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## Forced vibrations in one-dimensional nonlinear thermoelasticity as a local coercive-like problem

EDUARD FEIREISL

*Abstract.* The aim of the paper is to establish the existence of smooth time-periodic solutions of the hyperbolic-parabolic system of equations of thermoelasticity. The method employed takes advantage of the topological degree of mapping and does not rely upon any approximate linearization. The function spaces framework comprises the Lebesgue and Sobolev spaces of integrable functions.

*Keywords:* equations of nonlinear thermoelasticity, time-periodic solution, nonlinear operator equation

*Classification:* 35B10, 73U5, 35Q20

### 1. PRELIMINARIES

It is an inherent feature of second order nonlinear hyperbolic equations that in the case of one spatial dimension solutions tend to develop singularities after a finite time, no matter how smooth and small the data are (see e.g. Klainerman [6]). In the face of it, many interesting problems of mathematical physics call for solutions determined globally in time. To remove this seemingly unsurmountable stumbling-block, one is often left with the choice of either of the alternative methods of tackling the problems.

The first approach is to generalize the concept of solution and turn to weak, ultimately to measure-valued solutions. Recently, the compensated compactness theory of Murat and Tartar has truly embraced this field of research and a relatively long list of contributions culminated in the remarkable work of DiPerna [3] in which the first large-data existence result was established.

In this paper, we pursue the latter course based on allowing for the possibility of a certain dissipative mechanism. The origin of this idea may be traced back to Greenberg, MacCamy, Mizel [4] where, however, the presence of a viscosity term converts the equation into a parabolic one. Nevertheless, other recent treatments of Matsumura [7], Dafermos [1], Shibata [10], this group being rather representative than complete, confirmed the conjecture that certain quasilinear or even fully nonlinear hyperbolic equations with dissipation possess global classical solutions on condition that the data are, roughly speaking, small and smooth enough.

The decay mechanism we shall deal with is represented by the interaction between mechanical and thermal effects in elastic bodies. Under certain circumstances (see Slemrod [11]), the purely longitudinal motion of a body which occupies the region  $0 \leq x \leq \ell$  can be modelled by the quasilinear system

$$(S_1) \quad u_{tt} = \psi_F(u_x + 1, \Theta + T_0)_x + b,$$

$$(S_2) \quad \rho(\Theta + T_0)(\psi_T(u_x + 1, \Theta + T_0)_t) = q(\Theta_x)_x,$$

where  $u = u(x, t)$ ,  $\Theta = \Theta(x, t)$  are respectively the displacement from equilibrium at the instant  $t$  of the longitudinal coordinate and the absolute temperature. The function  $\psi = \psi(F, T)$  represents the specific Helmholtz free energy,  $b$  is the specific body force,  $\rho$  denotes the density and the symbol  $q$  stands for the heat flux.

The faces are traction free, which corresponds to the Neumann boundary conditions

$$(B_1) \quad u_x(0, t) = u_x(\ell, t) = 0, \quad t \in R^1.$$

Finally, the boundary temperature is maintained at the reference level  $T_0$ , i.e.

$$(B_2) \quad \Theta(0, t) = \Theta(\ell, t) = 0, \quad t \in R^1.$$

Our interest is directed to what happens when the body force is  $\omega$ -periodic with respect to  $t$ :

$$(A_1) \quad b(x, t + \omega) = b(x, t) \quad \text{for all } 0 \leq x \leq \ell, \quad t \in R^1.$$

More specifically, the question arises whether the dissipative effect of thermal diffusion may result in the existence of solutions with the same property:

$$(P) \quad u(x, t + \omega) = u(x, t), \quad \Theta(x, t + \omega) = \Theta(x, t).$$

As stated in Section 2, Theorem 1, we can report an affirmative answer on condition that the function  $b$  is small, smooth and satisfies

$$(A_2) \quad \int_0^\ell \int_0^\omega b(x, t) dt dx = 0.$$

The paper was motivated by the work of Day [2] where the corresponding linear problem is treated. As to the initial-boundary value problem for  $(S_1)$ ,  $(S_2)$ , we refer to Slemrod [11], Zheng [12], [13], Zheng, Shen [14], or Racke [8] for relevant results.

Influenced by a work of Kato [5], we make use of a rather nonstandard approach which does not rely on any approximate linearization. Being hyperbolic, the problem in question can not, of course, be coercive not even locally. On the other hand, the underlying idea remains the same as in [5].

