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## ON THE FRATTINI IDEAL IN COMPACT SEMIGROUPS

KAR-PING SHUM

An algebraic semigroup  $S$  which is also a Hausdorff space is called a topological semigroup if the multiplication is (jointly) continuous. A non-empty subset  $I$  of  $S$  is called an ideal of  $S$  if  $IS \subset I$  and  $SI \subset I$ . The Frattini ideal of  $S$ , denoted by  $\Phi(S)$ , is defined to be the intersection of all maximal ideals of  $S$ . According to Š. Schwarz [10],  $\Phi(S)$  is always nonempty, provided that  $S$  has proper maximal ideals.

The studies of the Frattini ideal in a semigroup were made by several authors, namely, J. E. Kuczkowski [7], Š. Schwarz [10], P. A. Grillet [4], R. Fulp [3] and others. In his paper [10], Š. Schwarz remarks that some results concerning the Frattini ideal in commutative rings can be transformed analogously to (noncommutative) semigroups. The purpose of the present paper is to extend a topological version of Schwarz's results from algebraic semigroups to compact semigroups. We shall prove that, under certain conditions, the Frattini ideal  $\Phi(S)$  of a compact semigroup  $S$  will coincide with the intersection of all open prime ideals containing  $\Phi(S)$ .

Throughout this paper, the symbol  $S$  will always denote a topological semigroup. The reader is referred to [9] for definitions not explicitly given here.

**Definition.** A non-empty ideal  $P$  of a semigroup  $S$  is said to be prime if  $AB \subset P$  implies that  $A \subset P$  or  $B \subset P$ ,  $A, B$  being ideals of  $S$ .

An ideal  $T$  is completely prime if  $ab \in T$  implies that  $a \in T$  or  $b \in T$ ,  $a, b$  being elements of  $S$ . An ideal which is completely prime is prime. But the converse need not be true. These concepts coincide when  $S$  is a normal semigroup, that is,  $aS = Sa$  for all elements of  $S$ .

An ideal  $Q$  is completely semiprime if  $a^2 \in Q$  implies that  $a \in Q$ ,  $a$  being an element of  $S$ . Clearly, a completely prime ideal is also completely semiprime, but not conversely. For instance, let  $S = \{0, a, b\}$  be a semigroup with zero in which  $ab = ba = 0$ ,  $a^2 = a$  and  $b^2 = b$ , then the ideal  $\{0\}$  is completely semiprime, but not completely prime.

An ideal  $M$  of  $S$  is called *g-maximal* if  $M$  is a proper maximal ideal of  $S$  and  $S \setminus M$  is a group.

**Definition.** An idempotent  $e$  of  $S$  is said to be a *g-maximal idempotent* if and only if  $J_0(S \setminus e)$ , the maximal ideal contained in the set  $S \setminus e$  being a *g-maximal ideal*.

Let  $I$  be an ideal of  $S$ . We define an idempotent  $e \notin I$  to be *I-primitive* if  $e$  is the only idempotent contained in  $eSe \setminus I$ .

**Definition.** A semigroup  $S$  is said to be a *quasi-normal semigroup* if and only if the set of all idempotents  $E$  of  $S$  forms a semilattice. In other words,  $S$  is a *quasi-normal semigroup* if and only if its idempotents are mutually commutative with each other under multiplication.

For  $e, f \in E$ , define  $e \leq f$  if and only if  $ef = fe = e$ . It is clear that  $\leq$  is a partial ordering in  $E$ . If  $S$  is an arbitrary semigroup and  $I$  is an ideal of  $S$ , then the atoms of the partially ordered set  $E \cap (S \setminus I)$  (if it exists) are all *I-primitive* idempotents of  $S$ . We usually denote the set  $E \cap (S \setminus I)$  by  $E(I)$ .

**Definition.** An ideal  $I$  of a semigroup  $S$  is defined to be an *E-recognizable ideal* if  $E(I) \neq \emptyset$  and  $\overline{E(I)} \cap I = \emptyset$ , where  $\overline{E(I)}$  is the closure of the set  $E(I)$ .

