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CONCERNING CONGRUENCES
ON SYMMETRIC INVERSE SEMIGROUPS

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The lattice of congruences on a symmetric inverse semigroup \mathcal{S}_X has been determined by A. E. LIBER [2], using techniques very similar to those of A. I. MALCEV [3] for characterizing the congruences on a full transformation semigroup \mathcal{T}_X . The purpose of this note is to derive and extend these results using more recent theorems on any inverse semigroup. In the course of events, it will be shown that \mathcal{S}_X is embedded in \mathcal{T}_{X^0} , the congruences on \mathcal{S}_X are not just those induced by congruences on \mathcal{T}_{X^0} , but $\mathcal{A}(\mathcal{S}_X) \cong \mathcal{A}(\mathcal{T}_X)$.

I. INTRODUCTION, DEFINITIONS, AND PRELIMINARIES

As usual, the basic notation and terminology will be that of CLIFFORD and PRESTON [1]. Some familiarity with that notation is assumed. Specifically, if X is a set, then $|X|$ denotes the cardinal number of X , and $|X|'$ is the successor of $|X|$. X^0 will mean $X \cup \{0\}$ where $0 \notin X$. \mathcal{S}_X will denote the symmetric inverse semigroup on X and \mathcal{T}_X will denote the full transformation semigroup on X . Whenever a is a function, then $|a|$ means the cardinal number of a viewed as a set of ordered pairs, and $\text{rank}(a)$ means $|\text{image}(a)|$. Finally, whenever S is a semigroup, $\mathcal{A}(S)$ denotes the lattice of congruences on S .

The general approach of Clifford and Preston's treatment [1, Vol. 2, Chapter 10] of Malcev's results [3] will be followed. This involves using the usual Rees congruences and also using sequences of cardinal numbers when X is infinite. The situation here is somewhat more simple, however, for the following reason. If S is an inverse semigroup and E is its set of idempotents, then E is a commutative subsemigroup and there are theorems which guarantee which congruences on E can be extended to all of S .

First, using a device due to V. V. VAGNER [6] (and described in 1, vol. 2, p. 254), it

may be seen that if $X^0 = X \cup \{0\}$, then \mathcal{S}_X is embedded in \mathcal{S}_{X^0} in the following way. For each $a \in \mathcal{S}_X$, let $U(a)$ be the domain of a , and let $a^0 \in T_{X^0}$ by

$$xa^0 = \begin{cases} xa & \text{if } x \in U(a) \\ 0 & \text{if } x \notin U(a). \end{cases}$$

Let $K = \{\alpha \in \mathcal{S}_{X^0} : 0\alpha = 0, \text{ and } x\alpha = y\alpha \neq 0 \text{ implies } x = y\}$. Then $a \in \mathcal{S}_X$ implies $a^0 \in K$. Conversely if $\alpha \in K$, then $\alpha \upharpoonright X = \alpha \cap X \times X \in \mathcal{S}_X$. Furthermore, $a \rightarrow a^0$ and $\alpha \rightarrow \alpha \upharpoonright X$ are mutually inverse isomorphisms of \mathcal{S}_X onto K and of K onto \mathcal{S}_X , respectively. Notice that if $a \in \mathcal{S}_X$, then $|a| + 1 = \text{rank}(a^0)$.

Some definitions and theorems will now be stated. All are from [5], with the exception of Theorem 1.4, which first appeared in [4].

Definition 1.1. Let S be an inverse semigroup and let $P = \{E_\alpha : \alpha \in J\}$ be a partition of $E_S = E$. P is a *normal partition* of E if

- (1) $\alpha, \beta \in J$ implies there exists $\gamma \in J$ such that $E_\alpha E_\beta \subseteq E_\gamma$;
- (2) $\alpha \in J$ and $a \in S$ implies there exists $\beta \in J$ such that $aE_\alpha a^{-1} \subseteq E_\beta$.

Theorem 1.2. Let $P = \{E_\alpha : \alpha \in J\}$ be a normal partition of the semilattice of idempotents of an inverse semigroup S . Let $\sigma = \{(a, b) \in S \times S : \text{there exists } \alpha \in J \text{ with } aa^{-1}, bb^{-1} \in E_\alpha \text{ and } ea = eb \text{ for some } e \in E_\alpha\}$ and let $\varrho = \{(a, b) \in S \times S : \alpha \in J \text{ implies there exists } \beta \in J \text{ such that } aE_\alpha a^{-1}, bE_\alpha b^{-1} \subseteq E_\beta\}$. Then σ and ϱ are respectively the smallest and largest congruences on S such that $\sigma \upharpoonright E = \varrho \upharpoonright E = \pi_p$ (the equivalence relation on E induced by P).

Definition 1.3. Let S be an inverse semigroup. \mathcal{N} is a *kernel normal system* of S if \mathcal{N} is a collection of inverse subsemigroups of S , $\mathcal{N} = \{N_\alpha : \alpha \in J\}$ such that, if $E_\alpha = E_{N_\alpha}$, then

- (1) $\{E_\alpha : \alpha \in J\}$ is a normal partition of E_S ;
- (2) $aa^{-1}, bb^{-1} \in E_\alpha$ and $a, ab^{-1} \in N_\alpha$ imply that $b \in N_\alpha$;
- (3) $aa^{-1}, bb^{-1} \in E_\alpha$ and $ab^{-1} \in N_\alpha$ and $aE_\beta a^{-1} \subseteq E_\gamma$ implies that $aN_\beta b^{-1} \subseteq N_\gamma$.