Our starting point will be the standard Galerkin approximation, with the help of which the task reduces to solving a sequence of a finite system of nonlinear equations. The resulting problem can be handled successfully by means of the classical degree theory (see Section 3 for the result and Section 4 for the proof). It is worthwhile to note that this crucial step depends heavily on the existence of suitable a priori estimates. Moreover, the same estimates turn out to be strong enough to ensure a limit passage in the sequence of approximate solutions. To cope with the nonlinear terms, certain standard compactness arguments are used. Eventually, the embedding relations of Sobolev type enable us to complete the proof of the existence of at least one classical solution.

## 2. PRELIMINARY PREREQUISITIES AND MAIN RESULTS

Throughout the whole text we use the symbol  $c_i$ ,  $i = 1, 2, \dots$  to denote any strictly positive constant, the denomination  $h$  stands for all real functions, continuous on  $[0, \infty)$ , with the common property  $\lim_{r \rightarrow 0^+} h(r) = 0$ .

Seeing that the concrete values of  $\rho$ ,  $\ell$ ,  $\omega$  do not matter, we may set  $\rho \equiv 1$ ,  $\ell = \pi$ ,  $\omega = 2\pi$ .

As to the function spaces, we will only be interested in those containing time-periodic functions. Accordingly, it seems convenient to introduce the Lebesgue spaces  $L_p(Q)$  and the Sobolev spaces  $H^k(Q)$  determined respectively as the closure of all smooth (real) functions on the cylinder

$$Q = \{(x, t) | x \in [0, \pi], t \in [0, 2\pi] \setminus \{0, 2\pi\}\}$$

with respect to the norm

$$|v|_p = \left( \iint_Q |v|^p dx dt \right)^{\frac{1}{p}}, \quad \|v\|_k = \max \{ |v|_2, |D_x^k v|_2, |D_t^k v|_2 \}.$$

Here (and always) the symbol  $D_y^k$  indicates the  $k$ -th derivative with respect to the variable  $y = x, t$ . To simplify the notation, we postulate  $D_y^k v = 0$  whenever  $k < 0$ .

Besides,  $C^k(Q)$  will denote the Banach space of functions having all derivatives up to the order  $k$  continuous on  $Q$ .

In accordance with its physical interpretation, the function  $\psi = \psi(F, T)$  is defined and smooth on a neighbourhood of the point  $(1, T_0)$ . For the sake of definiteness, we set

$$(A_3) \quad \psi(1, T_0) = \psi_F(1, T_0) = 0.$$

Similarly as in Slemrod [11], the following hypotheses will be assumed, namely,

$$(A_4) \quad \psi_{FF}(1, T) > 0, \quad \psi_{TT}(1, T) < 0, \quad \psi_{FT}(1, T) \neq 0 \quad \text{for any } T > 0$$

along with

$$(A_5) \quad q(0) = 0, \quad q'(z) \leq -c_1 < 0 \quad \text{for all } z.$$

We are in a position to formulate our main result.

**Theorem 1.**

*Let the conditions  $(A_1)$ – $(A_5)$  be satisfied.*

*Then for a given integer  $k \geq 3$  there exists a positive number  $\varepsilon > 0$  such that the problem  $(S_i)$ ,  $(B_i)$ ,  $(P)$ ,  $i = 1, 2$  possesses at least one classical solution pair  $u, \Theta$  on condition that*

$$(2.1) \quad \sum_{j=1}^k \|D_t^{j-1} b\|_1 < \varepsilon.$$

Moreover, the function  $u$  being normalized by

$$(2.2) \quad \iint_Q u(x, t) \, dx \, dt = 0,$$

the estimate

$$(2.3) \quad R(u, \Theta) \stackrel{\text{def}}{=} \sum_{j=2}^k \|D_t^{j-2} u\|_3 + \sum_{\ell=0}^k (|D_t^\ell \Theta|_2 + |D_t^\ell \Theta_x|_2) + \\ + \sum_{\ell=1}^k |D_t^{\ell-1} \Theta_{xx}|_2 < r$$

holds, where  $r = h \left( \sum_{j=1}^k \|D_t^{j-1} b\|_1 \right)$ .

### 3. THE PROOF OF THEOREM 1

In accordance with the conditions  $(B_i)$ ,  $(P)$ ,  $i = 1, 2$ , a suitable platform for the Galerkin approximation is formed by the system of functions

$$e_{kj}(x, t) = \begin{cases} \sin jt \cos kx, & j = 1, 2, \dots, & k = 0, 1, \dots \\ \cos jt \cos kx, & j = 0, -1, \dots, & k = 0, 1, \dots \end{cases} \\ f_{kj}(x, t) = \begin{cases} \sin jt \sin kx, & j = 1, 2, \dots, & k = 1, 2, \dots \\ \cos jt \sin kx, & j = 0, -1, \dots, & k = 1, 2, \dots \end{cases}$$

