mathbf{A} \subseteq \text{WAut}^* \mathbf{A}$  therefore  $\text{WAut}^* \mathbf{A}$  is also 3-transitive and our statement follows from Corollary 3.3.  $\square$

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