Theorem 1.4. Let S be an inverse semigroup and let $\mathcal{N} = \{N_\alpha : \alpha \in J\}$ be a kernel normal system of S . Let $\varrho_{\mathcal{N}} = \{(a, b) \in S \times S : aa^{-1}, bb^{-1} \in E_\alpha \text{ and } ab^{-1} \in N_\alpha \text{ for some } \alpha \in J\}$. Then $\varrho_{\mathcal{N}}$ is a congruence on S and $\{N_\alpha : \alpha \in J\}$ is the set of idempotents in $S/\varrho_{\mathcal{N}}$.

Conversely, let ϱ be a congruence on S . Then $\mathcal{N} = \{e\varrho : e \in E\}$ is a kernel normal system of S and $\varrho = \varrho_{\mathcal{N}}$.

Theorem 1.5. Let S be an inverse semigroup and $P = \{E_\alpha : \alpha \in J\}$ be a normal partition of E . For each $\alpha \in J$, let T_α be the largest inverse subsemigroup of S such

that $E_{T_\alpha} = E_\alpha$, let $M_\alpha = \{x \in T_\alpha : ex = e \text{ for some } e \in E_\alpha\}$, and let $N_\alpha = \{x \in T_\alpha : E_\alpha E_\beta \subseteq E_\gamma \text{ implies } xE_\beta x^{-1} \subseteq E_\gamma\}$. Then $\mathcal{M} = \{M_\alpha : \alpha \in J\}$ and $\mathcal{N} = \{N_\alpha : \alpha \in J\}$ are kernel normal systems of S , $\varrho_{\mathcal{M}} = \sigma$ and $\varrho_{\mathcal{N}} = \varrho$ where σ and ϱ are defined as in Theorem 1.2.

Theorem 1.6. *Let S be an inverse semigroup and let $\theta = \{(\varrho, \sigma) \in \Lambda(S) \times \Lambda(S) : \varrho \mid E = \sigma \mid E\}$. Then*

- (1) θ is a congruence on $\Lambda(S)$;
- (2) each θ -class is a complete modular sublattice of $\Lambda(S)$;
- (3) the natural homomorphism of $\Lambda(S)$ onto $\Lambda(S)/\theta$ is a complete lattice homomorphism.

II. FINITE PRIMARY CARDINALS

Definition 2.1. Let S be an inverse semigroup with E as its semilattice of idempotents. A congruence ϱ on E is a normal congruence on E provided that $(e, f) \in \varrho$ and $a \in S$ imply $(a e a^{-1}, a f a^{-1}) \in \varrho$.

In the light of Definition 1.1 and Theorem 1.2, the normal congruences on E are just those congruences on E which may be extended to all of S .

Lemma 2.2. *Let E be the semilattice of idempotents of \mathcal{S}_X , and let ϱ be a normal congruence on E . Then there exists a cardinal number $\eta(\varrho)$, $1 \leq \eta(\varrho) \leq |X|'$, such that if $e \in E$, then $(e, 0) \in \varrho$ if and only if $|e| < \eta(\varrho)$.*

Proof. Let $A = \{\xi : \xi \text{ is a cardinal number and there exists } e \in E \text{ such that } |e| = \xi \text{ and } (e, 0) \in \varrho\}$. Choose $\eta(\varrho)$ minimal with respect to $\xi < \eta(\varrho)$ for each ξ in A . To show that $\eta(\varrho)$ has the property asserted, assume first that $e \in E$ and $(e, 0) \in \varrho$. Then $|e| \in A$ and so $|e| < \eta(\varrho)$.

Conversely, assume that $e \in E$ with $|e| < \eta(\varrho)$. Then there exists $f \in E$ such that $(f, 0) \in \varrho$ and $|e| \leq |f|$. Let g be an extension of e such that $|g| = |f|$ and let a map $U(g)$ one-to-one onto $U(f)$. Then $(f, 0) \in \varrho$ implies $(a f a^{-1}, a 0 a^{-1}) = (g, 0) \in \varrho$, and hence $(eg, e0) = (e, 0) \in \varrho$, concluding the proof.

The cardinal number $\eta(\varrho)$ of Lemma 2.2 will be called the primary cardinal of ϱ .

If ξ is a cardinal number such that $1 \leq \xi \leq |X|'$, then $I_\xi = \{e \in E : |e| < \xi\}$ is an ideal of E . Let I_ξ^* denote the congruence on E such that E/I_ξ^* is the Rees quotient semigroup E/I_ξ . I_ξ^* is, in fact, a normal congruence on E since $a \in \mathcal{S}_X$ and $e \in E$ imply $|a e a^{-1}| \leq |e|$. Similarly, $J_\xi = \{a \in \mathcal{S}_X : |a| < \xi\}$ is an ideal of \mathcal{S}_X .