Specifically, consider a scale of finite-dimensional spaces

$$E_n = \{ [u, \Theta] \mid u \in \text{span} \{ e_{kj} \mid k, |j| \leq n, |j| + k > 0 \}, \\ \Theta \in \text{span} \{ f_{kj} \mid k, |j| \leq n \} \}, \quad n = 1, 2, \dots$$

provided with a Hilbert structure by means of the inner product

$$\langle [u^1, \Theta^1], [u^2, \Theta^2] \rangle = (u^1, u^2) + (\Theta^1, \Theta^2),$$

where

$$(v, w) = \iint_Q vw \, dx \, dt.$$

With the help of the functional  $R$  defined in (2.3) we set

$$K_n(r) = \{ [u, \Theta] \mid [u, \Theta] \in E_n, R(u, \Theta) < r \}.$$

Observe that  $K_n$  is an open bounded convex neighbourhood of the point  $0 \in E_n$ .

The main tool we are going to exploit for solving nonlinear equations is the following assertion, an easy consequence of the Poincaré–Bohl theorem.

**Proposition 1.** [9, Chapter 4, Corollary 1.16].

Let  $E, \langle, \rangle$  be respectively a finite dimensional Hilbert space and the inner product on  $E$ . Let  $\emptyset \neq K \subset E$  be an open bounded convex neighbourhood of the point  $0 \in E$ . Finally, let  $F: E \rightarrow E$  and  $L: E \rightarrow E$  be respectively a continuous mapping and a linear mapping satisfying the inequality

$$(3.1) \quad \langle F(v), Lv \rangle > 0$$

for all  $v \in \partial K$ .

Then there is at least one point  $v^0 \in K$ , a solution of the equation

$$(3.2) \quad F(v^0) = 0.$$

Turning attention to the original problem, we set

$$\begin{aligned} F_1(u, \Theta) &= u_{tt} - \psi_F(u_x + 1, \Theta + T_0)_x - b, \\ F_2(u, \Theta) &= \psi_T(u_x + 1, \Theta + T_0)_t - \frac{1}{\Theta + T_0} q(\theta_x)_x \end{aligned}$$

along with

$$\begin{aligned} L_1(u, \Theta) &= \sum_{j=0}^k (-1)^j D_t^{2j+1} u + \sum_{j=1}^k (-1)^j \left( D_t^{2j-1} u_{xx} + \delta_2 D_t^{2j-2} u_{xx} - \right. \\ &\quad \left. - \delta_3 D_t^{2j} u \right) + \delta_4 \sum_{j=2}^k (-1)^j D_t^{2j-4} u_{xxxx}, \\ L_2(u, \Theta) &= \sum_{j=0}^k (-1)^{j+1} D_t^{2j} \Theta + \sum_{j=1}^k (-1)^{j+1} \left( D_t^{2j-2} \Theta_{xx} + \delta_1 \tilde{s} D_t^{2j-1} u_x \right), \end{aligned}$$

where  $\tilde{s} = \text{sgn} \psi_{FT}(1, T_0)$ . The numbers  $\delta_i > 0$ ,  $i = 1, \dots, 4$  will be determined later.

Note that the linear operator  $L = (L_1, L_2)$  maps  $E_n$  into itself while  $F = (F_1, F_2)$  may be viewed as a nonlinear mapping from  $E_n$  into its dual  $(E_n)^*$ , the latter space being identified with  $E_n$  via the Riesz isometry.

What is needed, is an assertion relevant to (3.1):

**Lemma 1.**

There exist strictly positive constants  $\varepsilon, \delta_i > 0$ ,  $i = 1, \dots, 4$ , independent of  $n$ , having the following property.

For every  $b$  satisfying (2.1) there is a number  $r > 0$ ,  $r = h \left( \sum_{j=1}^k \|D_t^j b\|_1 \right)$  such that the inequality

$$(3.3) \quad \langle F(u, \Theta), L(u, \Theta) \rangle = \langle F_1(u, \Theta), L_1(u, \Theta) \rangle + \langle F_2(u, \Theta), L_2(u, \Theta) \rangle > \dot{0}$$

holds whenever  $[u, \Theta] \in \partial K_n(r)$ .

What the lemma actually says is that there is a sufficiently large family of a priori estimates related to the small solutions of the problems  $F_1 = F_2 = 0$ . The proof being rather technical, we postpone it to the next section.

When taken for granted, Lemma 1 in conjunction with Proposition 1 make the proof of Theorem 1 quite elementary.

