

Erich Barvínek

Antiprojectors with applications in the spectral theory

*Archivum Mathematicum*, Vol. 20 (1984), No. 3, 141--147

Persistent URL: <http://dml.cz/dmlcz/107197>

## Terms of use:

© Masaryk University, 1984

Institute of Mathematics of the Academy of Sciences of the Czech Republic provides access to digitized documents strictly for personal use. Each copy of any part of this document must contain these *Terms of use*.



This paper has been digitized, optimized for electronic delivery and stamped with digital signature within the project *DML-CZ: The Czech Digital Mathematics Library* <http://project.dml.cz>

## ANTIPROJECTORS WITH APPLICATIONS IN THE SPECTRAL THEORY

ERICH BARVÍNEK, Brno  
(Received September 7, 1983)

### § 1. Introduction

Trying to state a sensible analogue of the spectral theorem for normal operators on a real Hilbert space—see e.g. [1] p. 165—we meet necessarily antiprojectors. Moreover on finite-dimensional spaces the operator need not be normal but merely diagonalizable. Basic concepts for vector spaces are taken from [1].

**1.1.** Let  $K$  be the field  $R$  of all real numbers or the field  $C$  of all complex numbers. Let  $\mathcal{V}$  over  $K$  be a vector space,  $I$  the identity on  $\mathcal{V}$  and  $\mathcal{L}(\mathcal{V})$  the space of all linear operators on  $\mathcal{V}$ .

If  $N$  is an index set, then operators  $T_v \in \mathcal{L}(\mathcal{V})$ ,  $v \in N$  will be called pairwise disjoint if  $T_{v'} T_v = 0 = T_v T_{v'}$ , whenever  $v' \neq v \in N$ .

**Lemma 1.** Let  $\mathcal{Q}_\tau \subseteq \mathcal{V}$  for  $\tau = 1, \dots, t$  be subspaces and  $R_\tau \in \mathcal{L}(\mathcal{V})$  projectors on  $\mathcal{Q}_\tau$  i.e.  $R_\tau^2 = R_\tau$  and  $\mathcal{Q}_\tau = \text{im } R_\tau$ . Then the projectors  $R_\tau$  are pairwise disjoint and satisfy  $\sum_{\tau=1}^t R_\tau = I$  if and only if  $\mathcal{V} = \sum_{\tau=1}^t \mathcal{Q}_\tau$  and  $R_\tau$  is the projector on  $\mathcal{Q}_\tau$  along  $\sum_{\tau' \neq \tau} \mathcal{Q}_{\tau'}$  for every  $\tau = 1, \dots, t$  (where by  $\Sigma$  the direct sum of subspaces is meant).

The following remark will be useful. If  $\mathcal{V} = \sum_{\tau=1}^t \mathcal{Q}_\tau$  and  $R_\tau \in \mathcal{L}(\mathcal{V})$  is the projector on  $\mathcal{Q}_\tau$  along  $\sum_{\tau' \neq \tau} \mathcal{Q}_{\tau'}$ , then for any partition  $\{1, \dots, t\} = \{\tau'\} \cup \{\tau''\}$  the sum  $\sum_{\tau'} R_\tau$  is the projector on  $\sum_{\tau'} \mathcal{Q}_\tau$  along  $\sum_{\tau''} \mathcal{Q}_\tau$ .

Let  $\dim \mathcal{V} = n$  ( $\in N$ ); let  $\gamma_1, \dots, \gamma_t \in K$  be all the proper values of  $C \in \mathcal{L}(\mathcal{V})$  which are pairwise distinct; let  $\mathcal{Q}_\tau = \{x \in \mathcal{V} \mid Cx = \gamma_\tau x\}$  be corresponding proper subspaces. Then  $C$  will be called diagonalizable if  $\sum_{\tau=1}^t \dim \mathcal{Q}_\tau = n$ . Certainly,  $C$  is diagonalizable iff  $\mathcal{V} = \sum_{\tau=1}^t \mathcal{Q}_\tau$ .

