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# Circulants and the factorization of the Fibonacci-like numbers

*Jaroslav Seibert and Pavel Trojovský*

**Abstract.** Several authors gave various factorizations of the Fibonacci and Lucas numbers. The relations are derived with the help of connections between determinants of tridiagonal matrices and the Fibonacci and Lucas numbers using the Chebyshev polynomials. In this paper some results on factorizations of the Fibonacci-like numbers  $U_n$  and their squares are given. We find the factorizations using the circulant matrices, their determinants and eigenvalues.

## 1. Introduction

There are several well-known factorizations of the Fibonacci or Lucas numbers and some specific linear subsequences of them. In [1] Cahill et al. studied certain families of tridiagonal matrices and their correspondence to these sequences. In [2] the same authors derived these complex factorizations:

$$F_n = \prod_{k=1}^{n-1} \left( 1 - 2i \cos \frac{k\pi}{n} \right), \quad n \geq 2,$$

and

$$L_n = \prod_{k=1}^n \left( 1 - 2i \cos \frac{(2k-1)\pi}{2n} \right), \quad n \geq 1.$$

They proved them by considering in what way these numbers can be connected to Chebyshev polynomials by determinants of sequences of suitable tridiagonal matrices.

In [3] Cahill and Narayan extended the previous results to construct families of tridiagonal matrices whose determinants generate an arbitrary linear subsequence  $F_{an+b}$  or  $L_{an+b}$ , where  $a, n$  are positive integers and  $b$  is a nonnegative integer.

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The  $n$ -th terms of the Fibonacci and Lucas sequences are

$$F_n = W_n(0, 1; 1, -1) , \quad L_n = W_n(2, 1; 1, -1) .$$

More generally, we name the Fibonacci-type sequence  $U_n = W_n(0, 1; p, q)$  and the Lucas-type sequence  $V_n = W_n(2, p; p, q)$ . The Binet formulas for  $U_n$  and  $V_n$  have forms similar to the formulas for  $F_n, L_n$

$$U_n = \frac{\gamma^n - \delta^n}{\gamma - \delta} , \quad V_n = \gamma^n + \delta^n ,$$

where  $\gamma = \frac{p + \sqrt{p^2 - 4q}}{2}$  and  $\delta = \frac{p - \sqrt{p^2 - 4q}}{2}$  are the roots (mutually distinct) of the quadratic equation  $x^2 - px + q = 0$ . It means that the following relations hold for the numbers  $\gamma, \delta$ :

$$\gamma + \delta = p , \quad \gamma - \delta = \sqrt{p^2 - 4q} , \quad \gamma\delta = q , \quad \gamma^2 + \delta^2 = p^2 - 2q .$$

The properties of circulant matrices are well known and widely used. A circulant matrix  $C(n) = (c_k)_{k=1}^n$  of type  $n \times n$  has such form where each row is a cyclic shift of the row above it. Its structure can also be characterized by noting that the  $(i, j)$  entry  $C_{i,j}$  of  $C(n)$  is given by

$$C_{i,j} = c_{(j-i) \pmod{n} + 1} ,$$

which identifies  $C(n)$  as a special type of Toeplitz matrix.

For example Gradshteyn and Ryzhik expanded determinants of circulant matrices and gave eigenvalues of them.

**Lemma 2. ([4], pp. 1111 – 1112)** *Let  $c_k, k = 1, \dots, n$ , be complex numbers.*

*Then*

$$\begin{vmatrix} c_1 & c_2 & \cdots & c_n \\ c_n & c_1 & \cdots & c_{n-1} \\ & & \cdots & \\ c_2 & c_3 & \cdots & c_1 \end{vmatrix} = \prod_{k=1}^n (c_1 + c_2\varepsilon_k + c_3\varepsilon_k^2 + \cdots + c_n\varepsilon_k^{n-1}) , \quad 3$$

where  $\varepsilon_k, k = 1, \dots, n$ , are the  $n$ -th roots of unity. The eigenvalues  $\lambda_k$  of the corresponding  $n \times n$  circulant matrix are

$$\lambda_k = c_1 + c_2\varepsilon_k + c_3\varepsilon_k^2 + \cdots + c_n\varepsilon_k^{n-1} . \quad 4$$