Indeed, Proposition 1 guarantees the existence of the sequence  $\{u^n, \Theta^n\}_{n=1}^\infty$  of approximate solutions satisfying

$$\begin{aligned} (S_1^n) \quad & (F_1(u^n, \Theta^n), w_1) = 0, \\ (S_2^n) \quad & (F_2(u^n, \Theta^n), w_2) = 0 \end{aligned}$$

for any pair  $[w_1, w_2] \in E_n$ . Observe that,  $b$  being small (in  $C(Q)$ ), we have  $T_0 + \Theta^n \geq c_2 > 0$ .

Next, in accordance with the definition of  $E_n$ , we get

$$(3.4) \quad \iint_Q u^n(x, t) \, dx \, dt = 0, \quad n = 1, 2, \dots$$

Eventually, the most important piece of information is contained in the fact that

$$(3.5) \quad [u^n, \Theta^n] \in K_n(r), \quad n = 1, 2, \dots$$

To see this, consider an arbitrary pair  $[u, \Theta] \in K_n(r)$ , the actual value of  $n$  being irrelevant. Since  $k \geq 3$ , the terms  $u_{xx}$ ,  $u_{xzt}$ , viewed as vector functions of the variable  $t$  (which will be sometimes the case), belong to a bounded subset of the space  $L_2((0, 2\pi); H^1(0, \pi))$ , which yields the boundedness of  $u_{xx}$  in  $C^{\frac{1}{2}}([0, 2\pi], H^1(0, \pi))$ .

As the embedding  $H^1(0, \pi) \hookrightarrow C[0, \pi]$  is compact, a generalized version of the Arzelà–Ascoli theorem implies the compactness of  $u_{xx}$  in  $C(Q)$ . Following the line of the same arguments we deduce that

$$(3.6) \quad \{u^n\}, \{\Theta^n\}_{n=1}^\infty \text{ belong respectively to a compact subset of } C^2(Q), C^1(Q).$$

Consequently, the only term lying beyond the scope of the above considerations is  $\Theta_{xx}^n$  which is bounded in  $L_2(Q)$  only. Passing to subsequences if necessary, we may suppose that

$$(3.7) \quad \begin{aligned} u^n &\rightarrow u \text{ strongly in } C^2(Q), \\ \Theta^n &\rightarrow \Theta \text{ strongly in } C^1(Q), \quad \Theta^n \rightarrow \Theta \text{ weakly in } H^2(Q). \end{aligned}$$

By virtue of  $(S_1^n)$ ,  $(S_2^n)$ , we obtain

$$(3.8) \quad (F_1(u, \Theta), w_1) = 0, \quad (F_2(u, \Theta), w_2) = 0$$

for any pair  $[w_1, w_2] \in \bigcup_{n=1}^\infty E_n$ . Thus in view of  $(A_2)$ , we infer that  $[u, \Theta]$  is a (strong) solution of the problem  $(S_i)$ ,  $(B_i)$ ,  $(P)$ ,  $i = 1, 2$ .

To conclude with, the term  $\Theta_{xx}$  can be expressed in  $(S_2)$  in order to demonstrate  $\Theta_{xx} \in C(Q)$ .

Theorem 1 has been proved.

4. THE PROOF OF LEMMA 1

To begin with, suppose that

$$(4.1) \quad [u, \Theta] \in \overline{K_n(r)}, r > 0, \quad \sum_{j=1}^k \left\| D_t^{j-1} b \right\|_1 = \beta.$$

The proof of Lemma 1 splits into a number of auxiliary steps, we now proceed to assemble.

4.1.  $\left\| D_t^{j-2} u_{xx} \right\|_1, \left\| D_t^{j-1} u_x \right\|_1, \left\| D_t^{j-1} \Theta_x \right\|_1, \left\| D_t^{j-1} \Theta \right\|_1 \leq c_3 r$  for all  $j \leq k$ .

PROOF 4.1: An immediate consequence of (4.1). ■

4.2. For any  $i = 1, \dots, m$  let  $v_i$  be one of the quantities appearing in the  $H^1$ -norm on the left-hand side of 4.1.

Then the product  $\prod_{i=1}^m v_i$  belongs to  $L_2(Q)$  and

$$\left| \prod_{i=1}^m v_i \right|_2 \leq c_4(m)r^m.$$

PROOF 4.2: A straightforward application of the Hölder inequality in conjunction with the embedding relation  $H^1(Q) \hookrightarrow L_q(Q)$ ,  $q < \infty$  arbitrary. ■

4.3.  $\|u\|_{C^2(Q)}, \|\Theta\|_{C^1(Q)}, \left\| D_t^{j-2} \Theta_x \right\|_{C(Q)} \leq c_5 r, \quad j \leq k$ .

PROOF 4.3: As to the first and second term, we refer to Section 3.