**Theorem 1.** Let  $\mathcal{V}$  over  $K$  be a vector space of dimension  $n \in \mathbb{N}$ . An operator  $C \in \mathcal{L}(\mathcal{V})$  is diagonalizable if and only if there exist pairwise distinct numbers  $\gamma_\tau \in K$  and pairwise disjoint projectors  $0 \neq R_\tau \in \mathcal{L}(\mathcal{V})$  (for  $\tau = 1, \dots, t$ ) such that  $I = \sum_{\tau=1}^t R_\tau$  and  $C = \sum_{\tau=1}^t \gamma_\tau R_\tau$ . Moreover, the number  $t$ , the set  $\{\gamma_\tau\}_{\tau=1, \dots, t}$ , the projectors  $R_\tau$  are determined uniquely and  $R_\tau = p_\tau(C)$  where

$$p_\tau(\lambda) = \frac{\prod_{\substack{\tau \neq \tau=1 \\ \tau=1}}^t (\lambda - \gamma_\tau)}{\prod_{\substack{\tau \neq \tau=1 \\ \tau=1}}^t (\gamma_\tau - \gamma_\tau)}$$

1.2. If  $\mathcal{V}_0$  over  $R$  is a vector space, then its complexification is the vector space  $\mathcal{V} = \mathcal{V}_0 + i\mathcal{V}_0$  over  $C$  understood as  $\mathcal{V}_0 \times \mathcal{V}_0$  with addition  $(x, y) + (\tilde{x}, \tilde{y}) = (x + \tilde{x}, y + \tilde{y})$  written as  $(x + iy) + (\tilde{x} + i\tilde{y}) = (x + \tilde{x}) + i(y + \tilde{y})$  and with multiplication  $(\alpha + i\beta)(x + iy) = (\alpha x - \beta y, \beta x + \alpha y)$  written as  $(\alpha + i\beta)(x + iy) = (\alpha x - \beta y) + i(\beta x + \alpha y)$ , where  $x, \tilde{x}, y, \tilde{y} \in \mathcal{V}_0$  and  $\alpha, \beta \in R$ . Notice that every  $z \in \mathcal{V}$  has a unique representation  $z = x + iy$  where  $x, y \in \mathcal{V}_0$ .

Assume that  $\mathcal{V}$  over  $C$  is the complexification of  $\mathcal{V}_0$  over  $R$ . If  $\mathfrak{L} \subseteq \mathcal{V}$  is a subspace over  $C$  and  $\mathfrak{L}_0 \subseteq \mathcal{V}_0$  a subspace over  $R$  then  $\mathfrak{L}$  is the complexification of  $\mathfrak{L}_0$  iff  $\mathfrak{L} = \mathfrak{L}_0 + i\mathfrak{L}_0$ ; then  $\mathfrak{L}_0 = \mathfrak{L} \cap \mathcal{V}_0$  and thus any  $\mathfrak{L}$  has at most one decomplexification  $\mathfrak{L}_0$  such that  $\mathfrak{L}_0 \subseteq \mathcal{V}_0$ .

To every  $z \in \mathcal{V}$ ,  $z = x + iy$  we can assign the vector  $\bar{z} = x - iy \in \mathcal{V}$  which may be called the conjugate of  $z$ ; certainly  $\overline{\bar{z}} = z$ ,  $\overline{z_1 + z_2} = \bar{z}_1 + \bar{z}_2$ ,  $\overline{\gamma z} = \bar{\gamma} \bar{z}$  for  $z, z_1, z_2 \in \mathcal{V}$  and  $\gamma \in C$ .

For any  $C_0 \in \mathcal{L}(\mathcal{V}_0)$  we can put  $Cz = C_0x + iC_0y$  for every  $z = x + iy \in \mathcal{V}$ ; then  $C \in \mathcal{L}(\mathcal{V})$ ,  $C/\mathcal{V}_0 = C_0$  so that  $C$  is the unique linear extension of  $C_0$  on  $\mathcal{V}$  and may be called the complexification of  $C_0$ . On the contrary, an operator  $C \in \mathcal{L}(\mathcal{V})$  has a (unique) decomplexification  $C_0 \in \mathcal{L}(\mathcal{V}_0)$  iff  $\mathcal{V}_0$  is invariant under  $C$ ; then  $C_0 = C/\mathcal{V}_0$ ,  $\ker C_0$  and  $\text{im } C_0$  are decomplexifications of  $\ker C$  and  $\text{im } C$ , respectively.

To every  $C \in \mathcal{L}(\mathcal{V})$  we can assign an operator  $\bar{C} : \mathcal{V} \rightarrow \mathcal{V}$  such that  $\bar{C}z = \overline{Cz}$  for every  $z \in \mathcal{V}$ . Then  $\bar{C} \in \mathcal{L}(\mathcal{V})$  and we may call it the conjugate of  $C$ ; certainly  $\overline{\bar{C}} = C$ ,  $\overline{C_1 + C_2} = \bar{C}_1 + \bar{C}_2$ ,  $\overline{\gamma C} = \bar{\gamma} \bar{C}$ ,  $\overline{C_1 C_2} = \bar{C}_1 \bar{C}_2$  and  $\overline{Cz} = \bar{C}\bar{z}$  for  $C, C_1, C_2 \in \mathcal{L}(\mathcal{V})$ ,  $z \in \mathcal{V}$  and  $\gamma \in C$ .

