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## THE FIRST KIND PERIODIC SOLUTIONS OF DIFFERENTIAL EQUATIONS OF THE SECOND ORDER

IRENA RACHŮNKOVÁ

The purpose of this paper is to prove some existence and uniqueness theorems for the problem

$$(0.1) \quad u'' = f(t, u, u')$$

$$(0.2) \quad u(b) - u(a) = A, \quad u'(b) - u'(a) = B,$$

where  $a, b, A, B \in (-\infty, +\infty)$ ,  $a < b$ . The problems of such type have been already solved in many works, for example [1—11], [13]. Here, the problem (0.1), (0.2) is solved by means of lower and upper functions and there is used the method of [12]. This approach enables us to find the conditions for the existence of the first kind periodic solutions of (0.1).

### 1. Notations and definitions

$\mathbb{R} = (-\infty, +\infty)$ ,  $\mathbb{R}_+ = [0, +\infty)$ ,  $T = b - a$ ,  $c_1 = \max\{1, |A/T|\}$ ; a.e. = almost every,  $p_i, q_i \in [1, +\infty]$ ,  $1/p_i + 1/q_i = 1$ ,  $i = 1, \dots, n$ ;  $AC^1(a, b)$  is the set of all absolutely continuous functions with their first derivatives on  $[a, b]$ ;  $\text{Car}_{\text{loc}}(D)$  is the set of all real functions satisfying the local Carathéodory conditions on  $D$ .

**Definition.** A function  $u \in AC^1(a, b)$  which fulfils (0.1) for a.e.  $t \in [a, b]$  will be called a solution of the equation (0.1) on  $[a, b]$ . Each solution of (0.1) on  $[a, b]$  satisfying (0.2) will be called a solution of the problem (0.1), (0.2). Each solution of (0.1) on  $\mathbb{R}$  will be called the first kind  $T$ -periodic solution (resp.  $T$ -periodic solution) of (0.1) if  $u'$  (resp.  $u$ ) is a  $T$ -periodic function.

**Definition.** A function  $\sigma_1 \in AC^1(a, b)$  will be called a lower function of the problem (0.1), (0.2) if

$$(1.1) \quad \sigma_1''(t) \geq f(t, \sigma_1, \sigma_1') \text{ for a.e. } t \in (a, b),$$

$$(1.2) \quad \sigma_1(b) - \sigma_1(a) = A, \quad \sigma_1'(b) - \sigma_1'(a) \leq B.$$

A function  $\sigma_2 \in AC^1(a, b)$  will be called an upper function of the problem (0.1), (0.2) if

$$(1.3) \quad \sigma_2''(t) \leq f(t, \sigma_2, \sigma_2') \quad \text{for a.e. } t \in (a, b),$$

$$(1.4) \quad \sigma_2(b) - \sigma_2(a) = A, \quad \sigma_2'(b) - \sigma_2'(a) \geq B.$$

Throughout the whole paper we suppose that  $f: \mathbb{R}^3 \rightarrow \mathbb{R}$  is a  $T$ -periodic function in its first argument and the restriction of  $f$  on  $[a, b] \times \mathbb{R}^2$  belongs to  $\text{Car}_{\text{loc}}([a, b] \times \mathbb{R}^2)$ . We denote  $r_i = \max \{|\sigma_1^{(i)}(t)| + |\sigma_2^{(i)}(t)| : a \leq t \leq b\}$ ,  $i = 0, 1$ , and say that some condition is satisfied on  $S(a, b)$  if it is satisfied for a.e.  $t \in (a, b)$  and for every  $x \in [\sigma_1(t), \sigma_2(t)]$ ,  $|y| \geq c_1$ .