Let $D_\xi = \{a \in \mathcal{S}_X : |a| = \xi\}$ for $0 \leq \xi \leq |X|$. It is a simple matter to compute that $(a, b) \in \mathcal{L}$ if and only if $V(a)$ (the range of a) = $V(b)$, and $(a, b) \in \mathcal{D}$ if and only if $U(a) = U(b)$. Consequently, $(a, b) \in \mathcal{D}$ if and only if $|a| = |b|$ so that the \mathcal{D} classes of \mathcal{S}_X are just the sets D_ξ .

Lemma 2.3. *Let ϱ be a normal congruence on E such that $\eta(\varrho)$ is finite. Then $\varrho = I_{\eta(\varrho)}^*$.*

Proof. According to Lemma 2.2, if $|e|, |f| < \eta(\varrho)$, then $(e, 0), (f, 0) \in \varrho$ and so $(e, f) \in \varrho$ and hence $I_{\eta(\varrho)}^* \subseteq \varrho$.

In order to show that $\varrho \subseteq I_{\eta(\varrho)}^*$, let $(e, f) \in \varrho$. Lemma 2.2 guarantees that if either of $|e|$ and $|f|$ is less than $\eta(\varrho)$, then both of $|e|$ and $|f|$ are less than $\eta(\varrho)$ and so $(e, f) \in I_{\eta(\varrho)}^*$. Assume then that $\eta(\varrho) \leq |e| \leq |f|$. If $|ef| < \eta(\varrho)$, then $(ef, f) \in \varrho$ implies that $(0, f) \in \varrho$, a contradiction. Assume then that $\eta(\varrho) \leq |ef|$ and $e \neq f$. Choose $G \subseteq U(ef)$ such that $|G| + 1 = \eta(\varrho)$ and let $x \in U(f) \setminus U(e)$. Let $H = G \cup \{x\}$ and let g, h be the identity mappings on G and H , respectively. Then $(he, hf) = (g, h) \in \varrho$ and so $(0, h) \in \varrho$, again a contradiction.

Lemma 2.4. *Let n be an integer such that $1 \leq n \leq |X|$. Then J_{n+1}/J_n is a completely 0-simple inverse semigroup. Consequently, the set of nontrivial congruences on J_{n+1}/J_n is isomorphic to $A(G_n)$ where G_n is the symmetric group on n symbols.*

Proof. Since J_{n+1} is an ideal of \mathcal{I}_X , then J_{n+1} is itself an inverse semigroup and hence J_{n+1}/J_n is an inverse semigroup. But $J_{n+1} \setminus J_n$ is a \mathcal{D} class of \mathcal{I}_X from which it follows that J_{n+1}/J_n is 0-bisimple and hence 0-simple. Since n is finite, it follows that J_{n+1}/J_n is completely 0-simple. But any nontrivial congruence on a completely 0-simple inverse semigroup must separate idempotents. But when S is any completely 0-simple semigroup, the sublattice $\{\lambda \in A(S) : \lambda \subseteq \mathcal{H}_S\} \cong A(G)$ where G is any group \mathcal{H} class of S .

Lemma 2.5. *Let n be an integer, $1 \leq n \leq |X|$. Let $\sigma \in A(J_{n+1}/J_n)$ with σ nontrivial, and let $\sigma^\dagger = i \cup [\sigma \mid D_n] \cup [J_n \times J_n]$. Then $\sigma^\dagger \in A(\mathcal{I}_X)$.*

Proof. Certainly σ^\dagger is an equivalence relation on \mathcal{I}_X . Let $(a, b) \in \sigma^\dagger$ and $c \in \mathcal{I}_X$.

To see that $(ac, bc) \in \sigma^\dagger$, the only nontrivial cases are when $(a, b) \in \sigma \mid D_n$ and $c \in \mathcal{I}_X \setminus J_{n+1}$. Assume that this is the case.

Since $(a, b) \in \sigma \mid D_n$, then $(a, b) \in \mathcal{H}$ and so $V(a) = V(b)$. But $ac = a(c \mid V(a))$ and $bc = b(c \mid V(b))$. Consequently, $(ac, bc) \in J_n \times J_n$ if $V(a) = V(b) \not\subseteq U(c)$ and $(ac, bc) \in \sigma \mid D_n$ if $V(a) = V(b) \subseteq U(c)$. In any event, $(ac, bc) \in \sigma$.

Similarly, $(ca, cb) \in \sigma^\dagger$ and so $\sigma^\dagger \in A(\mathcal{I}_X)$.