**Lemma 2.** Let  $\mathcal{V}$  over  $C$  be a complexification of  $\mathcal{V}_0$  over  $R$ . Then  $C \in \mathcal{L}(\mathcal{V})$  has a decomplexification  $C_0 \in \mathcal{L}(\mathcal{V}_0)$  if and only if  $\bar{C} = C$ .

1.3. Let  $\mathcal{V}$  over  $C$  be a complexification of  $\mathcal{V}_0$  over  $R$  and  $C \in \mathcal{L}(\mathcal{V})$  a complexification of  $C_0 \in \mathcal{L}(\mathcal{V}_0)$ . It is clear that any Hamel basis  $\{a_\nu\}_{\nu \in N}$  of  $\mathcal{V}_0$  over  $R$  is at once a Hamel basis of  $\mathcal{V}$  over  $C$ .

If  $\gamma \in \mathbf{R}$  is a proper value of  $C$  and  $\mathfrak{Q} \subseteq \mathcal{V}$  the corresponding proper subspace, then  $\gamma$  is a proper value of  $C_0$  and the corresponding proper subspace  $\mathfrak{Q}_0 \subseteq \mathcal{V}_0$  is the decomplexification of  $\mathfrak{Q}$ .

If  $\gamma \in C \setminus \mathbf{R}$  is a proper value of  $C$  and  $\mathfrak{Q} \subseteq \mathcal{V}$  the corresponding proper subspace, then  $\bar{\gamma}$  is an other proper value of  $C$  and the corresponding proper subspace is  $\bar{\mathfrak{Q}} = \{\bar{z} \in \mathcal{V} \mid z \in \mathfrak{Q}\}$ . Certainly, if  $\{c_v\}_{v \in N}$  is a Hamel basis of  $\mathfrak{Q}$ , then  $\{\bar{c}_v\}_{v \in N}$  is a Hamel basis of  $\bar{\mathfrak{Q}}$ , and  $\{c_v\}_{v \in N} \cup \{\bar{c}_v\}_{v \in N}$  a Hamel basis of the direct sum  $\mathfrak{Q} \dot{+} \bar{\mathfrak{Q}}$ . If we put  $c_v = a_v + ib_v$ , where  $a_v, b_v \in \mathcal{V}_0$ , then the set  $\{a_v\}_{v \in N} \cup \{b_v\}_{v \in N}$  is linearly independent over  $C$  and thus a Hamel basis of  $\mathfrak{Q} \dot{+} \bar{\mathfrak{Q}}$ ; we shall call it the induced real basis.

Assume  $\dim \mathcal{V}_0 = n$  ( $\in N$ ) and the complexification  $C$  of  $C_0$  is diagonalizable. Let  $\gamma_1, \dots, \gamma_{t_0} \in \mathbf{R}$  be all real and pairwise distinct proper values of  $C$ , and  $\gamma_\tau, \bar{\gamma}_\tau \in C \setminus \mathbf{R}$  for  $\tau = t_0 + 1, \dots, t$  be all non-real and pairwise distinct proper values of  $C$ .

For  $\tau = 1, \dots, t$  let  $\mathfrak{Q}_\tau \subseteq \mathcal{V}$  be the proper subspace of  $C$  corresponding to the proper value  $\gamma_\tau \in C$  so that

$$(1) \quad \mathcal{V} = \sum_{\tau=1}^{t_0} \mathfrak{Q}_\tau \dot{+} \sum_{\tau=t_0+1}^t (\mathfrak{Q}_\tau \dot{+} \bar{\mathfrak{Q}}_\tau).$$

To every  $\tau_1 = t_0 + 1, \dots, t$  there are two distinct proper values  $\gamma_\tau, \bar{\gamma}_\tau$  with proper subspaces  $\mathfrak{Q}_\tau, \bar{\mathfrak{Q}}_\tau$ ; if  $\{c_{v_\tau}\}$  represents a basis of  $\mathfrak{Q}_\tau$  where  $c_{v_\tau} = a_{v_\tau} + ib_{v_\tau}$  with  $a_{v_\tau}, b_{v_\tau} \in \mathcal{V}_0$ , then  $\{a_{v_\tau}\} \cup \{b_{v_\tau}\}$  represents the induced real basis of  $\mathfrak{Q}_\tau \dot{+} \bar{\mathfrak{Q}}_\tau$ . Let  $\mathfrak{Q}_\tau^0 \subseteq \mathcal{V}_0$  be the subspace generated by the set  $\{a_{v_\tau}\} \cup \{b_{v_\tau}\}$  over  $\mathbf{R}$ ; then  $\mathfrak{Q}_\tau^0$  is the decomplexification of  $\mathfrak{Q}_\tau \dot{+} \bar{\mathfrak{Q}}_\tau$  although  $\mathfrak{Q}_\tau^0$  is no proper subspace of  $C_0$ .