## 2. The main results

The following two theorems deal with the property (E):

- (E)  $\left\{ \begin{array}{l} 1. \text{ The problem (0.1), (0.2) has at least one solution.} \\ 2. \text{ If } A = B = 0, \text{ then there exists at least one } T\text{-periodic solution of (0.1).} \\ 3. \text{ If } A \neq 0, B = 0 \text{ and } f \text{ is } |A|\text{-periodic in its second argument, then there} \\ \quad \text{exists at least one first kind } T\text{-periodic solution of (0.1).} \end{array} \right.$

**Theorem 1.** Let  $\sigma_1$  be a lower function and  $\sigma_2$  an upper function of the problem (0.1), (0.2) and  $\sigma_1(t) \leq \sigma_2(t)$  for  $a \leq t \leq b$ . Let on the set  $S(a, b)$  the inequality

$$(2.1) \quad |f(t, x, y)| \leq \omega(y) \sum_{i=1}^n g_i(t) h_i(x) (1 + |y|)^{1/q_i}$$

be satisfied, where  $g_i \in L^{p_i}(a, b)$ ,  $h_i \in L^{q_i}(-r_0, r_0)$ ,  $i = 1, \dots, n$ , and  $\omega \in C(\mathbb{R})$  is a positive function such that

$$(2.2) \quad \int_{c_1}^{+\infty} \frac{ds}{\omega(s)} = \int_{c_1}^{+\infty} \frac{ds}{\omega(-s)} = +\infty.$$

Then (E) is satisfied.

**Theorem 2.** Let  $\sigma_1, \sigma_2$  satisfy the conditions of Theorem 1 and let on the set  $S(a, b)$  the inequality

$$(2.3) \quad |f(t, x, y)| \leq \omega(t, |y|)$$

be fulfilled, where  $\omega \in \text{Car}_{\text{loc}}([a, b] \times \mathbb{R}_+)$  is a non-negative function, non-decreasing with respect to its second variable and

$$(2.4) \quad \limsup_{\varrho \rightarrow +\infty} \frac{1}{\varrho} \int_a^b \omega(t, \varrho) dt < 1.$$

Then (E) is satisfied.

Note. For the assertions 1, 2 of (E) we can use the following criterions:

1. Let  $g_0(t) = (Bt^2 + 2At - B(b + a)t)(2b - 2a)^{-1}$ . If there exists  $r \in (0, +\infty)$  such that  $f$  satisfies for a.e.  $t \in (a, b)$  and each  $x \in R$

$$(2.5) \quad (f(t, x + g_0, g'_0) - B/(b - a)) \operatorname{sgn} x \geq 0 \quad \text{for } |x| \geq r,$$

then  $\sigma_1(t) = g_0(t) - r$  is a lower function and  $\sigma_2(t) = g_0(t) + r$  is an upper function of (0.1), (0.2).

2. Let  $f$  be continuous on  $[a, b] \times \mathbb{R}^2$  and let there exist  $c \in (0, +\infty)$  such that  $\frac{\partial f(t, x, y)}{\partial x} \geq c$  on  $[a, b] \times \mathbb{R}^2$ . Then (2.5) is satisfied for  $r = \max\{|f(t, g_0, g'_0) - B/(b - a)|c^{-1} : a \leq t \leq b\}$ .

**Theorem 3.** Let there exist a non-negative function  $h \in L(a, b)$  such that for a.e.  $t \in (a, b)$  and every  $(x, y) \in \mathbb{R}^2$  there is satisfied the inequality

$$(2.6) \quad f(t, x_1, y_1) - f(t, x_2, y_2) + h(t)|y_1 - y_2| > 0 \quad \text{for } x_1 > x_2.$$

Then the problem (0.1), (0.2) has not more than one solution.

### 3. Lemmas

**Lemma 1.** Let  $k \in (0, +\infty)$  and  $G: [a, b] \times [a, b] \rightarrow \mathbb{R}$  be the Green function for the problem

$$(3.1) \quad v'' = k^2 \cdot v$$

$$(3.2) \quad v(b) - v(a) = 0, \quad v'(b) - v'(a) = 0.$$

Then there exists  $c_k \in (0, +\infty)$  such that the inequality

$$(3.3) \quad \left| \frac{\partial G(t, s)}{\partial t} \right| + |G(t, s)| \leq c_k \quad \text{for } a \leq t, s \leq b$$

is fulfilled.