Lemma 2.6. *Let $\varrho \in A(\mathcal{I}_X)$ such that $\eta(\varrho \mid E) = n$ is finite. Assume further that ϱ is nontrivial (i.e., that $n \leq |X|$). Then $\varrho = \sigma^\dagger$ where $\sigma \in A(J_{n+1}/J_n)$, σ is nontrivial, and σ^\dagger is defined as in Lemma 2.5.*

Proof. Since $\eta(\varrho \mid E) = n$, then $\varrho \mid E = I_n^*$ by Lemma 2.3. Since 0ϱ is an ideal of \mathcal{I}_X , it follows that $0\varrho = J_n$. Let τ denote the maximal extension of I_n^* to \mathcal{I}_X . Let $e \in E$ such that $n < |e|$. According to Theorem 1.5, the proof will be finished when

it is shown that $e\tau = \{e\}$. T_e , the largest inverse subsemigroup of \mathcal{S}_X such that $T_e \cap E = \{e\}$, is H_e . Let $a \in H_e$, $a \neq e$. Then there exists $x \in U(a)$ such that $(x, x) \notin a$. Let $f = \{(y, y) : y \in U(e) \text{ and } y \neq x\}$. Then $f \in E$, $ef = f$ and $n \leq |f|$. But $a f a^{-1} \neq f$ since $x \in U(a f a^{-1})$ and $x \notin U(f)$. Hence $a \notin e\tau$ and so $e\tau = \{e\}$.

The preceding lemma shows that if n is an integer, $1 \leq n \leq |X|$, then the set of extensions of I_n^* to \mathcal{S}_X is $\Lambda(G_n)$, when G_n is the symmetric permutation group on n symbols, and that the set of all $\varrho \in \Lambda(\mathcal{S}_X)$ such that $\eta(\varrho | E)$ is finite forms a chain. Consider a congruence ϱ on \mathcal{T}_X such that the primary cardinal of ϱ , $\eta(\varrho)$, is finite [1, Lemma 10.64]. The set of all such ϱ forms a chain and if n is a positive integer such that $1 \leq n \leq |X|$, then $\{\varrho \in \Lambda(\mathcal{T}_X) : \eta(\varrho) = n\} \cong \Lambda(G_n)$ [1, Theorem 10.68]. Hence, if X itself is finite, then $\Lambda(\mathcal{S}_X) \cong \Lambda(\mathcal{T}_X)$.

Recall that \mathcal{S}_X is embedded in \mathcal{T}_{X^0} . Suppose ϱ is a congruence on \mathcal{T}_{X^0} such that $\eta(\varrho) = n$ where $1 < n \leq |X|$. If $a \in \mathcal{S}_X$, then $(0^0, a^0) \in \varrho$ if and only if $\text{rank } a^0 < n$ [1, vol 2, p. 231], that is, if and only if $|a| < n - 1$. This shows that $\varrho | E$ (where E is the semilattice of idempotents of \mathcal{S}_X) is I_{n-1}^* . Hence if $n = 5$, there are three such congruences ϱ on \mathcal{T}_{X^0} , but I_4^* has four extensions to \mathcal{S}_X . Thus the congruences on \mathcal{S}_X are not precisely those induced by congruences on \mathcal{T}_{X^0} .

Since there is a one-to-one correspondence between the θ classes of $\Lambda(\mathcal{S}_X)$ and the normal congruences on E , when n is an integer such that $1 \leq n \leq |X|$, let θ_n denote the θ class which corresponds to I_n^* . Thus $|\theta_n| = n$ if $1 \leq n \leq 4$; 3 if $5 \leq n \leq |X|$; and 1 if $n = |X|$.

If S is any semigroup in which E is not empty, then θ may be defined on $\Lambda(S)$ as in Theorem 1.6 and θ is always an equivalence relation. S is called θ reduced if each θ class is a singleton. If S is an inverse semigroup and $\varrho, \sigma, \tau \in \Lambda(S)$ with $\varrho \subseteq \sigma, \tau$, then $(\sigma, \tau) \in \theta_S$ if and only if $(\sigma/\varrho, \tau/\varrho) \in \theta_{S/\varrho}$. Hence a congruence ϱ is θ reduced if and only if ϱ is the sup of the θ class to which it belongs, and $\varrho \subseteq \tau$ implies that τ is the sup of the θ class to which it belongs.

Returning to \mathcal{S}_X , suppose X is finite, say $|X| = k$. Let ϱ denote the maximal extension of I_k^* . Then ϱ is the minimum θ reduced congruence on S and S/ϱ is also a semilattice.

Some of the results of this section will be summarized in the following theorem.

Theorem 2.7. *Let X be a finite set. Then $\Lambda(\mathcal{S}_X) \cong \Lambda(\mathcal{T}_X)$, a finite chain. The minimum θ reduced congruence ϱ is the minimum semilattice congruence η , and \mathcal{S}_X/ϱ contains just two elements.*

III. INFINITE PRIMARY CARDINALS

In this section several lemmas will be proved which will be of assistance in characterizing all congruences on a symmetric inverse semigroup when the underlying set X is infinite. Throughout, $S = \mathcal{S}_X$ where X is infinite, and ϱ is a normal congruence

on E such that $\eta(\varrho)$ is infinite. When $e, f \in E$, then difference (e, f) (abbreviated to $\text{dif}(e, f)$) is defined to be $\max\{|e \setminus f|, |f \setminus e|\}$. Considering S as embedded in \mathcal{T}_{X_0} , it is routine to see that $\text{dif}(e, f) = \text{difference rank}(e^0, f^0)$ if $e \leq f$ or $f \leq e$; and $\text{dif}(e, f) + 1 = \text{dr}(e^0, f^0)$ if $e \not\leq f$ and $f \not\leq e$ [1, vol. 2, page 228]. A consequence of this definition is that if $|f| < |e|$ and $|e|$ is infinite, then $\text{dif}(e, f) = |e|$. Whenever ξ is a cardinal number, $\Delta_\xi = \{(e, f) = E \times E : \text{dif}(e, f) < \xi\}$. Further, $(e, f) \in \Delta_{\aleph_0}$ will sometimes be shortened to $e \doteq f$. As before, $I_\xi = \{e \in E : |e| < \xi\}$.