To every  $\tau = 1, \dots, t_0$  we have the proper value  $\gamma_\tau$  ( $\in \mathbf{R}$ ) with the proper subspace  $\mathfrak{Q}_\tau$  which has a decomplexification  $\mathfrak{Q}_\tau^0 \subseteq \mathcal{V}_0$  being the proper subspace of  $C_0$  (corresponding to  $\gamma_\tau$ ). Hence

$$(2) \quad \mathcal{V}_0 = \sum_{\tau=1}^t \mathfrak{Q}_\tau^0.$$

**Lemma 3.** For  $\tau = 1, \dots, t$  let  $R_\tau \in \mathcal{L}(\mathcal{V})$  be the projector on  $\mathfrak{Q}_\tau$  along the direct sum of the other subspaces in (1). Then the linear projector of  $\mathcal{V}$  on  $\bar{\mathfrak{Q}}_\tau$  along the direct sum of the other subspaces in (1) is  $\bar{R}_\tau$ .

According to Theorem 1 we have then

$$(3) \quad I = \sum_{\tau=1}^{t_0} R_\tau + \sum_{\tau=t_0+1}^t (R_\tau + \bar{R}_\tau),$$

where  $I$  is the identity on  $\mathcal{V}$  and

$$(4) \quad C = \sum_{\tau=1}^{t_0} \gamma_\tau R_\tau + \sum_{\tau=t_0+1}^t (\gamma_\tau R_\tau + \bar{\gamma}_\tau \bar{R}_\tau).$$

Clearly  $\bar{R}_i = R_i$  for  $i = 1, \dots, t_0$  so that  $R_i$  has a decomplexification  $R_i^0 = R_i/\mathcal{V}_0 \in \mathcal{L}(\mathcal{V}_0)$  which is the projector on  $\Omega_i^0$  along the direct sum of the other subspaces in (2).

For any  $\kappa = t_0 + 1, \dots, t$  there are two disjoint projectors  $R_\kappa, \bar{R}_\kappa$  so that  $R_\kappa + \bar{R}_\kappa \in \mathcal{L}(\mathcal{V})$  is the projector on  $\Omega_\kappa \dot{+} \bar{\Omega}_\kappa$  along the direct sum of the other subspaces in (1) and its decomplexification  $R_\kappa^0 \in \mathcal{L}(\mathcal{V}_0)$  is the projector on  $\Omega_\kappa^0$  along the direct sum of the other subspaces in (2).

If we put  $\tilde{\alpha}_\kappa = \operatorname{Re} \gamma_\kappa$ ,  $\tilde{\beta}_\kappa = \operatorname{Im} \gamma_\kappa$ , then  $\gamma_\kappa R_\kappa + \bar{\gamma}_\kappa \bar{R}_\kappa = \tilde{\alpha}_\kappa (R_\kappa + \bar{R}_\kappa) + i\tilde{\beta}_\kappa (R_\kappa - \bar{R}_\kappa)$  where  $S_\kappa = i(R_\kappa - \bar{R}_\kappa) \in \mathcal{L}(\mathcal{V})$  has a decomplexification  $S_\kappa^0 \in \mathcal{L}(\mathcal{V}_0)$ . If  $\{c_{v_\kappa}\}$  represents a basis of  $\Omega_\kappa$  and  $\{a_{v_\kappa}\} \cup \{b_{v_\kappa}\}$  the induced real basis of  $\Omega_\kappa \dot{+} \bar{\Omega}_\kappa$ , then  $S_\kappa^0 a_{v_\kappa} = -b_{v_\kappa}$ ,  $S_\kappa^0 b_{v_\kappa} = a_{v_\kappa}$  whereas  $S_\kappa^0 c = 0$  for every  $c \in \Omega_\tau^0$  whenever  $\tau \neq \kappa$ ,  $\tau \in \{1, \dots, t\}$ . Hence  $-S_\kappa^{02} = R_\kappa^0$ ,  $\operatorname{im} S_\kappa^0 = \operatorname{im} R_\kappa^0$ ,  $\ker S_\kappa^0 = \ker R_\kappa^0$  and we get the formula

$$(5) \quad C_0 = \sum_{i=1}^{t_0} \gamma_i R_i^0 + \sum_{\kappa=t_0+1}^t (\tilde{\alpha}_\kappa R_\kappa^0 + \tilde{\beta}_\kappa S_\kappa^0)$$

representing a real spectral decomposition of  $C_0$  which may be considered as a starting point to a real spectral theorem.