Let us denote by  $B(n)$  the  $n \times n$  tridiagonal matrix

$$B(n) = \begin{pmatrix} b & c & & & \\ a & b & c & & \\ & a & b & c & \\ & & a & b & \ddots \\ & & & \ddots & \ddots & c \\ & & & & a & b \end{pmatrix} ,$$

where  $a, b, c$  are any complex numbers. Further let  $A(n)$  be the  $n \times n$  circulant matrix obtained from  $B(n)$  by adding only two “corner” entries  $a, c$ .

$$A(n) = \begin{pmatrix} b & c & & & a \\ a & b & c & & \\ & a & b & c & \\ & & a & b & \ddots \\ & & & \ddots & \ddots & c \\ c & & & & a & b \end{pmatrix}.$$

**Lemma 3** *Let  $n > 1$  be any integer. For the determinant of  $A(n)$  the recursive relation*

$$|A(n+1)| = b|B(n)| - 2ac|B(n-1)| + (-1)^n(c^{n+1} + a^{n+1}) \quad 5$$

*holds.*

*Proof* The determinant  $|A(n+1)|$  can be expanded with respect to the first row

$$|A(n+1)| = b|B(n)| - c \begin{vmatrix} a & c & & 0 \\ 0 & b & c & \\ & a & b & \ddots \\ & & \ddots & \ddots & c \\ c & & & a & b \end{vmatrix} + (-1)^n a \begin{vmatrix} a & b & c & & \\ 0 & a & b & \ddots & \\ & 0 & a & \ddots & c \\ & & \ddots & \ddots & b \\ & & & 0 & a \end{vmatrix}.$$

Expanding the last two determinants with respect to the first column or to the last row, respectively, we have

$$\begin{aligned} |A(n+1)| &= b|B(n)| - c \left( a|B(n-1)| - (-1)^n c \begin{vmatrix} c & 0 & & & \\ b & c & 0 & & \\ a & b & c & 0 & \\ & a & b & c & \ddots \\ & & \ddots & \ddots & \ddots & 0 \\ & & & a & b & c \end{vmatrix} \right) \\ &+ (-1)^n a \left( \begin{vmatrix} a & b & c & & \\ 0 & a & b & c & \\ & 0 & a & b & \ddots \\ & & 0 & a & \ddots & c \\ & & & \ddots & \ddots & b \\ & & & & 0 & a \end{vmatrix} + (-1)^{n+1} c |B(n-1)| \right) = \\ &= b|B(n)| - ac|B(n-1)| + (-1)^n c^{n+1} + (-1)^n a^{n+1} - ac|B(n-1)| = \\ &= b|B(n)| - 2ac|B(n-1)| + (-1)^n(c^{n+1} + a^{n+1}). \quad (1) \end{aligned}$$



and further as  $U_n = pU_{n-1} - qU_{n-2}$  or  $U_{n-2} = \frac{p}{q}U_{n-1} - \frac{1}{q}U_n$

$$\begin{aligned}
 |B(n)| &= (p^2 - 2q) \frac{1}{p} U_{2n} - q^2 \frac{1}{p} U_{2n-2} \\
 &= \left( p - 2 \frac{q}{p} \right) U_{2n} - \frac{q^2}{p} \left( \frac{p}{q} U_{2n-1} - \frac{1}{q} U_{2n} \right) \\
 &= \left( p - \frac{q}{p} \right) U_{2n} - q \left( \frac{p}{q} U_{2n} - \frac{1}{q} U_{2n+1} \right) \\
 &= U_{2n+1} - \frac{q}{p} U_{2n} = \frac{1}{p} U_{2n+2} .
 \end{aligned} \tag{6}$$

Consider now a circulant matrix  $A(n) = (a_{i,j})$  of type  $n \times n$  which has the same entries as  $B(n)$  only  $a_{1,n} = a_{n,1} = -q$ . With respect to Lemma 3 we can express for  $n > 2$  the determinant of  $A(n)$  in the following form

$$|A(n)| = (p^2 - 2q) |B(n-1)| - 2q^2 |B(n-2)| - 2q^n .$$

Using relation (6) and Lemma 4 we can write

$$\begin{aligned}
 |A(n)| &= (p - 2 \frac{q}{p}) U_{2n} - 2 \frac{q^2}{p} U_{2n-2} - 2q^n \\
 &= \frac{1}{p} U_{2n+2} - \frac{q^2}{p} U_{2n-2} - 2q^n = V_{2n} - 2q^n = (p^2 - 4q) U_n^2 .
 \end{aligned} \tag{7}$$