Lemma 3.1. *Let $(e, f) \in \varrho$ with $|e \setminus f| = |e| = \eta$ where η is an infinite cardinal. Then $I_{\eta'} \times I_{\eta'} \subseteq \varrho$.*

Proof. Let a map $U(e)$ one-to-one onto $U(e \setminus f)$. Then $aea^{-1} = e$, $afa^{-1} = 0$ and so $(e, 0) \in \varrho$. The rest follows from Lemma 2.2.

Lemma 3.2. *Let $(e, f) \in \varrho$, $e < f$. Then $g \in E$ with $g < e$ and $g \doteq e$ implies $(g, e) \in \varrho$.*

Proof. Suppose first that g satisfies the conditions stated and $\text{dif}(g, e) = 1$. Select $k \in E$ such that $e < k \leq f$ and $\text{dif}(e, k) = 1$. Note that $(e, k) \in \varrho$ and choose $(x, x) \in k \setminus e$ and $(y, y) \in e \setminus g$. Let $a = g \cup \{(y, x)\}$. Then $aka^{-1} = e$, $aea^{-1} = g$ and so $(e, g) \in \varrho$. An obvious induction argument completes the proof of the lemma.

Lemma 3.3. *Let $(e, f) \in \varrho$, $|e| = |f| = \infty$, $e \neq f$, and $e \doteq f$. Let $g \in E$ with $g \doteq e$. Then $(e, g) \in \varrho$.*

Proof. Since $(e, ef) \in \varrho$, $ef < e$, and $gef \leq ef < e$, then $(gef, e) \in \varrho$ by Lemma 3.2. If $g = gef$, the lemma is proved. Otherwise, select $h, k \in E$ with $gef < h \leq e$ and $gef < k \leq g$ and $\text{dif}(h, gef)$, $\text{dif}(k, gef) = 1$. Let $(x, x) \in h \setminus gef$, $(y, y) \in k \setminus gef$, and let $a = gef \cup \{(y, x)\}$. Then $aha^{-1} = k$, $agefa^{-1} = gef$, and so $(gef, k) \in \varrho$.

If $k = g$, there is nothing more to show. If $k < g$, let $r \in E$ such that $k < r \leq g$ and $\text{dif}(k, r) = 1$. Let $(z, z) \in r \setminus k$. Let b map $U(r)$ one-to-one onto $U(k)$ in such a way that $(z, y) \in b$. Then $bkb^{-1} = r$, $bgefb^{-1} = k$, and so $(k, r) \in \varrho$. An obvious induction argument completes the proof.

Corollary 3.4. *Let $(e, f) \in \varrho$, $|e| = |f| = \infty$, $e \neq f$, and $e \doteq f$. Then $(g, h) \in \varrho$ for each $g, h \in E$ with $|g| = |h| = |e|$ and $g \doteq h$.*

Proof. Let a map $U(g)$ one-to-one onto $U(e)$. Since $(e, ef) \in \varrho$, then $(aea^{-1}, aefa^{-1}) = (g, aefa^{-1}) \in \varrho$. Now $aefa^{-1} \neq g$, $|g| = |aefa^{-1}|$ and $aefa^{-1} \doteq g$. Lemma 3.3 completes the proof.

Lemma 3.5. *Let $(e, f) \in \varrho$, $e < f$, and $\text{dif}(e, f) = \xi$ where ξ is an infinite cardinal. Then $g \in E$ with $g < e$ and $\text{dif}(g, e) = \xi$ implies $(g, e) \in \varrho$.*

Proof. Let t map $U(e \setminus g)$ one-to-one onto $U(f \setminus e)$ and let $a = g \cup t$. Then $afa^{-1} = e$, $aea^{-1} = g$, and so $(g, e) \in \varrho$.

Lemma 3.6. *Let $(e, f) \in \varrho$ and $\text{dif}(e, f) = \xi$ where ξ is an infinite cardinal. The $g \in E$ with $\text{dif}(e, g) \leq \xi$ implies $(e, g) \in \varrho$.*

Proof. Without loss of generality, assume $|e \setminus f| = \xi$. Since $(e, ef) \in \varrho$ and $\text{dif}(e, efg) = \xi$, then $(e, efg) \in \varrho$ by Lemma 3.5. Let g' be an extension of g such that $\text{dif}(g', efg) = \xi$. Let t map $U(g' \setminus efg)$ one-to-one onto $U(e \setminus efg)$ and let $a = efg \cup t$. Then $aea^{-1} = g'$, $aeafa^{-1} = efg$, and so $(g', efg) \in \varrho$. The lemma follows immediately from this.