**Proof.** It is easy to show that the constant  $c_k = 2(k + 1)(e^{km} + 1)e^{2km}/kD$ , where  $m = \max\{|a|, |b|\}$  and  $D = 2(e^{kb} - e^{ka}) \cdot (e^{-ka} - e^{-kb})$  satisfies the inequality (3.3).

**Lemma 2. (Conti Theorem).** Let there exist  $h \in L(a, b)$  such that

$$|f(t, x, y)| \leq h(t) \quad \text{for } (t, x, y) \in [a, b] \times \mathbb{R}^2.$$

Then for any  $k \in (0, +\infty)$  the problem

$$(3.4) \quad u'' = k^2 u + f(t, u, u'),$$

$$(3.5) \quad u(b) - u(a) = A, \quad u'(b) - u'(a) = B$$

has a solution.

**Proof.** Put  $g_0(t) = (Bt^2 + 2At - B(b+a)t)(2b-2a)^{-1}$  for  $a \leq t \leq b$ ,  $g(t, x, y) = f(t, x + g_0, y + g'_0) + k^2g_0(t) - B(b-a)^{-1}$  on  $[a, b] \times \mathbb{R}^2$  and consider the differential equation

$$v'' = k^2v + g(t, v, v').$$

Analogously as in the proof of Lemma 3 in [12], denote by  $\mathcal{B}$  the Banach space of all functions from  $C^1(a, b)$  with a norm

$$\|z\| = \max \{|z(t)| + |z'(t)| : a \leq t \leq b\} \quad \text{for } z \in C^1(a, b)$$

and consider the operator  $H: \mathcal{B} \rightarrow \mathcal{B}$  defined by

$$H(z(t)) = \int_a^b G(t, s)g(s, z(s), z'(s)) ds \quad \text{for } a \leq t \leq b,$$

where  $G$  is the Green function of the problem (3.1), (3.2). By the Schauder fixed-point theorem, since  $H$  is continuous and maps  $\mathcal{B}$  into its compact subset, there exists  $v \in \mathcal{B}$  such that

$$v(t) = \int_a^b G(t, s)g(s, v(s), v'(s)) ds.$$

Therefore  $u = v + g_0$  is a solution of (3.4), (3.5).

**Lemma 3.** (*A priori estimate*). Let  $r \in (0, +\infty)$ ,  $g_i \in L^{p_i}(a, b)$ ,  $h_i \in L^{q_i}(-r, r)$ ,  $i = 1, \dots, n$ , and  $\omega \in C(\mathbb{R})$  be a positive function satisfying (2.2). Then there exists  $r^* \in (c_1, +\infty)$  such that for any function  $u \in AC^1(a, b)$  the conditions

$$(3.6) \quad u(b) - u(a) = A, \quad |u(t)| \leq r \quad \text{for } a \leq t \leq b$$

and

$$(3.7) \quad |u''(t)| \leq \omega(u'(t)) \sum_{i=1}^n g_i(t) h_i(u(t)) (1 + |u'(t)|)^{1/q_i} \quad \text{for a.e. } t \in (a, b), |u'(t)| \geq c_1$$

imply the estimate

$$(3.8) \quad |u'(t)| \leq r^* \quad \text{for } a \leq t \leq b.$$

**Proof.** Lemma 3 can be proved in the same way as Lemma 4 in [12].

**Lemma 4** (*A priori estimate*). Let  $r \in (0, +\infty)$  and  $\sigma\omega \in \text{Car}_{\text{loc}}([a, b] \times \mathbb{R}_+)$  satisfy the conditions of Theorem 2. Then there exists  $r^* \in (c_1, +\infty)$  such that for any function  $u \in AC^1(a, b)$  the conditions (3.6) and

$$(3.9) \quad |u''(t)| \leq \sigma\omega(t, |u'(t)|)$$

for a.e.  $t \in (a, b)$ , where  $|u'(t)| \geq c_1$ ,  
imply the estimate (3.8).