But we can calculate the determinant of the circulant matrix  $A(n)$  in an alternative way using Lemma 2. Then

$$|A(n)| = \prod_{k=1}^n (p^2 - 2q - q\varepsilon_k - q\varepsilon_k^{n-1}) ,$$

where  $\varepsilon_k$ ,  $k = 1, 2, \dots, n$ , are the  $n$ -th roots of unity. Obviously,

$$\varepsilon_k = \cos \frac{2k\pi}{n} + i \sin \frac{2k\pi}{n}, \quad k = 1, 2, \dots, n$$

and

$$\begin{aligned}
 \varepsilon_k^{n-1} &= \cos \frac{(n-1)2k\pi}{n} + i \sin \frac{(n-1)2k\pi}{n} \\
 &= \cos \left( 2k\pi - \frac{2k\pi}{n} \right) + i \sin \left( 2k\pi - \frac{2k\pi}{n} \right) = \cos \frac{2k\pi}{n} - i \sin \frac{2k\pi}{n} .
 \end{aligned} \tag{9}$$

Therefore

$$|A(n)| = \prod_{k=1}^n \left( p^2 - 2q - 2q \cos \frac{2k\pi}{n} \right) \tag{8}$$

and combining (7) and (8) we have

$$U_n^2 = \frac{1}{p^2 - 4q} \prod_{k=1}^n \left( p^2 - 2q - 2q \cos \frac{2k\pi}{n} \right) .$$

As for  $k = n$  the corresponding factor is  $p^2 - 4q$  the proved relation follows.  $\square$

**Theorem 2** *The factorization of the Fibonacci-like numbers has the form*

$$U_n = \prod_{k=1}^{n-1} \left( p - 2\sqrt{q} \cos \frac{k\pi}{n} \right), \quad n \geq 1.$$

*Proof* From Theorem 1 we have  $U_n^2 = \prod_{k=1}^{n-1} (p^2 - 2q - 2q \cos \frac{2k\pi}{n})$ . Using the well-known formulas for cosines we can write successively

$$\begin{aligned} U_n^2 &= \prod_{k=1}^{n-1} \left( p^2 - 4q \frac{1 + \cos \frac{2k\pi}{n}}{2} \right) = \prod_{k=1}^{n-1} \left( p^2 - 4q \cos^2 \frac{k\pi}{n} \right) \\ &= \prod_{k=1}^{n-1} \left( p - 2\sqrt{q} \cos \frac{k\pi}{n} \right) \left( p + 2\sqrt{q} \cos \frac{k\pi}{n} \right) \\ &= \prod_{k=1}^{n-1} \left( p - 2\sqrt{q} \cos \frac{k\pi}{n} \right) \left( p - 2\sqrt{q} \cos \frac{(n-k)\pi}{n} \right) \\ &= \prod_{k=1}^{n-1} \left( p - 2\sqrt{q} \cos \frac{k\pi}{n} \right)^2 \end{aligned}$$

and the factorization of  $U_n$  follows as the coefficient of the highest power of  $p$  on the both sides is equal to 1.  $\square$

#### 4. Concluding remarks

Special cases of the sequence  $\{W_n\}$  which interest us in the number theory are above all the following ones. Their factorizations are derived from Theorem 1: the Fibonacci sequence  $\{F_n\}$ :

$$F_n^2 = \prod_{k=1}^{n-1} \left( 3 + 2 \cos \frac{2k\pi}{n} \right), \quad n \geq 1,$$

the Pell sequence  $\{P_n\} = \{W_n(0, 1; 2, -1)\}$ :

$$P_n^2 = \prod_{k=1}^{n-1} \left( 6 + 2 \cos \frac{2k\pi}{n} \right) = 2^{n-1} \prod_{k=1}^{n-1} \left( 3 + \cos \frac{2k\pi}{n} \right), \quad n \geq 1,$$

the Fermat sequence  $\{f_n\} = \{W_n(0, 1; 3, 2)\}$  (its terms are also known as the Mersenne numbers  $M_n = 2^n - 1$ ):

$$f_n^2 = \prod_{k=1}^{n-1} \left( 5 - 4 \cos \frac{2k\pi}{n} \right), \quad n \geq 1.$$

Some open questions arise if we want to use circulants to factorizations of the numbers related to the generalized Fibonacci numbers. For example, is it possible to find out suitable circulant matrices for factorizations of the Lucas-like numbers or their squares?

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