Corollary 3.7. *Let $(e, f) \in \varrho$, $|e| = |f|$, and $\text{dif}(e, f) = \xi$ where ξ is an infinite cardinal. Then $g, h \in E$ with $|g| = |h| = |e|$ and $\text{dif}(g, h) \leq \xi$ implies $(g, h) \in \varrho$.*

Proof. Again, without loss of generality, assume $|e \setminus f| = \xi$. Let a map $U(g)$ one-to-one onto $U(e)$. Then $(aea^{-1}, aeafa^{-1}) = (g, aeafa^{-1}) \in \varrho$ and $\text{dif}(g, aeafa^{-1}) = \xi$. The rest follows from Lemma 3.6.

Lemma 3.8. *Let $(e, f) \in \varrho$, $|e| = |f| = \eta$ and $\text{dif}(e, f) = \xi$, where η is an infinite cardinal. Then*

- (i) *if ξ is infinite, then $(I_{\eta'} \times I_{\eta'}) \cap \Delta_{\xi'} \subseteq \varrho$;*
- (ii) *if $0 < \xi < \aleph_0$, then $(I_{\eta'} \times I_{\eta'}) \cap \Delta_{\aleph_0} \subseteq \varrho$.*

Proof. Assume, without loss of generality, that $|e \setminus f| = \xi$. Let $g, h \in E$ such that $|h| \leq |g| \leq \eta$ and $\text{dif}(g, h) \leq \xi$. Since $(e, ef) \in \varrho$, then $((e \setminus f)e, (e \setminus f)ef) = (e \setminus f, 0) \in \varrho$. Thus $\xi < \eta(\varrho)$ by Lemma 2.2. and so if $|g| \leq \xi$, then $(g, h) \in \varrho$. Also, if g is finite, then $(g, h) \in \varrho$ since $|g|, |h| < \eta(\varrho)$. For the remainder of this proof assume $\xi < |g|$ and g is infinite.

(i) Assume ξ is infinite. Notice that this guarantees $|h| = |g|$, since otherwise, $|h| < |g| = \text{dif}(h, g) \leq \xi < |g|$, a contradiction. Let p be an extension of g such that $|p| = \eta$ and select $m < g$ such that $|m| = \xi$. Then $|p \setminus m| = |p|$ and $\text{dif}(p, p \setminus m) = \xi$. Hence $(p, p \setminus m) \in \varrho$ by Corollary 3.7. Thus $(pg, (p \setminus m)g) = (g, g \setminus m) \in \varrho$. But $\text{dif}(g, g \setminus m) = \xi$ and so $(g, h) \in \varrho$ by Lemma 3.6.

(ii) Assume $0 < \xi < \aleph_0$. Again, $|h| = |g|$, because otherwise $|h| < |g| = \text{dif}(h, g) < \aleph_0 \leq |g|$, a contradiction. Let p be an extension of g such that $|p| = \eta$ and let $(x, x) \in g$. Then $(p, p \setminus \{(x, x)\}) \in \varrho$ by Corollary 3.4. Hence $(gp, g(p \setminus \{(x, x)\})) = (g, g \setminus \{x, x\}) \in \varrho$. Hence $(g, h) \in \varrho$ by Lemma 3.3.

For each cardinal number λ such that $\lambda \in [\eta(\varrho), |X|]$ let $A_\lambda = \{\xi : \text{there exists } (e, f) \in \varrho \text{ such that } |e| = |f| = \lambda \text{ and } \text{dif}(e, f) = \xi\}$. Define λ^* by λ^* is minimal with respect to $\xi < \lambda^*$ for each $\xi \in A_\lambda$.

Lemma 3.9. *Suppose that $\lambda, \mu \in [\eta(\varrho), |X|]$ with $\lambda < \mu$. Then*

- (i) $\lambda^* \leq \eta(\varrho)$
- (ii) $\mu^* \leq \lambda^*$.

Proof. (i) The first part of the proof for Lemma 3.8 guarantees this.

(ii) For a contradiction, suppose $\lambda^* < \mu^*$. Then there exists $(e, f) \in \varrho$ such that $|e| = |f| = \mu$ and $\lambda^* \leq \text{dif}(e, f) = \xi < \mu^*$. But (i) says that $\mu^* \leq \eta(\varrho)$ and so $\lambda^* \leq \xi < \mu^* \leq \eta(\varrho) \leq \lambda < \mu$. Assume $|e \setminus f| = \xi$ and let $g \in E$ such that $e \setminus f < g < e$ and $|g| = \lambda$. Then $(ge, gf) = (g, gf) \in \varrho$. But $g \setminus gf = e \setminus f$ and so $\text{dif}(g, gf) = \xi$ and $|g| = |gf| = \lambda$. This contradicts the definition of λ^* .