**Proof.** Let  $u \in AC^1(a, b)$  satisfy (3.6) and (3.9). From (3.6) it follows that there exists  $a_1 \in (a, b)$  such that  $u'(a_1) = A/(b - a)$ . Let  $\varrho^* = \max\{|u'(t)| : a \leq t \leq b\}$  and  $t^* \in [a, b]$  be such that  $|u'(t^*)| = \varrho^*$ . If  $\varrho^* > c_1$ , then there exists  $t_* \in (a_1, t^*)$  (or  $t_* \in (t^*, a_1)$ ) such that

$$|u'(t_*)| = c_1, |u'(t)| > c_1 \quad \text{for } t_* < t < t^* \text{ (or } t^* < t < t_*).$$

Integrating (3.9) from  $t_*$  to  $t^*$  (or from  $t^*$  to  $t_*$ ), we get

$$(3.10) \quad \varrho^* \leq c_1 + \int_a^b \omega(t, \varrho^*) dt.$$

Since (2.4), there exists  $r^* \in (c_1, +\infty)$  such that for any  $\varrho > r^*$  the inequality

$$(3.11) \quad 1 > c_1/\varrho + (1/\varrho) \int_a^b \omega(t, \varrho) dt$$

holds. By (3.10), (3.11), we have  $\varrho^* \leq r^*$ .

**Lemma 5** (On the solvability of the problem (0.1), (0.2)). Let  $\sigma_1$  be a lower function and  $\sigma_2$  an upper function of the problem (0.1), (0.2) and  $\sigma_1(t) \leq \sigma_2(t)$  for  $a \leq t \leq b$ . Further, let on the set  $S(a, b)$  the inequality

$$|f(t, x, y)| \leq g(t)$$

be valid, where  $g \in L(a, b)$ .

Then the problem (0.1), (0.2) has a solution  $u$  satisfying the condition

$$(3.12) \quad \sigma_1(t) \leq u(t) \leq \sigma_2(t) \quad \text{for } a \leq t \leq b.$$

**Proof.** Similarly as in the proof of Lemma 8 in [12], we put

$$w_i(t, x, y) = (-1)^i m(x - \sigma_i) [f(t, \sigma_i, \sigma'_i) - f(t, \sigma_i, y) + (-1)^i r_0/m], \quad i = 1, 2$$

and

$$f_m(t, x, y) = \begin{cases} f(t, \sigma_1, \sigma'_1) - r_0/m & \text{for } x \leq \sigma_1(t) - 1/m \\ f(t, \sigma_1, y) + w_1(t, x, y) & \text{for } \sigma_1(t) - 1/m < x < \sigma_1(t) \\ f(t, x, y) & \text{for } \sigma_1(t) \leq x \leq \sigma_2(t) \\ f(t, \sigma_2, y) + w_2(t, x, y) & \text{for } \sigma_2(t) < x < \sigma_2(t) + 1/m \\ f(t, \sigma_2, \sigma'_2) + r_0/m & \text{for } x \geq \sigma_2(t) + 1/m, \end{cases}$$

where  $(t, x, y) \in [a, b] \times \mathbb{R}^2$  and  $m$  is a natural number.

Then, by Lemma 2, the problem

$$u'' = (1/m)u + f_m(t, u, u'),$$

$$u(b) - u(a) = A, \quad u'(b) - u'(a) = B$$

has a solution. First, let us prove that

$$(3.13) \quad \sigma_1(t) - 1/m \leq u_m(t) \leq \sigma_2(t) + 1/m \quad \text{for } a \leq t \leq b.$$

Put  $v(t) = (-1)^i(u_m(t) - \sigma_i(t)) - 1/m$  for  $a \leq t \leq b$ ,  $i \in \{1, 2\}$ . Then, by (1.2), (1.4),

$$(3.14) \quad v(b) - v(a) = 0, \quad v'(b) - v'(a) \leq 0.$$

Let  $v(t) > 0$  for  $t \in I \subset [a, b]$ . Then, in view of (1.1), (1.3),

$$(3.15) \quad v''(t) = (-1)^i(u_m''(t) - \sigma_i''(t)) \geq r_0/m + (-1)^i u_m/m \geq 1/m^2 \quad \text{for } t \in I.$$