If  $I$  is an open ideal of a semigroup  $S$  with  $E(I) \neq \emptyset$ , then  $I$  is always *E-recognizable*. But conversely, an *E-recognizable ideal* need not be open. For example let  $S = [\frac{1}{2}, 1]$  with multiplication  $*$  defined by  $x * y = \max\{\frac{1}{2}, xy\}$  for all  $x, y \in S$ , then  $\{\frac{1}{2}\}$  is an *E-recognizable ideal*, but  $\{\frac{1}{2}\}$  is not open. The following theorem shows that (among other things) under certain conditions, the *E-recognizable Frattini ideal* of a semigroup is open.

**Theorem 1.** Let  $S$  be a compact quasi-normal semigroup with zero. If every maximal ideal of  $S$  is *g-maximal*, and if the Frattini ideal  $\Phi(S)$  is an *E-recognizable nil ideal* of  $S$ , then  $\Phi(S)$  is an open completely semiprime ideal of  $S$ .

Conversely, if  $\Phi(S)$  is an open completely semiprime ideal and if  $E(\Phi(S)) = E \cap (S \setminus \Phi(S))$  contains only  $\Phi(S)$ -primitive idempotents, then  $\Phi(S)$  can be expressed as the intersection of *g-maximal ideals* of  $S$ .

Remark: In general, if  $S$  is an arbitrary semigroup, then  $\Phi(S)$  may be neither open nor closed as can be seen in example 3 on page 74 in [10].

The following lemmas are needed for the proof of Theorem 1.

**Lemma A.** Let  $S$  be a compact semigroup. If each maximal ideal of  $S$  is completely semiprime, then the Frattini ideal  $\Phi(S)$  can be expressed as the intersection of open prime ideals containing  $\Phi(S)$ , and in fact,  $\Phi(S) = J_0(S \setminus E(\Phi(S)))$ .

The proof of lemma A is in [12]. We notice that Corollary 12 in [12] is a generalized form of Lemma A.

**Lemma B.** *Let  $I$  be an open completely semiprime ideal of a compact semigroup  $S$ . For each  $e^2 = e \in S \setminus I$ , define  $\text{Tod}_e$  to be the set  $\{x \in S \mid ex \in I\}$ . Then  $\text{Tod}_e = \{x \in S \mid xe \in I\}$ , and  $\text{Tod}_e$  is an open ideal of  $S$  containing  $I$ . Moreover, if  $e$ , is  $I$ -primitive, then  $\text{Tod}_e = J_0(S \setminus e)$  is an open completely prime ideal of  $S$ .*

The proof of lemma B can be also found in [12].

The lemma below slightly generalizes lemma 13 (iii) in [12]. It can be derived immediately from lemma 13 (ii) in [12], but for the sake of completeness, we provide a proof.

**Lemma C.** *Every  $g$ -maximal idempotent of  $S$  is  $\Phi(S)$ -primitive.*

*Proof.* Let  $e$  be a  $g$ -maximal idempotent of  $S$ . Then  $e$  is the unique idempotent in  $S \setminus M_\alpha = P_\alpha$  for some maximal ideal  $M_\alpha$ . Consider  $f^2 = f \in eSe \setminus \Phi(S)$ , then  $f = exe$  for some  $x \in S$ , and so  $f = ef$ . Suppose  $f \neq e$ . Then, since  $f \notin \Phi(S)$ ,  $f \in S \setminus M_\beta = P_\beta$  for some maximal ideal  $M_\beta$  of  $S$ . Because  $e$  is  $g$ -maximal,  $P_\alpha \neq P_\beta$  and so by Schwarz [10]  $f = ef \in P_\alpha P_\beta \subset \Phi(S)$ , which is a contradiction to  $f \notin \Phi(S)$ . Hence  $f = e$  and  $e$  is therefore  $\Phi(S)$ -primitive.

