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A Topological Version of the Schauder Theorem

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It is proved a fixed point theorem for perfectly ∞ -connected spaces. This theorem is a generalization of the Schauder-Tychonoff Theorem stating that each continuous compact selfmap of a convex subset of a locally convex topological vector space has a fixed point.

We shall use notation $[p_0, \dots, p_n]$ for n -dimensional geometric simplex spanned by vertices p_i , where the points p_0, \dots, p_n are affinely independent. Each point $x \in [p_0, \dots, p_n]$, $x = \sum t_i \cdot p_i$, $\sum t_i = 1$, $t_i \geq 0$, is uniquely determined by its barycentric coordinates t_i . A k -dimensional simplex spanned by any $k + 1$ of the vertices p_i of a simplex $S = [p_0, \dots, p_n]$ is called a k -face of S . The union of all k -faces of the simplex S is called the k -skeleton of S and the $(n - 1)$ -skeleton of an n -dimensional simplex S is said to be its geometric boundary ∂S ;

$$\partial S := \bigcup_{i=0}^n [p_0, \dots, \hat{p}_i, \dots, p_n], \quad \text{where } S = [p_0, \dots, p_n]$$

A topological space X is said to be ∞ -connected, $X \in C^\infty$, if each continuous map $f: \partial S \rightarrow X$ from the boundary of an n -dimensional simplex into X , $n = 1, 2, \dots$, has a continuous extension over S ; $F: S \rightarrow X$, $F|_{\partial S} = f$.

The condition $X \in C^\infty$ is equivalent to the following statement (cf. Spanier [5], Th. 1.3.12):

- (a) Each continuous map $f: \partial Q \rightarrow X$ from the boundary of a ball $Q \subset R^n$, $n = 1, 2, \dots$, is homotopic to a constant map,
- (b) Each continuous map $f: \partial Q \rightarrow X$ from the boundary of a ball Q has a continuous extension over the ball Q .

A space X is said to be *contractible* if the identity map $id_X: X \rightarrow X$ is homotopic to a constant map i.e., there is a continuous map $H: X \times [0, 1] \rightarrow X$ such that $H(x, 0) = x$ and $H(x, 1) = c$ for each $x \in X$.

Each contractible space is ∞ -connected. (cf. Spanier [5], Th. 1.3.13).

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Any linear topological space E^1 has a neighbourhood base $\mathcal{B}(0)$ at $0 \in E$ such that

$$tV \subset V \quad \text{for each } t \in [0, 1].$$

From the above it follows that any topological vector space E has a base consisting of open ∞ -connected (contractible) sets.

Indeed, sets of the form $U = x_0 + V$, where $V \in \mathcal{B}(0)$, $x_0 \in E$ are contractible, because the continuous map $H : U \times [0, 1] \rightarrow U$, $H(x, t) := x_0 + tx$ is a homotopy between the identity map id_U and the constant map x_0 .

Similarly, it is easy to observe that each convex subset of E is a contractible space and moreover it has a base consisting of ∞ -connected (contractible) relatively open sets. Unfortunately we do not know if such a base is closed under finite intersections. If E is locally convex then the answer is “yes” because we can assume that the sets $U = x_0 + V$, $V \in \mathcal{B}$ are convex.

An affirmative answer to this question would solve the Schauder problem (Problem 54 in the *Scottish Book* [2]), whether a continuous selfmap of a compact convex subset of any topological vector space has a fixed point.²

Any continuous map $\sigma : [p_0, \dots, p_n] \rightarrow X$ into topological space X is said to be a *singular simplex* contained in X . The following lemma can be obtained from the Brouwer fixed point theorem (cf. [1, 4]).

Lemma on Indexed Covering. *Let $\{U_0, \dots, U_n\}$ be an open covering of a topological space and $\sigma : [p_0, \dots, p_n] \rightarrow X$ a singular simplex. Then there exists a sequence $0 \leq i_0 < \dots < i_k \leq n$ of indexes such that $\sigma[p_{i_0}, \dots, p_{i_k}] \cap U_{i_0} \cap \dots \cap U_{i_k} \neq \emptyset$.*

Proof. Let us put $S := [p_0, \dots, p_n]$ and $A_i := \sigma^{-1}(U_i)$ for $i = 0, \dots, n$. The sets A_i are open in S . Define a continuous map $f : S \rightarrow S$;

$$f(x) = \sum_{i=0}^n \frac{d_i(x)}{d(x)} \cdot p_i, \quad \text{where } d_i(x) := \inf \{\|x - y\| : y \in S \setminus A_i\}, \quad d(x) = \sum_{i=0}^n d_i(x)$$

Since the sets A_i form an open covering of the simplex S , we infer that $d(x) > 0$ for each point $x \in S$. According to the Brouwer Fixed Point Theorem there exists a point $a \in S$ such that $f(a) = a$. This means that

$$d_i(a) = t_i(a) \cdot d(a) \quad \text{for each } i = 0, \dots, n$$

Since the sets A_i are open and $d(a) > 0$ we infer that

$$t_i(a) > 0 \quad \text{if and only if } a \in A_i \quad \text{for each } i = 0, \dots, n.$$

¹ Throughout this paper a topological vector space means a real Hausdorff topological vector space.

² In December 1998, I received a letter from Professor Robert Cauty with an information that he had solved in the affirmative the Schauder Problem.