Following Clifford and Preston [1, vol. 2, page 234], the map $\lambda \rightarrow \lambda^*$ is a map of $[\eta(\varrho), |X|]$ into $[1, \eta(\varrho)]$. The range of this map is finite, say $\{\xi_1, \dots, \xi_k\}$, with $\xi_k < \dots < \xi_1$. For each i , $1 \leq i \leq k$, let η_i be the least cardinal such that $\eta_i^* = \xi_i$. Then

$$\xi_k < \dots < \xi_1 \leq \eta(\varrho) = \eta_1 < \dots < \eta_k \leq \eta_{k+1} = |X|,$$

and $\{\xi_k, \dots, \xi_1, \eta_1, \dots, \eta_k\}$ is called the sequence of cardinals of ϱ .

All ξ_i are infinite, except possibly ξ_k , and if ξ_k is finite, then $\xi_k = 1$. For, if $1 < \xi_i = r < \aleph_0$, then there exists $(e, f) \in \varrho$ with $|e| = |f| = \eta_i$ and $\text{dif}(e, f) = r - 1 > 0$. Lemma 3.8 (ii) guarantees that $\xi_i \geq \aleph_0$, a contradiction.

Lemma 3.10. *Let ξ_i, η_i ($i = 1, \dots, k$) be $2k$ cardinal numbers such that:*

- (i) $\xi_k < \dots < \xi_1 \leq \eta_1 < \dots < \eta_k \leq |X|$,
- (ii) *All ξ_i and η_i are infinite except possibly ξ_k , and if ξ_k is finite, then $\xi_k = 1$.*

Define τ on E by

$$\tau = I_{\eta_1}^* \cup (\Delta_{\xi_1} \cap I_{\eta_2}^*) \cup \dots (\Delta_{\xi_{k-1}} \cap I_{\eta_k}^*) \cup \Delta_{\xi_k}.$$

Then τ is a normal congruence on E and (i) is the sequence of cardinals of τ .

Conversely, if ϱ is a normal congruence on E such that ϱ is not the universal congruence, $\eta(\varrho)$ is infinite, and (i) is the sequence of cardinals of ϱ ($\eta(\varrho) = \eta_1$), then $\varrho = \tau$.

Proof. That τ is a normal congruence on E follows from Malcev's theorem [1, Theorem 10.72]. For τ may be viewed as the restriction to E of a congruence defined on all of \mathcal{T}_{X^0} .

It must be shown that (i) is the sequence of cardinals of τ . First $I_{\eta_1}^* \subseteq \tau$ and so $\eta_1 \leq \eta(\tau)$ by Lemma 2.2. Suppose $\eta_1 < \eta(\tau)$. Let $e \in E$ with $|e| = \eta_1$. Then $(e, 0) \in \tau$ again by Lemma 2.2. Then $(e, 0) \notin I_{\eta_1}^*$ and $(e, 0) \notin \Delta_{\xi_k}$ since $\xi_k \leq \eta_1 = |e| = \text{dif}(e, 0)$. Thus $(e, 0) \in \Delta_{\xi_i} \cap I_{\eta_{i+1}}^*$ for some i , $1 \leq i < k$. Then $\eta_1 = |e| = \text{dif}(e, 0) < \xi_i \leq \eta_i$, a contradiction. Hence $\eta(\tau) = \eta_1$.

Suppose that $\eta_i \leq \eta < \eta_{i+1}$ (where $\eta_{k+1} = |X|'$). To see that $\eta^* = \zeta_i$, let $(e, f) \in \tau$ with $|e| = |f| = \eta$. Then $(e, f) \in \Delta_{e_j} \cap I_{\eta_{j+1}}^*$ for some j . The monotone properties of $\{\xi_i\}$ and $\{\eta_i\}$ imply that $(e, f) \in \Delta_{\xi_i} \cap I_{\eta_{j+1}}^*$ and hence $\eta^* \leq \zeta_i$. But if $\xi < \zeta_i$, then certainly there exists $g, h \in E$ such that $|g| = |h| = \eta$ and $\text{dif}(g, h) = \xi$. Hence $(g, h) \in \Delta_{\xi_i} \cap I_{\eta_{i+1}}^* \subseteq \tau$ and so $\eta^* = \zeta_i$. This also shows that η_i is the least of all cardinals η such that $\eta^* = \zeta_i$.

Assume now that ϱ is a normal congruence on E , not the universal congruence. Let (i) be the sequence of cardinals of ϱ with $\eta(\varrho) = \eta_1$.

To see that $\varrho \subseteq \tau$, let $(e, f) \in \varrho$. According to Lemmas 2.1 and 3.1, either $|e|, |f| < \eta_1$, in which case $(e, f) \in I_{\eta_1}^* \subseteq \tau$, or $|e| = |f| \geq \eta_1$. Assume $|e| = |f| = \eta$, $\eta_i \leq \eta < \eta_{i+1}$ and $\text{dif}(e, f) = \xi$. Then $\xi < \eta^* = \zeta_i$ and so $(e, f) \in \Delta_{\xi_i} \cap I_{\eta_{i+1}}^* \subseteq \tau$.