## § 2. Antiprojectors

Let  $\mathcal{V}$  over  $K$  be a vector space,  $Q \in \mathcal{L}(\mathcal{V})$  and  $I$  the identity on  $\mathcal{V}$ . Then  $-Q^2 = P$  is a projector iff  $Q^2(I + Q^2) = 0$  and then  $PQ = -Q = QP$ ,  $\operatorname{im} P \subseteq \subseteq \operatorname{im} Q$ ,  $\ker Q \subseteq \ker P$ .

**Definition 1.** Let  $\mathcal{V}$  over  $K$  be a vector space. An operator  $Q \in \mathcal{L}(\mathcal{V})$  will be called antiprojector if  $-Q^2 = P$  is a projector and  $\operatorname{im} P = \operatorname{im} Q$ ,  $\ker Q = \ker P$ .

If  $Q \in \mathcal{L}(\mathcal{V})$  is an antiprojector, then  $\mathcal{V} = \ker Q \dot{+} \operatorname{im} Q$  but  $Q/\operatorname{im} Q$  is not the identity on  $\operatorname{im} Q$  whenever  $\operatorname{im} Q \neq 0$ ; the  $Q$  may be called an antiprojector on  $\operatorname{im} Q$  along  $\ker Q$ .

Let  $Q \in \mathcal{L}(\mathcal{V})$  be such that  $-Q^2 = P$  is a projector; then the assertions (i)  $Q$  is an antiprojector (ii)  $\operatorname{im} P = \operatorname{im} Q$  (iii)  $\ker Q = \ker P$  are equivalent.

Following lemmas are easily provable.

**Lemma 4.** Let  $\mathcal{V}$  over  $K$  be a vector space. Then  $Q \in \mathcal{L}(\mathcal{V})$  is an antiprojector iff  $Q(I + Q^2) = 0$ .

**Lemma 5.** If  $N$  is a finite set and  $Q_v \in \mathcal{L}(\mathcal{V})$ ,  $v \in N$  are pairwise disjoint antiprojectors, then  $\sum_{v \in N} Q_v$  is an antiprojector on  $\sum_{v \in N} \operatorname{im} Q_v$  along  $\bigcap_{v \in N} \ker Q_v$ .

**Lemma 6.** Let  $P \in \mathcal{L}(\mathcal{V})$  be a projector,  $Q \in \mathcal{L}(\mathcal{V})$  an antiprojector and  $R = -Q^2$ . If  $P$  and  $Q$  commute, then  $P$  and  $R$  commute as well,  $PQ$  is an antiprojector, and  $\operatorname{im} PQ = \operatorname{im} PR$ ,  $\ker PQ = \ker PR$ .

Every antiprojector  $Q \in \mathcal{L}(\mathcal{V})$  determines uniquely the projector  $P = -Q^2$ . On the contrary, let  $P \in \mathcal{L}(\mathcal{V})$  be a projector, let  $\{d_\mu\}$  be a Hamel basis of  $\ker P$  and  $\{c_\nu\}$  a Hamel basis of  $\text{im } P$ . Then for any antiprojector  $Q \in \mathcal{L}(\mathcal{V})$  such that  $-Q^2 = P$ , the  $\{d_\mu\}$  is a Hamel basis of  $\ker Q$ ,  $\{c_\nu\}$  a Hamel basis of  $\text{im } Q$ , and  $Q/\text{im } Q$  is a linear bijection such that  $I + Q^2 = 0$  on  $\text{im } Q$ .

In particular, if  $\dim \text{im } P = n$  and  $K = \mathbb{C}$ , then all the antiprojectors are obtained by means of all bases  $\{c_\nu\}$  by putting  $Qc_\nu = \pm ic_\nu$  with all variations of signs and completing  $Q = 0$  on  $\ker P$ .

If  $\dim \text{im } P = n$  is odd and  $K = \mathbb{R}$ , then there is no antiprojector  $Q$  (such that  $-Q^2 = P$ ). If  $\dim \text{im } P = n = 2k$  is even and  $K = \mathbb{R}$ , then all the antiprojectors  $Q$  are obtained by means of all real bases  $\{a_x, b_x\}$  of  $\text{im } P$  by putting  $Qa_x = -\sigma_x b_x$ ,  $Qb_x = \sigma_x a_x$  with all variations of signs  $\sigma_x = \pm 1$  and completing  $Q = 0$  on  $\ker P$ .

### § 3. The real spectral theorem

Let  $\mathcal{V}$  over  $\mathbb{C}$  be a complexification of  $\mathcal{V}_0$  over  $\mathbb{R}$ , let  $I$  denote the identity on  $\mathcal{V}$  and  $I_0$  the identity on  $\mathcal{V}_0$ .