**Lemma D.** *Let  $S$  be a quasi-normal semigroup with zero. If  $I$  is an  $E$ -recognizable nil ideal of  $S$ , then the set of all  $I$ -primitive idempotents of  $S$  is closed.*

*Proof.* Let  $E\tilde{I}$  denote the set of all  $I$ -primitive idempotents of  $S$ . Take  $e$  in the closure of  $E\tilde{I}$  and there exists a net  $\{e_\alpha\}$  in  $E\tilde{I}$  such that  $e_\alpha \rightarrow e$ . Since  $I$  is an  $E$ -recognizable ideal, then  $e \in E \setminus I$ . Now let  $f \in E \setminus I$  such that  $f \leq e$ , that is,  $f = ef$ . Consider  $f_\alpha = e_\alpha f$ . Clearly  $e_\alpha f \rightarrow ef = f$  gives  $f_\alpha \rightarrow f$ . Since  $S$  is quasi-normal and  $I$  is also a nil ideal of  $S$ , hence  $f_\alpha = e_\alpha f$  is an idempotent not in  $I$ . However,  $f_\alpha \leq e_\alpha$  and  $e_\alpha$  is  $I$ -primitive, thus it follows that the only possible cluster points of  $\{f_\alpha\}$  is  $e$ . Consequently,  $f = e$ . This means that  $e \in E\tilde{I}$ , completing the proof.

**Remark 1:** If we replace in lemma D the  $E$ -recognizable nil ideal  $I$  by an  $E$ -recognizable completely prime ideal, then the result of lemma D is still valid.

**Remark 2:** If  $I$  is a completely prime ideal of  $S$  and  $E\tilde{I} \neq \emptyset$ , the set  $E(I)$  is a singleton. Let  $e, f$  be idempotents in  $E(I)$ : then, because  $S$  is a quasi-normal semigroup, we have  $(ef)^2 = ef$  and  $ef = eef = efe \in eSe$ . As  $e \in E(I)$  then  $ef = e$  or  $ef \in I$ . Similarly,  $ef = f$  or  $ef \in I$ . Since  $I$  is a completely prime ideal of  $S$ ,  $ef \notin I$ . Therefore we must have  $e = f$ .

**Remark 3:** Let  $\tilde{E}$  be the set of all primitive idempotents (for a definition of primitive idempotents see [5]) of a compact semigroup. Whether or not the set  $\tilde{E}$

must be closed is an open problem proposed by R. J. Koch in 1954 [page 831; 5]. By applying the same arguments as used in the proof of lemma 4, we can easily prove that  $\tilde{E}$  is closed if  $S$  is a quasi-normal semigroup. Thus a partial answer to Koch's problem is obtained.

We now turn to prove Theorem 1.

Suppose that each maximal ideal of  $S$  is  $g$ -maximal. Then by lemma A,  $\Phi(S)$  is completely semiprime and  $\Phi(S) = J_0(S \setminus E(\Phi(S))) = \cap \{J_0(S \setminus e_i) \mid e_i \in E(\Phi(S))\}$ . The proof will be complete if we can prove that  $E(\Phi(S))$  is a closed subset of  $S$ . Since every idempotent in  $E(\Phi(S))$  is  $g$ -maximal then, by lemma C, every idempotent in  $E(\Phi(S))$  is  $\Phi(S)$ -primitive. As  $\Phi(S)$  is assumed to be an  $E$ -recognizable nil ideal then, by applying lemma D, it follows that  $E(\Phi(S))$  is closed. Thus  $\Phi(S) = J_0(S \setminus E(\Phi(S)))$  is open.

For the converse part, let  $\Phi(S)$  be an open completely semiprime ideal of a quasi-normal semigroup  $S$ ; then by Theorem 3.4 in [13],  $\Phi(S) = \cap \{J_0(S \setminus e_i) \mid e_i \in E(\Phi(S))\}$ . Since  $E(\Phi(S))$  consists of  $\Phi(S)$ -primitive idempotents only, then, by lemma B, each  $J_0(S \setminus e_i)$  is a completely prime ideal of  $S$ . Now, by Schwarz [10], each of these open completely prime ideals is a maximal ideal of  $S$ , and so it follows that  $S \setminus J_0(S \setminus e_i)$  is a disjoint union of groups [2]. Applying remark 2 of lemma D, we know that  $S \setminus J_0(S \setminus e_i)$  contains only a unique idempotent  $e_i$  and therefore must be a group. Thus each  $J_0(S \setminus e_i)$  is a  $g$ -maximal ideal, completing the proof.