Next, we have  $D_t^{j-2} \Theta_x \in L_2((0, 2\pi), H^1(0, \pi))$ ,  $(D_t^{j-2} \Theta_x)_t \in L_2((0, 2\pi), H^1(0, \pi))$ , which, along with the relation  $H^1(0, \pi) \hookrightarrow C[0, \pi]$ , completes the proof. ■

4.4 The chain rule :. Let the functions  $u = u(x, t)$ ,  $v = v(x, t)$ ,  $f = f(u, v)$  have the prerequisite derivatives for the analysis to be valid. Then we have

$$D_t^j D_x^s f(u, v) = \sum D_u^{\alpha_1} D_v^{\alpha_2} f(u, v) \left( D_t^{j_1} D_x^{k_1} u \right)^{\ell_1} \dots \left( D_t^{j_n} D_x^{k_n} u \right)^{\ell_n} \left( D_t^{p_1} D_x^{q_1} v \right)^{z_1} \dots \left( D_t^{p_m} D_x^{q_m} v \right)^{z_m}$$

where  $\sum_{i=1}^n \ell_i = \alpha_1, \sum_{i=1}^m z_i = \alpha_2, \sum_{i=1}^n j_i + \sum_{i=1}^m p_i \leq j, \sum_{i=1}^n k_i + \sum_{i=1}^m q_i \leq s, \sum_{i=1}^n (j_i + k_i) \ell_i + \sum_{i=1}^m (p_i + q_i) z_i = j + s$ .

4.5. Given an integer  $j \in [0, k]$ , we have the inequality

$$\begin{aligned} & \left( F_1(u, \Theta), (-1)^j \left( D_t^{2j+1} u + D_t^{2j-1} u_{xx} \right) \right) \geq \\ & \geq - \left( \psi_{FT} D_t^{j+1} \Theta, D_t^j u_x \right) - \left( \psi_{FT} D_t^j \Theta_x, D_t^{j-1} u_{xx} \right) - h(r)r^2 - \beta r \end{aligned}$$



(recall our agreement that  $D^\ell v \equiv 0$  whenever  $\ell < 0$ ).

PROOF 4.5: With  $(B_i)$ ,  $(P)$ ,  $i = 1, 2$  in mind, we are allowed to carry out a series of by-parts integrations in order to rewrite the left-hand side of the above inequality in the form

$$-\left(D_t^{j+1}\psi_F, D_t^j u_x\right) - \left(D_t^j D_x \psi_F, D_t^{j-1} u_{xx}\right) - \left(D_t^j b, D_t^{j+1} u + D_t^{j-1} u_{xx}\right).$$

Making use of the estimates 4.1–4.3 in conjunction with the chain rule we get

$$-\left(D_t^{j+1}\psi_F, D_t^j u_x\right) \geq -\left(\psi_{FF} D_t^{j+1} u_x, D_t^j u_x\right) - \left(\psi_{FT} D_t^{j+1} \Theta, D_t^j u_x\right) - h(r)r^2.$$

Moreover, we have

$$\begin{aligned} -\left(\psi_{FF} D_t^{j+1} u_x, D_t^j u_x\right) &= -\left(\psi_{FF}, \frac{1}{2} D_t \left(D_t^j u_x\right)^2\right) = \left(D_t \psi_{FF}, \frac{1}{2} \left(D_t^j u_x\right)^2\right) \geq \\ &\geq -h(r)r^2. \end{aligned}$$

Since the same arguments apply to the term  $\left(D_t^j D_x \psi_F, D_t^{j-1} u_{xx}\right)$ , the Hölder inequality completes the proof.  $\blacksquare$

#### 4.6.

$$\begin{aligned} \left(F_2(u, \Theta), (-1)^{j+1} \left(D_t^{2j} \Theta + D_t^{2j-2} \Theta_{xx}\right)\right) &\geq -\left(\psi_{FT} D_t^{j+1} u_x, D_t^j \Theta\right) - \\ &-\left(\psi_{FT} D_t^j u_{xx}, D_t^{j-1} \Theta_x\right) + c_6 \left(\left|D_t^j \Theta_x\right|_2^2 + \left|D_t^{j-1} \Theta_{xx}\right|_2^2\right) - h(r)r^2 \end{aligned}$$

for all  $0 \leq j \leq k$ .