If  $T, T_\nu \in \mathcal{L}(\mathcal{V})$  (where  $\nu \in N$ ,  $N$  finite) are complexifications of  $T_0, T_\nu^0 \in \mathcal{L}(\mathcal{V}_0)$ , respectively, then:  $T_\nu$  are pairwise disjoint iff  $T_\nu^0$  are pairwise disjoint;  $\text{im } T$  and  $\ker T$  are complexifications of  $\text{im } T_0$  and  $\ker T_0$ , respectively;  $T$  is a projector iff  $T_0$  is a projector;  $T$  is an antiprojector iff  $T_0$  is an antiprojector.

Assume  $\dim \mathcal{V}_0 = n$  ( $\in N$ ) and let  $C \in \mathcal{L}(\mathcal{V})$  be the complexification of  $C_0 \in \mathcal{L}(\mathcal{V}_0)$ .

3.1. If  $C$  is diagonalizable then according to 1.3 we have the formula (5) where  $0 \neq R_\tau^0$  (for  $\tau = 1, \dots, t$ ) are pairwise disjoint projectors,  $0 \neq S_\kappa^0$  (for  $\kappa = t_0 + 1, \dots, t$ ) are pairwise disjoint antiprojectors commuting with all  $R_\tau^0$  and such that  $-S_\kappa^{02} = R_\kappa^0$ .

Put  $\tilde{\alpha}_i = \gamma_i$  also for  $i = 1, \dots, t_0$ . If  $\{\alpha_\sigma\}_{\sigma=1, \dots, r} = \{\tilde{\alpha}_\tau\}_{\tau=1, \dots, t}$ , where  $\alpha_\sigma$  are pairwise distinct, and if  $\{\beta_\sigma\}_{\sigma=1, \dots, s} = \{\tilde{\beta}_\kappa\}_{\kappa=t_0+1, \dots, t}$ , where  $\beta_\sigma$  are pairwise distinct, then to every  $\rho$  there is a unique set  $\{\tau_\rho\}$  of all  $\tau \in \{1, \dots, t\}$  satisfying  $\tilde{\alpha}_{\tau_\rho} = \alpha_\rho$ , and to every  $\sigma$  there is a unique set  $\{\kappa_\sigma\}$  of all  $\kappa \in \{t_0 + 1, \dots, t\}$  satisfying  $\tilde{\beta}_{\kappa_\sigma} = \beta_\sigma$ .

If we put  $P_\rho^0 = \sum_{\tau_\rho} R_\tau^0$ ,  $Q_\sigma^0 = \sum_{\kappa_\sigma} S_\kappa^0$  then  $0 \neq P_\rho^0$  are pairwise disjoint projectors satisfying  $I_0 = \sum_{\rho=1}^r P_\rho^0$  and  $0 \neq Q_\sigma^0$  are pairwise disjoint antiprojectors commuting with all  $P_\rho^0$  and such that

$$(6) \quad C_0 = \sum_{\rho=1}^r \alpha_\rho P_\rho^0 + \sum_{\sigma=1}^s \beta_\sigma Q_\sigma^0.$$

The set of all indices  $\sigma$  is empty iff the set of all indices  $\kappa$  is empty iff the numbers  $\alpha_\rho \in \mathbb{R}$  are all the proper values of  $C$  iff  $C_0$  is diagonalizable.

3.2. Let there be given a non empty set  $\{\alpha_\varrho\}_{\varrho=1,\dots,r}$  of pairwise distinct real numbers, a set  $\{\beta_\sigma\}_{\sigma=1,\dots,s}$  of pairwise distinct positive numbers, pairwise disjoint projectors  $0 \neq P_\varrho^0 \in \mathcal{L}(\mathcal{V}_0)$  satisfying  $I_0 = \sum_{\varrho=0}^r P_\varrho^0$ , and pairwise disjoint antiprojectors  $0 \neq Q_\sigma^0 \in \mathcal{L}(\mathcal{V}_0)$  commuting with all  $P_\varrho^0$ . Let  $C_0$  be defined by formula (6).

We wish to show that  $C_0$  has a diagonalizable complexification  $C \in \mathcal{L}(\mathcal{V})$ . We may assume that the set  $\{\beta_\sigma\}_{\sigma=1,\dots,s}$  is not empty.

Then to every  $\sigma$  there is at least one  $\varrho$  such that  $P_\varrho^0 Q_\sigma^0 \neq 0$ . Thus the domain of all the ordered pairs  $(\varrho, \sigma)$  such that  $P_\varrho^0 Q_\sigma^0 \neq 0$  can be enumerated by  $\varkappa = t_0 + 1, \dots, t$  where  $t_0$  is still unknown.