Finally, suppose $(e, f) \in \tau$. If $(e, f) \in I_{\eta_1}^*$, then $|e|, |f| < \eta_1 = \eta(\varrho)$ and so $(e, f) \in \varrho$. Assume $(e, f) \notin I_{\eta_1}^*$. Then $(e, f) \in \Delta_{\xi_i} \cap I_{\eta_{i+1}}^*$ for some i , $1 \leq i \leq k$. If $|e| \neq |f|$, say $|e| > |f|$, then $\text{dif}(e, f) = |e|$ so $|e| < \zeta_i < \eta_1$ and hence $(e, f) \in I_{\eta_1}^*$, a contradiction. Hence $|e| = |f| = \eta$, say. Without loss of generality, $\eta_i \leq \eta < \eta_{i+1}$. The $\text{dif}(e, f) < \zeta_i$ and so $(e, f) \in \varrho$ by Lemma 3.8 and an argument which parallels the proof for [1, Lemma 10.71].

IV. THE LATTICE $\Lambda(\mathcal{F}_X)$ FOR INFINITE X

Again, S will denote the symmetric inverse semigroup on X with X infinite and E is the semilattice of idempotents of S .

Lemma 4.1. *Assume that ϱ is a normal congruence on E such that $\eta(\varrho)$ is infinite. Then ϱ has a unique extension to all of S .*

Proof. First, ϱ has an extension to S by the remarks just after Definition 2.1. If ϱ is the universal congruence on E , and ϱ^* is an extension of ϱ to S , it is immediate that ϱ^* is the universal congruence on S .

Suppose ϱ is not the universal congruence on E . Let $\{\xi_k, \dots, \xi_1, \eta_1 = \eta(\varrho), \dots, \eta_k\}$ be the sequence of cardinals of ϱ (Lemma 3.10). Let $E_e = e\varrho$ for each $e \in E$ so that $\{E_e : e \in E\}$ is the normal partition of E induced by ϱ . Let \mathcal{M} and \mathcal{N} be the kernel normal systems of Theorem 1.5. To prove the lemma, it suffices to show that $M_e = N_e$ for each $e \in E$.

Assume first that $e \in E$ and $|e| < \eta_1$. Then $T_e = \{a \in S : |a| < \eta_1\}$. Since $0 \in E_e$ and $Oa = 0$ for each $a \in T_e$, then $M_e = T_e$ and so $M_e = N_e$.

Suppose then that $\eta_1 \leq |e| = \eta$, say $\eta_i < \eta \leq \eta_{i+1}$. Then $M_e = \{a \in T_e : fa = f \text{ for some } f \in E_e\} = \{a \in T_e : |\{x \in D(a) \mid xa \neq x\}| < \zeta_i\}$. Assume that $a \in T_e$, $a \notin M_e$. It will be shown that $a \notin N_e$, which guarantees that $M_e = N_e$.

Let $A = \{x \in U(a) : xa = x\}$ and $B = \{x \in U(a) : xa \neq x\}$. Since $a \notin M_e$, then $|B| \geq \zeta_i$. Let $\mathcal{F} = \{V \subseteq B : x \in V \text{ implies that } xa \notin V\}$. $\mathcal{F} \neq \square$ since $\{x\} \in \mathcal{F}$ for

each $x \in B$; and \subseteq is a partial order for \mathcal{F} . A routine Zorn's Lemma argument shows that \mathcal{F} contains a maximal element C . Suppose, for a contradiction, that $|C| < |B|$. If $xa \in C$ for each $x \in B \setminus C$, then $a \mid (B \setminus C)$ is an injection of $B \setminus C$ into C , a contradiction. Hence, there exists $x \in B \setminus C$ such that $xa \notin C$. But then $C \cup \{x\} \in \mathcal{F}$, contradicting the maximality of C . Thus $|B| = |C|$.

Let $F = A \cup C$, and let f be the identity map on F . Notice that $|f| = |e|$ and $x \in C$ implies that $xa \notin A$. Thus $x \in C$ implies $xa \notin A \cup C = F$ and so $(x, x) \notin afa^{-1}$. Hence $|f \setminus afa^{-1}| \geq \xi_i$. Now $E_e E_f = E_{aa^{-1}} E_f = E_{aa^{-1}f} = E_f$, but $afa^{-1} \notin E_f$. Hence $a \notin N_e$.

The preceding lemma shows that if ϱ is a normal congruence on E such that $\eta(\varrho)$ is infinite, then the θ class which corresponds to ϱ is a singleton. Let $\varrho = I_{\aleph_0}^* \cup \Delta_1$. The unique extension ϱ^* of ϱ to S is the minimum θ reduced congruence on S , but ϱ^* is not a semilattice congruence. The construction of $\Lambda(S)$ makes it clear that $\Lambda(S) \cong \Lambda(\mathcal{T}_{X_0}) \cong \Lambda(\mathcal{T}_X)$ and so Clifford and Preston's result [1, Theorem 10.77] that $\Lambda(\mathcal{T}_X)$ is distributive applies to $\Lambda(S)$ as well.

Theorem 4.2. *Let X be an infinite set. Then $\Lambda(\mathcal{I}_X) \cong \Lambda(\mathcal{T}_X)$. The minimum θ reduced congruence ϱ on \mathcal{I}_X is $\{(a, b) \in \mathcal{I}_X \times \mathcal{I}_X : |a|, |b| < \aleph_0 \text{ or } a = b\}$. The universal congruence is the minimum semilattice congruence.*

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