PROOF 4.6: Bounding the left-hand side as in 4.5 we arrive at the inequality

$$\begin{aligned} (1) \quad &\left(D_t \psi_T, (-1)^{j+1} \left(D_t^{2j} \Theta + D_t^{2j-2} \Theta_{xx}\right)\right) = -\left(D_t^{j+1} \psi_T, D_t^j \Theta\right) - \\ &-\left(D_t^j D_x \psi_T, D_t^{j-1} \Theta_x\right) \geq \\ &\geq -\left(\psi_{FT} D_t^{j+1} u_x, D_t^j \Theta\right) - \left(\psi_{FT} D_t^j u_{xx}, D_t^{j-1} \Theta_x\right) - h(r)r^2. \end{aligned}$$

Next, we have to cope with the term

$$\begin{aligned} (2) \quad &-\left(\frac{1}{T_0 + \Theta} q(\Theta_x)_x, (-1)^{j+1} D_t^{2j} \Theta\right) = -\left(D_t^j \left(\frac{1}{T_0 + \Theta} q(\Theta_x)\right), D_t^j \Theta_x\right) + \\ &+\left(D_t^j \left(\frac{\Theta_x}{(T_0 + \Theta)^2} q(\Theta_x)\right), D_t^j \Theta\right) \geq \\ &\quad \text{(according to 4.1–4.4)} \\ &\geq -\left(\frac{1}{T_0 + \Theta} q'(\Theta_x) D_t^j \Theta_x, D_t^j \Theta_x\right) - h(r)r^2 \geq \\ &\quad \text{(by virtue of (A}_5\text{))} \end{aligned}$$

$$\geq c_7 \left|D_t^j \Theta_x\right|_2^2 - h(r)r^2.$$

Finally, we are left with the most difficult term:

$$\begin{aligned}
 (3) \quad & - \left( \frac{1}{T_0 + \Theta} q'(\Theta_x) \Theta_{xx}, (-1)^{j+1} D_t^{2j-2} \Theta_{xx} \right) = \\
 & = - \left( D_t^{j-1} \left( \frac{1}{T_0 + \Theta} q'(\Theta_x) \Theta_{xx} \right), D_t^{j-1} \Theta_{xx} \right) \geq \\
 & \quad \text{(in view of } (A_5), 4.3) \\
 & \geq c_8 \left| D_t^{j-1} \Theta_{xx} \right|_2^2 - \left( \frac{1}{T_0 + \Theta} q'(\Theta_x) D_t^{j-1} \Theta_x \Theta_{xx}, D_t^{j-1} \Theta_{xx} \right) - h(r)r^2.
 \end{aligned}$$

Consequently, all we need to prove 4.6, is the estimate

$$(4) \quad \left| D_t^{j-1} \Theta_x \Theta_{xx} \right|_2 \leq c_9 r^2.$$

To this end, observe that 4.1 yields  $D_t^{j-1} \Theta_x \in L_2((0, 2\pi); H^1(0, \pi))$ ,  $\Theta_{xx} \in C([0, 2\pi], L_2(0, \pi))$ . Since  $H^1(0, \pi) \cap C[0, \pi]$ , (4) follows. ■

4.7.

$$\begin{aligned}
 & \left( F_1(u, \Theta), \sum_{j=0}^k (-1)^j \left( D_t^{2j+1} u + D_t^{2j-1} u_{xx} \right) \right) + \\
 & + \left( F_2(u, \Theta), \sum_{j=0}^k (-1)^{j+1} \left( D_t^{2j} \Theta + D_t^{2j-2} \Theta_{xx} \right) \right) \geq \\
 & \geq c_{10} \left( \sum_{j=0}^k \left| D_t^j \Theta \right|_2^2 + \left| D_t^j \Theta_x \right|_2^2 + \left| D_t^{j-1} \Theta_{xx} \right|_2^2 \right) - c_{11} \beta r - h(r)r^2.
 \end{aligned}$$

PROOF 4.7: The relation 4.7 follows from 4.5, 4.6. To see this, we have to integrate by parts

$$\begin{aligned}
 & - \left( \psi_{FT} D_t^{j+1} u_x, D_t^j \Theta \right) - \left( \psi_{FT} D_t^{j+1} \Theta, D_t^j u_x \right) = \\
 & = - \left( \psi_{FT}, D_t \left( D_t^j u_x D_t^j \Theta \right) \right) = \left( D_t \psi_{FT} D_t^j u_x, D_t^j \Theta \right) \geq -h(r)r^2 \quad \text{etc.}
 \end{aligned}$$

Moreover, recall the Poincaré inequality  $\left| D_t^j \Theta_x \right|_2 \geq c_{12} \left| D_t^j \Theta \right|_2$ , which completes the proof. ■

4.8.

$$\begin{aligned}
 & \left( F_2(u, \Theta), (-1)^{j+1} \bar{\delta} D_t^{2j-1} u_x \right) \geq c_{13} \left| D_t^j u_x \right|_2^2 - \\
 & - c_{14} \left( \left| D_t^j \Theta \right|_2^2 + \left| D_t^j \Theta_x \right|_2^2 + \left| D_t^{j-1} \Theta_{xx} \right|_2^2 \right) - h(r)r^2
 \end{aligned}$$

for any  $1 \leq j \leq k$ .