From this it follows according to (3.14) that there exists  $t_0 \in (a, b)$  such that

$$(3.16) \quad v(t_0) = 0.$$

Now, suppose that (3.13) does not hold on  $[t_0, b]$ , i.e. that for certain  $i \in \{1, 2\}$  and  $t^* \in (t_0, b)$

$$v(t^*) > 0.$$

Let  $(\alpha, \beta) \subset (t_0, b)$  be the maximal interval containing  $t^*$  in which  $v(t) > 0$ . Then  $v(\alpha) = 0$ ,  $v'(\alpha) \geq 0$  and, by (3.15),  $v''(t) \geq m^{-2}$  for  $\alpha \leq t \leq \beta$ . Therefore  $\beta = b$  and  $v(b) > 0$ ,  $v'(b) > 0$ . Since (3.14),  $v(a) > 0$ ,  $v'(a) > 0$ . Let  $(a, a_0) \subset (a, t_0)$  be the maximal interval in which  $v(t) > 0$ . Analogously as above we can prove  $a_0 = t_0$ , whence  $v(t_0) > 0$ , which contradicts (3.16). Consequently

$$(3.17) \quad v(t) \leq 0 \quad \text{for } t_0 \leq t \leq b, \text{ and by (3.14), } v(a) \leq 0.$$

Supposing that (3.13) does not hold on  $[a, t_0]$ , we obtain a contradiction similar to (3.16). Hence  $u_m$  satisfies (3.13) on  $[a, b]$ .

Finally, since the sequences  $(u_m)_1^\infty$  and  $(u'_m)_1^\infty$  are uniformly bounded and equicontinuous on  $[a, b]$ , by the Arzelà-Ascoli lemma we can suppose without loss of generality that they are uniformly converging on  $[a, b]$ . Consequently the function  $u(t) = \lim_{m \rightarrow \infty} u_m(t)$  for  $a \leq t \leq b$  is a solution of the problem (0.1), (0.2) and satisfies the condition (3.12).

#### 4. Proofs of Theorems

**Proof of Theorem 1.** Let  $r^*$  be the constant constructed by Lemma 3 for  $r = r_0$ . Put  $Q_0 = r^* + r_0 + r_1$ ,

$$\chi(\varrho_0, s) = \begin{cases} 1 & \text{for } 0 \leq s \leq \varrho_0 \\ 2 - s/\varrho_0 & \text{for } \varrho_0 < s < 2\varrho_0 \\ 0 & \text{for } s \geq 2\varrho_0. \end{cases}$$

$$(4.1) \quad \tilde{f}(t, x, y) = \chi(\varrho_0, |x| + |y|) f(t, x, y) \quad \text{for } (t, x, y) \in [a, b] \times \mathbb{R}^2$$

and consider the equation

$$(4.2) \quad u'' = \tilde{f}(t, u, u').$$

Since  $\max \{|\sigma_i(t)| + |\sigma'_i(t)| : a \leq t \leq b\} < \varrho_0$ ,  $i = 1, 2$ ,  $\sigma_1$  is a lower function and  $\sigma_2$  an upper function of the problem (4.2), (0.2). Moreover  $|\tilde{f}(t, x, y)| \leq g(t)$  for  $(t, x, y) \in [a, b] \times \mathbb{R}^2$ , where  $g(t) = \sup \{|f(t, x, y)| : |x| + |y| \leq 2\varrho_0\} \in L(a, b)$ . Therefore, by Lemma 5, the problem (4.2), (0.2) has a solution  $u$  satisfying (3.12). Clearly  $u$  fulfils (3.6) for  $r = r_0$  and (3.7) and so, by Lemma 3, the estimate (3.8) is valid. Therefore

$$(4.3) \quad |u(t)| + |u'(t)| \leq \varrho_0 \quad \text{for } a \leq t \leq b.$$

In view of (4.1), (4.2) and (4.3),  $u$  is a solution of the problem (0.1), (0.2).

Now, let  $A = B = 0$  and  $u^* : \mathbb{R} \rightarrow \mathbb{R}$  be the  $T$ -periodic extension of  $u$ . Then  $u^*$  is a  $T$ -periodic solution of (0.1).