Remark: In the necessity part of Theorem 1, if  $\Phi(S)$  is assumed to be an  $E$ -recognizable completely prime ideal instead of an  $E$ -recognizable nil ideal of  $S$ , then we can prove easily that  $\Phi(S)$  itself is a  $g$ -maximal ideal of  $S$ .

**Corollary.** If  $E(\Phi(S))$  contains only  $\Phi(S)$ -primitive idempotents of  $S$ , then any open completely semiprime ideal of a compact semigroup can be expressed as an intersection of  $g$ -maximal ideals if and only if it contains  $\Phi(S)$ .

Proof. Clearly, every ideal which is the intersection of  $g$ -maximal ideals of  $S$  contains  $\Phi(S)$ . Conversely, let  $A$  be an open completely semiprime ideal containing  $\Phi(S)$ . Then there exists at least one idempotent  $e_i^2 = e_i \in S \setminus A$ , and hence  $A \subset J_0(S \setminus e_i)$ . Thus, by theorem 1, each  $J_0(S \setminus e_i)$ ,  $e_i \in S \setminus A$  is a  $g$ -maximal ideal of  $S$ . Let  $E(A)$  denote  $E \cap (S \setminus A)$ . Suppose, if possible, that  $A \subsetneq J_0(S \setminus E(A)) = \cap \{J_0(S \setminus e_i) \mid e_i \in E(A)\}$ . Then we can pick  $y \notin A$ ,  $y \in J_0(S \setminus E(A))$ . Hence there is an idempotent  $f$  such that  $f \in F(y) = \overline{\{y^n\}_{n=1}^\infty} \subset J_0(S \setminus E(A))$ , which implies that  $f \in A$ . However, since  $A$  is an open completely semiprime ideal of  $S$ , then  $y \notin A$  implies  $f \notin A$ , a contradiction. Thus  $A = \cap \{J_0(S \setminus e_i) \mid e_i \in E(A)\}$ , completing the proof.

**Theorem 2.** Let  $S$  be a compact semigroup with  $S^2 = S$ , then the Frattini ideal  $\Phi(S)$  of  $S$  is the intersection of all open prime ideals containing  $\Phi(S)$ . Moreover,  $\Phi(S) = J_0(S \setminus E(\Phi(S)))$ .

**Proof.** Since  $S^2 = S$ , then by Schwarz [10], every maximal ideal of  $S$  is a prime ideal containing  $\Phi(S)$ . Moreover, since  $S$  is compact, each maximal ideal is open [6], and so each maximal ideal of  $S$  is an open prime ideal containing  $\Phi(S)$ . On the other hand, each open prime ideal containing  $\Phi(S)$  must be a proper maximal ideal of  $S$ . (This was proved by Schwarz in [10]). Hence, there is a 1-1 correspondence between the set of all proper maximal ideals of  $S$  and the set of all open prime ideals containing  $\Phi(S)$ . Therefore we conclude that  $\Phi(S)$  is the intersection of all open prime ideals containing  $\Phi(S)$ . Moreover, by Numakura [8], each open prime ideal containing  $\Phi(S)$  has the form  $J_0(S \setminus e_i)$  with  $e_i \in \Phi(S)$ . Hence,  $\Phi(S) = \bigcap \{J_0(S \setminus e_i) \mid e_i \in E(\Phi(S))\} = J_0(S \setminus E(\Phi(S)))$ .