PROOF 4.8: We may decompose  $(F_2(u, \Theta), (-1)^{j+1} \bar{s} D_t^{2j-1} u_x) = A_1 + A_2$ , where

$$(1) \quad A_1 = \bar{s} (D_t^j \psi_T, D_t^j u_x) \geq$$

$$\quad \quad \quad (\text{according to } (A_4), 4.1)$$

$$\geq c_{15} |D_t^j u_x|_2^2 + \bar{s} (\psi_{TT} D_t^j \Theta, D_t^j u_x) - h(r)r^2 \geq$$

$$\geq c_{16} |D_t^j u_x|_2^2 - c_{17} |D_t^j \Theta|_2^2 - h(r)r^2;$$

$$(2) \quad A_2 = -\bar{s} \left( D_t^{j-1} \left( \frac{1}{T_0 + \Theta} q'(\Theta_x) \Theta_{xx} \right), D_t^j u_x \right) \geq$$

$$\quad \quad \quad (\text{in view of 4.3})$$

$$\geq -\bar{s} \left( \frac{1}{T_0 + \Theta} q'(\Theta_x) D_t^{j-1} \Theta_{xx}, D_t^j u_x \right) - \bar{s} \left( D_t^{j-1} \left( \frac{1}{T_0 + \Theta} q'(\Theta_x) \right) \Theta_{xx}, D_t^j u_x \right) -$$

$$\quad \quad \quad - h(r)r^2.$$

Seeing that the second term on the right-hand side has been treated in 4.7, we conclude with the estimate

$$(3) \quad A_2 \geq -\frac{c_{16}}{2} |D_t^j u_x|_2^2 - c_{18} |D_t^{j-1} \Theta_{xx}|_2^2 - h(r)r^2.$$

Adding (1), (3) we obtain 4.8. ■

#### 4.9.

$$(F_1(u, \Theta), (-1)^j D_t^{2j-2} u_{xx}) \geq c_{19} |D_t^{j-1} u_{xx}|_2^2 - |D_t^j u_x|_2^2 - c_{20} |D_t^{j-1} \Theta_x|_2^2 -$$

$$\quad \quad \quad - h(r)r^2 - c_{21}\beta r$$

for any  $1 \leq j \leq k$ .

PROOF 4.9: Taking advantage of the standard arguments we arrive at the inequality:

$$(F_1(u, \Theta), (-1)^j D_t^{2j-2} u_{xx}) \geq -|D_t^j u_x|_2^2 + (D_t^{j-1} D_x \psi_F, D_t^{j-1} u_{xx}) - c_{21}\beta r$$

$$\quad \quad \quad (\text{according to 4.1-4.4})$$

$$\geq -|D_t^j u_x|_2^2 + (\psi_{FF} D_t^{j-1} u_{xx}, D_t^{j-1} u_{xx}) + (\psi_{FT} D_t^{j-1} \Theta_x, D_t^{j-1} u_{xx}) - h(r)r^2 -$$

$$\quad \quad \quad - c_{21}\beta r \geq (\text{with the help of } (A_4))$$

$$\geq -|D_t^j u_x|_2^2 + c_{19} |D_t^{j-1} u_{xx}|_2^2 - c_{20} |D_t^{j-1} \Theta_x|_2^2 - h(r)r^2 - c_{21}\beta r.$$
■

4.10.

$$\begin{aligned} (F_1(u, \Theta), (-1)^{j+1} D_t^{2j} u) \geq & \left| D_t^{j+1} u \right|_2^2 - c_{22} \left( \left| D_t^{j-1} u_{xx} \right|_2^2 + \left| D_t^{j-1} \Theta_x \right|_2^2 \right) - \\ & - h(r)r^2 - c_{23}\beta r \end{aligned}$$

for any  $1 \leq j \leq k$ .

PROOF 4.10: Omitted. We could argue similarly as in 4.9. ■

4.11.

$$\begin{aligned} (F_1(u, \Theta), (-1)^j D_t^{2j-2} u_{xxxx}) \geq & c_{24} \left| D_t^{j-2} u_{xxx} \right|_2^2 - c_{25} \left| D_t^j u_x \right|_2^2 - \\ & - c_{26} \left| D_t^{j-2} \Theta_{xx} \right|_2^2 - c_{27}\beta r - h(r)r^2 \end{aligned}$$

for any  $2 \leq j \leq k$ .