In this domain the mapping  $(\varrho, \sigma) \mapsto P_\varrho^0 Q_\sigma^0$  is an injection and thus we can put  $S_\varkappa^0 = P_\varrho^0 Q_\sigma^0$ ,  $\gamma_\varkappa = \alpha_\varrho + i\beta_\sigma$  and denote  $\tilde{\alpha}_\varkappa = \operatorname{Re} \gamma_\varkappa$ ;  $\tilde{\beta}_\varkappa = \operatorname{Im} \gamma_\varkappa$ ; then the operators  $S_\varkappa^0 (\neq 0)$  are pairwise disjoint antiprojectors commuting with all  $P_\varrho^0$ ,  $Q_\sigma^0$ , and also the corresponding projector ( $0 \neq$ )  $R_\varkappa^0 = -S_\varkappa^{02}$  are pairwise disjoint and commuting with all  $P_\varrho^0$ ,  $Q_\sigma^0$ .

If for every  $\varrho$  the  $P_\varrho^0(I_0 - \sum_{\varkappa=t_0+1}^t R_\varkappa^0) = 0$ , then we put  $t_0 = 0$ . Otherwise the domain of all  $\varrho$  such that  $P_\varrho^0(I_0 - \sum_{\varkappa=t_0+1}^t R_\varkappa^0) \neq 0$  can be enumerated by  $\iota = 1, \dots, t_0$ . In this domain the mapping  $\varrho \mapsto P_\varrho^0(I_0 - \sum_{\varkappa=t_0+1}^t R_\varkappa^0)$  is an injection and thus we can put  $R_\iota^0 = P_\varrho^0(I_0 - \sum_{\varkappa=t_0+1}^t R_\varkappa^0)$  and put  $\tilde{\alpha}_\iota = \alpha_\varrho$ ; then the operators  $R_\iota^0$  are pairwise disjoint projectors commuting with all  $P_\varrho^0$ ,  $Q_\sigma^0$ .

Moreover, all the projectors  $R_\tau^0$  ( $\tau = 1, \dots, t$ ) are pairwise disjoint and for  $\iota = 1, \dots, t_0$ ;  $\varkappa = t_0 + 1, \dots, t$  we have a)  $P_\varrho^0 R_\iota^0 \neq 0$  iff  $P_\varrho^0(I_0 - \sum_{\varkappa=t_0+1}^t R_\varkappa^0) = R_\iota^0$  iff  $P_\varrho^0 R_\iota^0 = R_\iota^0$  b)  $P_\varrho^0 R_\varkappa^0 \neq 0$  iff there exists exactly one  $\sigma$  such that  $S_\varkappa^0 = P_\varrho^0 Q_\sigma^0$  iff  $P_\varrho^0 R_\varkappa^0 = R_\varkappa^0$  c)  $I_0 = \sum_{\tau=1}^t R_\tau^0$  d) every  $R_\tau^0$  commutes with every  $S_\varkappa^0$  and  $R_\tau^0 S_\varkappa^0 = S_\varkappa^0$  iff  $\tau = \varkappa$  while  $R_\tau^0 S_\varkappa^0 = 0$  iff  $\tau \neq \varkappa$  e)  $Q_\sigma^0 R_\iota^0 = 0$  f)  $Q_\sigma^0 R_\varkappa^0 \neq 0$  iff there exists exactly one  $\varrho$  such that  $S_\varkappa^0 = P_\varrho^0 Q_\sigma^0$  iff  $Q_\sigma^0 R_\varkappa^0 = S_\varkappa^0$ .

It holds  $\{\alpha_\varrho\}_{\varrho=1,\dots,r} = \{\tilde{\alpha}_\tau\}_{\tau=1,\dots,t}$  and  $\{\beta_\sigma\}_{\sigma=1,\dots,s} = \{\tilde{\beta}_\varkappa\}_{\varkappa=t_0+1,\dots,t}$ . For every  $\varrho$  let  $\{\tau_\varrho\}$  be the set of all  $\tau \in \{1, \dots, t\}$  satisfying  $\tilde{\alpha}_\tau = \alpha_\varrho$ , and for every  $\sigma$  let  $\{\varkappa_\sigma\}$  be the set of all  $\varkappa \in \{t_0 + 1, \dots, t\}$  satisfying  $\tilde{\beta}_\varkappa = \beta_\sigma$ . Then  $P_\varrho^0 R_{\tau_\varrho}^0 = R_{\tau_\varrho}^0$  while  $P_\varrho^0 R_\tau^0 = 0$  iff  $\tau \notin \{\tau_\varrho\}$  and thus  $P_\varrho^0 = \sum_{\tau_\varrho} R_{\tau_\varrho}^0$ . Similarly  $Q_\sigma^0 R_{\varkappa_\sigma}^0 = S_{\varkappa_\sigma}^0$  while  $Q_\sigma^0 R_\varkappa^0 = 0$  iff  $\varkappa \notin \{\varkappa_\sigma\}$  and thus  $Q_\sigma^0 = \sum_{\varkappa_\sigma} S_{\varkappa_\sigma}^0$ .