Finally, let  $A \neq 0$ ,  $B = 0$  and  $f$  be  $|A|$ -periodic in its second argument. Let  $u^* : \mathbb{R} \rightarrow \mathbb{R}$  be defined by  $u_*(t) = u(t) + nA$  for  $t \in [a + n(b - a), b + n(b - a)]$ ,  $n = 0, \pm 1, \pm 2, \dots$ . Then  $u_*$  is a  $T$ -periodic function and  $u_*$  satisfies (0.1) for a.e.  $t \in \mathbb{R}$ . Therefore  $u_*$  is the first kind  $T$ -periodic solution of (0.1) and we have proved Theorem 1.

Theorem 2 can be proved analogously as Theorem 1 only instead of Lemma 3 we use Lemma 4.

**Proof of Theorem 3.** Let us assume that the problem (0.1), (0.2) has two solutions  $u_1, u_2$ . Put  $v = u_1 - u_2$  on  $[a, b]$ . Then

$$(4.4) \quad v(a) = v(b), \quad v'(a) = v'(b).$$

Let us suppose that  $v(a) \neq 0$ . Without loss of generality we may consider that

$$(4.5) \quad v(a) > 0.$$

Since (4.4), there exists  $t_0 \in (a, b)$  such that  $v'(t_0) = 0$ . Now, let  $v(t) > 0$  for  $a \leq t \leq b$ . Then, by (2.6),  $v''(t) + \tilde{h}(t)v'(t) > 0$  for a.e.  $t \in (a, b)$ , where  $\tilde{h} = h \operatorname{sgn} v'$ . Therefore the inequality

$$(4.6) \quad \left( \exp \left( \int_a^t \tilde{h}(s) ds \right) v'(t) \right)' > 0$$



is satisfied for a.e.  $t \in (a, b)$ . Integrating (4.6) from  $a$  to  $t_0$  and from  $t_0$  to  $b$ , we get  $v'(a) < 0$  and  $v'(b) > 0$ , which contradicts (4.4). Therefore there exists  $t_1 \in (a, b)$  such that

$$(4.7) \quad v(t_1) = 0.$$

In view of (4.4), (4.5), (4.7), there exist  $a_1, b_1 \in (a, b)$  such that  $v(t) > 0$  for  $t \in [a, a_1] \cup (b_1, b]$  and  $v(a_1) = v(b_1) = 0$ . Then (4.6) holds on  $[a, a_1] \cup (b_1, b]$  and integrating it from  $a$  to  $a_1$  and from  $b_1$  to  $b$ , we get (as above) the contradiction to (4.4). Hence

$$(4.8) \quad v(a) = v(b) = 0.$$

Let there exists  $\tilde{t} \in (a, b)$  such that  $v(\tilde{t}) > 0$  and let  $(\alpha, \beta) \subset (a, b)$  be the maximal interval containing  $\tilde{t}$  in which  $v(t) > 0$ . Then, by (4.8),  $v'(\alpha) \geq 0$ ,  $v'(\beta) \leq 0$ . Moreover (4.6) holds on  $(\alpha, \beta)$ . Integrating (4.6) from  $\alpha$  to  $\beta$ , we get  $0 \geq v'(\beta) - v'(\alpha) > 0$ . This contradiction proves Theorem 4.

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О ПЕРИОДИЧЕСКИХ РЕШЕНИЯХ ПЕРВОГО РОДА  
ДИФФЕРЕНЦИАЛЬНЫХ УРАВНЕНИЙ ВТОРОГО ПОРЯДКА

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Резюме

В статье доказаны достаточные условия для существования и единственности решения задачи

$$u'' = f(t, u, u'), u(b) - u(a) = A, u'(b) - u'(a) = B, a, b, A, B \in (-\infty, \infty), a < b.$$

В случае  $A \neq 0, B = 0$  показаны условия для существования решения  $u$  уравнения  $u'' = f(t, u, u')$  такого, что  $u'$  периодическая функция.