Now, let us put $\{i_0, \dots, i_k\} = \{i \leq n : t_i(a) > 0\}$. Then, from the above we get

$$a \in [p_{i_0}, \dots, p_{i_k}] \cap A_{i_0} \cap \dots \cap A_{i_k}.$$

This completes the proof. \square

A topological ∞ -connected space X is said to be *perfectly ∞ -connected* if it has a base \mathcal{B} which is closed under finite intersections and the base consists of ∞ -connected sets i.e.,

- (a) $X \neq \mathcal{B}$,
- (b) $U_1, \dots, U_n \in \mathcal{B}$ implies $U_1 \cap \dots \cap U_n \in \mathcal{B}$,
- (c) each set $U \in \mathcal{B}$ is ∞ -connected.

A map $g : X \rightarrow Y$ between Hausdorff spaces is said to be *compact* if $\overline{g(X)}$ is a compact subset of Y .

Theorem. *Each continuous compact map $g : X \rightarrow X$ from a Hausdorff perfectly ∞ -connected space into itself has a fixed point.*

Proof. Suppose, contrary to our claim, that $g(x) \neq x$ for each $x \in X$. Let \mathcal{B} be a base closed under finite intersections and consisting of ∞ -connected sets. Since X is a Hausdorff space hence for each $x \in X$ there exists an open neighbourhood $W_x \in \mathcal{B}$ of x such that

$$(1) \quad W_x \cap g(W_x) = \emptyset$$

Let us put $Y := \overline{g(X)}$. Then set Y is compact and therefore from the family $\{W_x : x \in Y\}$ one can choose a finite subfamily $\mathcal{W} = \{W_0, \dots, W_m\}$ such that

$$(2) \quad Y \subset W_0 \cup \dots \cup W_m.$$

Choose $\mathcal{U} = \{U_0, \dots, U_n\}$ to be a finite covering of Y with relatively open sets U_i and being a star-refinement of \mathcal{W} (cf. Engelking [3], p. 377) i.e., $Y = U_0 \cup \dots \cup U_n$ and for each $y \in Y$ there exists $W \in \mathcal{W}$ such that

$$(3) \quad st(y, \mathcal{U}) := \bigcup \{U \in \mathcal{U} : y \in U\} \subset W$$

Define $\mathcal{W}^* := \{X\} \cup \mathcal{W}$ and fix an arbitrary n -dimensional simplex $S := [p_0, \dots, p_n]$. For each $I \subset \{0, \dots, n\}$ let $W_I \in \mathcal{B}$ be the ∞ -connected set:

$$(4) \quad W_I := \bigcap \{W \in \mathcal{W}^* : \bigcup \{U_i : i \in I\} \subset W\}.$$

and denote by S_I the face of the simplex S :

$$(5) \quad S_I := [p_{i_0}, \dots, p_{i_k}], \quad \text{where } I = \{i_0, \dots, i_k\}.$$

We shall describe by induction (on the k -skeleton of S) a continuous map $\sigma : S \rightarrow X$ such that

$$(6) \quad \sigma(S_I) \subset W_I \quad \text{for each } I \subset \{0, \dots, n\}.$$

step 0. Choose points $x_i \in U_i$ for each $i = 0, \dots, n$ and set $\sigma(p_i) := x_i$.

step 1. For each 2-elements set $I = \{i, j\} \subset \{0, \dots, n\}$ choose a continuous map $\sigma : [p_i, p_j] \rightarrow W_I$ such that $\sigma(p_i) = x_i$ and $\sigma(p_j) = x_j$ i.e., σ is a continuous extension of the map $\sigma|_{\partial[p_i, p_j]}$. The facts $\sigma(p_i) \in U_i$, $\sigma(p_j) \in U_j$, $U_i \cup U_j \subset W_I$ and W_I is ∞ -connected imply that such a choice of σ is possible.

step (k + 1), k < n. Assume that we have defined a continuous map σ on the k -skeleton of the simplex S . We shall extend continuously the map σ over the $(k + 1)$ -skeleton of S such that the condition (6), $\sigma(S_I) \subset W_I$, holds and $\sigma|_{S_I}$ is an extension of $\sigma|_{\partial S_I}$ for $|I| = k + 1$. According to the inductive assumption;

$$\bigcup \{ \sigma(S_J) : J \subset I, |J| = k \} \subset W_I, \quad \text{where } |I| = k + 1$$

and the assumption that W_I is ∞ -connected it is possible to carry out such a construction.

The n -th step completes the construction of the singular simplex σ .

The family $\{g^{-1}(U_i) : i = 0, \dots, n\}$ is an open covering of X and according to the Lemma on Indexed Covering there exists a set $I = \{i_0, \dots, i_k\} \subset \{0, \dots, n\}$ and a point $w \in X$ such that

$$(7) \quad w \in \sigma[p_{i_0}, \dots, p_{i_k}] \cap g^{-1}(U_{i_0}) \cap \dots \cap g^{-1}(U_{i_k})$$

From the above we have $g(w) \in U_{i_0} \cap \dots \cap U_{i_k}$. Since $\sigma(p_i) \in U_i$, we infer from (3) that there exists $W \in \mathcal{W}$ such that

$$(8) \quad \sigma(p_{i_0}), \dots, \sigma(p_{i_k}) \in st(g(w), \mathcal{W}) \subset W$$

From (4) it follows that $W_I \subset W$ and according to (6) and (8) we get $w, g(w) \in W$, contradicting (1). \square

Corollary. *If a contractible Hausdorff space X has a base which members are contractible sets and the base is closed under finite intersections, then any continuous compact selfmap of X has a fixed point.*

The above Corollary is a generalization of the

Schauder-Tychonoff Theorem. *Each continuous compact selfmap of a convex subset of a locally convex topological vector space has a fixed point.*

Problem. Is a convex subset of a topological vector space a perfectly ∞ -connected space?

Let us recall once again that a positive answer to this problem solves Schauder's conjecture.

References

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