Hence we obtain the formula (5) and we are to show that its complexification is exactly the formula (4). Indeed, if  $R_\varkappa$ ,  $A_\varkappa$ ,  $B_\varkappa \in \mathcal{L}(\mathcal{V})$  is the complexification of

$R_1^0, R_x^0, S_x^0$ , respectively, then  $0 \neq R_1, A_x \neq 0$  are pairwise disjoint projectors commuting with all pairwise disjoint antiprojectors  $B_x \neq 0$ , and we have  $-B_x^2 = A_x, R_1 B_x = 0, A_x B_x = 0$  iff  $x' \neq x'', A_x B_x = B_x$ . If we put  $R_x = \frac{1}{2}(A_x - iB_x)$ , then  $R_x$  and  $\bar{R}_x = \frac{1}{2}(A_x + iB_x)$  are projectors,  $A_x = R_x + \bar{R}_x, B_x = i(R_x - \bar{R}_x)$  and the formula (4) is valid; moreover, the numbers  $\gamma_1 = \tilde{\alpha}_1 \in \mathbf{R}, \gamma_x = \tilde{\alpha}_x + i\tilde{\beta}_x \in \mathbf{C} \setminus \mathbf{R}, \bar{\gamma}_x \in \mathbf{C} \setminus \mathbf{R}$  are pairwise distinct, the projectors  $0 \neq R_1, \bar{R}_x \neq 0$  are pairwise disjoint and satisfy  $I = \sum_{i=1}^{t_0} R_i + \sum_{x=t_0+1}^1 (R_x + \bar{R}_x)$ .

According to Theorem 1 the operator  $C$  is diagonalizable and the  $\gamma_i \in \mathbf{R}$  are all the real proper values of  $C, \gamma_x, \bar{\gamma}_x \in \mathbf{C} \setminus \mathbf{R}$  are all the non-real proper values of  $C$ , and  $R_i$  is the projector corresponding to  $\gamma_i$ . Hence the sets  $\{\alpha_q\}_{q=1, \dots, r}, \{\beta_\sigma\}_{\sigma=1, \dots, s}$ , the corresponding projectors  $P_q^0$  and antiprojectors  $Q_\sigma^0$  are determined uniquely. This yields the asked real spectral

**Theorem 2.** *Let the vector space  $\mathcal{V}$  over  $\mathbf{C}$  be the complexification of a vector space  $\mathcal{V}_0$  over  $\mathbf{R}$  where  $\dim \mathcal{V}_0 = n (\in \mathbf{N})$ . Let  $C \in \mathcal{L}(\mathcal{V})$  be the complexification of  $C_0 \in \mathcal{L}(\mathcal{V}_0)$ . Then  $C$  is diagonalizable if and only if there exists a non empty set  $\{\alpha_q\}_{q=1, \dots, r}$  of pairwise distinct real numbers, a set  $\{\beta_\sigma\}_{\sigma=1, \dots, s}$  of pairwise distinct positive numbers, a set of pairwise disjoint projectors  $0 \neq P_q^0 \in \mathcal{L}(\mathcal{V}_0)$  satisfying  $\sum_{q=1}^r P_q^0 = I_0$  and a set of pairwise disjoint antiprojectors  $0 \neq Q_\sigma^0 \in \mathcal{L}(\mathcal{V}_0)$  commuting with all  $P_q^0$  such that*

$$(7) \quad C_0 = \sum_{q=1}^r \alpha_q P_q^0 + \sum_{\sigma=1}^s \beta_\sigma Q_\sigma^0.$$

The sets  $\{\alpha_q\}, \{\beta_\sigma\}$ , the projectors  $P_q^0$  and the antiprojectors  $Q_\sigma^0$  are determined uniquely.

It is worth noting that the Jordan representation yields a visible form of Theorem 2, see [2].

## REFERENCES

- [1] P. R. Halmos, *Finite-dimensional vector spaces*, D. Van Nostrand 1958.  
 [2] 1970. А. Й. Мальцев, *Основы линейной алгебры*, Москва 1970.

*E. Barvínek*  
 Department of mathematics, UJEP  
 Janáčkovo nám. 2a  
 662 95 Brno  
 Czechoslovakia