PROOF 4.11: Seeing that  $[u, \Theta] \in E_n$  we have  $u_{xxx}(0, t) = u_{xxx}(\pi, t) = 0$ , which justifies the following integration

$$\begin{aligned} (F_1(u, \Theta), (-1)^j D_t^{2j-4} u_{xxxx}) \geq & - \left( D_t^j u_x, D_t^{j-2} u_{xxx} \right) + \\ & + \left( D_t^{j-2} D_x^2 \psi_F, D_t^{j-2} u_{xxx} \right) - c_{27}\beta r \geq \\ & \text{(according to } (A_4)) \\ \geq & c_{24} \left| D_t^{j-2} u_{xxx} \right|_2^2 - c_{25} \left| D_t^j u_x \right|_2^2 - c_{26} \left| D_t^{j-2} \Theta_{xx} \right|_2^2 - c_{27}\beta r + A. \end{aligned}$$

The quantity  $A$  is of the form:

$$\begin{aligned} A = & \left( \sum D^\alpha \psi (D^{p_1} u_x)^{x_1} \dots (D^{p_n} u_x)^{x_n} (D^{q_1} \Theta)^{q_1} \dots (D^{q_m} \Theta)^{q_m}, D_t^{j-2} u_{xxx} \right), \\ & \text{where } \sum_{i=1}^n p_i z_i + \sum_{i=1}^m q_i \delta_i = j, \quad p_i, q_i \leq j-1. \end{aligned}$$

The most difficult terms to estimate are those where  $D^{p_i} = D_t^{\ell-3} D_x^2$  or  $D^{q_i} = D_t^{\ell-3} D_x^2$  for at least one  $p_i$  or  $q_i$ . Observe that if it is not the case, we can use 4.1-4.3 in order to estimate the remaining part of  $A$  with the help of  $h(r)r^2$ .

On the other hand, if the term contains  $D_t^{\ell-3} D_x^2 \Theta$  or  $D_t^{\ell-3} D_x^3 u$ , the remaining multipliers are bound to be of the form  $D_t^{\tilde{j}-2} u_x, D_t^{\tilde{j}-2} \Theta, \tilde{j} \leq k$  and hence bounded in  $C(Q)$ . Indeed, (4.1) implies  $D_t^{\tilde{j}-2} u_x, D_t^{\tilde{j}-2} \Theta \in H^2(Q) \cap C(Q)$ .

Consequently, we infer that  $A \geq -h(r)r^2$ . ■

4.12. There are strictly positive constants  $\delta_i$ ,  $i = 1, \dots, 4$  such that

$$\langle \mathbf{F}(u, \Theta), \mathbf{L}(u, \Theta) \rangle \geq c_{28} r^2 (u, \Theta) - c_{29} \beta r - h(r) r^2$$

for any pair  $[u, \Theta]$  and any  $b$  satisfying (4.1).

PROOF 4.12: Since  $u$  satisfies (2.2), we deduce the estimate

$$(1) \quad |u|_2 \leq c_{30} (|u_t|_2 + |u_x|_2) \leq c_{31} (|u_{tt}|_2 + |u_{xt}|_2 + |u_{xx}|_2).$$

Next, we set successively

$$\delta_1 = \frac{c_{10}}{8c_{14}k}, \quad \delta_2 = \min \left\{ \frac{\delta_1 c_{13}}{8}, \frac{c_{10}}{8c_{20}k} \right\},$$

$$\delta_3 = \min \left\{ \delta_2 \left( \frac{c_{19}}{8c_{22}} \right), \frac{c_{10}}{8c_{22}k} \right\}, \quad \delta_4 = \min \left\{ \delta_1 \left( \frac{c_{13}}{8c_{25}} \right), \frac{c_{10}}{8c_{26}k} \right\}.$$

Multiplying respectively 4.8–4.11 by  $\delta_1$ – $\delta_4$ , summing up the results for  $j = 0, \dots, k$  and adding the resulting expression to 4.7 we then obtain 4.12. Note that, of course, we have exploited the estimate (1). ■

Having shown 4.12, we turn to the proof of Lemma 1. For  $[u, \Theta] \in \partial K_n(r)$ , 4.12 yields the inequality

$$\langle \mathbf{F}(u, \Theta), \mathbf{L}(u, \Theta) \rangle \geq c_{28} r^2 - h(r) r^2 - c_{29} \beta r.$$

Consequently,  $r$  being small, say  $r \in (0, r_1)$ ,  $r_1 > 0$ , we have  $c_{28} r^2 - h(r) r^2 > 0$ . Thus there is  $\varepsilon > 0$  having all the properties required in Lemma 1. Moreover, if  $\beta$  is small, the number  $r$  can be chosen close to zero.

Lemma 1 has been proved.

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