**Corollary 1.** *Let  $S$  be a compact connected semigroup with  $S^2 = S$ ; then  $\Phi(S)$  is a connected ideal of  $S$ . Moreover,  $\Phi(S)$  is open if and only if  $E(\Phi(S))$  is non-empty and compact.*

**Corollary 2.** (Schwarz [10].) *Let  $S$  be a compact semigroup with  $S^2 = S$ . If  $\Phi(S)$  is a proper ideal of  $S$  and if every open prime ideal of  $S$  contains  $\Phi(S)$ , then  $\Phi(S)$  does not contain any idempotents which are not contained in the kernel  $K$  of  $S$ .*

**Proof.** Let  $Q^*$  denote the intersection of all prime ideals of  $S$ . As  $\Phi(S)$  is a proper ideal of  $S$ ,  $Q^* \neq \emptyset$  and so by Theorem 2, we have  $K \subset Q^* \subset \Phi(S)$ . By Schwarz [10],  $Q^*$  contains exactly those idempotents which are contained in  $K$ . We only need to show that there exists no idempotent in  $\Phi(S) \setminus Q^*$ . Suppose  $f^2 = f \in \Phi(S) \setminus Q^*$ . Then by Numakura [8],  $J_0(S \setminus f)$  is an open prime ideal of  $S$  and hence  $f \in \Phi(S) \subset J_0(S \setminus f)$ , which is a contradiction. The proof is completed.

Let  $S$  be a compact semigroup with zero. Let  $N = \{x \in S \mid 0 \in \Gamma(x) = \overline{\{x^n\}_{n=1}^\infty}\}$ . Then  $N_1 = J_0(N)$  is called the nil radical of  $S$ .

**Corollary 3.** *Let  $S$  be a compact semigroup with zero. If  $S^2 = S$  and if  $\Phi(S)$  is the intersection of all open prime ideals of  $S$ , then the Frattini ideal of  $S$  coincides with the nil radical of  $S$ .*

**Proof.** By Corollary 2, we know immediately that  $E(\Phi(S)) = E(N_1)$ , where  $E(N_1) = S \cap (S \setminus N_1)$ . Hence it follows that  $J_0(S \setminus E(\Phi(S))) = J_0(S \setminus E(N_1))$ . We now have by Theorem  $\Phi(S) = J_0(S \setminus E(\Phi(S)))$ , and also by Theorem 2.3 in [11], we have  $N_1 = J_0(S \setminus E(N_1))$ . Thus  $\Phi(S) = N_1$ .

A semigroup with zero is called an  $N$ -semigroup if the set of all nilpotent elements of  $S$ , denoted by  $N$ , is an open subset of  $S$ . K. P. Shum and C. S. Hoo [11] have shown that  $N$  is an ideal of  $S$  in the case of  $S$ , being a compact abelian semigroup. Recently, H. L. Chow [1] has pointed out that the abelian condition can be weakened. He shows that the result of Shum and Hoo is still valid if  $S$  is a compact weakly normal semigroup, that is,  $eS = Se$  for all idempotent  $e \in S$ . Thus the following facts follow verbatim from Corollary 3.

**Corollary 4.** *Let  $S$  be a compact weakly normal semigroup with zero satisfying  $S^2 = S$ . If  $\Phi(S)$  is the intersection of all open prime ideals of  $S$  then  $S$  is an  $N$ -semigroup if and only if its Frattini ideal is open.*

**Corollary 5.** *Let  $S$  be a compact weakly normal  $N$ -semigroup with  $S^2 = S$ . If  $\Phi(S)$  is the intersection of all open prime ideals of  $S$  and if  $e$  is a  $\Phi(S)$ -primitive idempotent not in  $\Phi(S)$ , then  $eS \setminus \Phi(S)$  is a compact group.*

**Proof.** By Corollary 4 we know that  $\Phi(S) = N$ . Since  $e$  is a  $\Phi(S)$ -primitive idempotent not in  $\Phi(S)$ , then by R. J. Koch [5],  $eS \setminus \Phi(S) = eS \setminus N$  is a disjoint union of compact groups. Now, let  $f^2 = f \neq e$  such that  $f \in eS \setminus \Phi(S) = Se \setminus \Phi(S)$ . Then there exists elements  $x$  and  $y \in S$  such that  $f = ex$  and  $f = ye$ . Consequently,  $f = ef = fe$  and so  $ef = f \leq e$ . Because  $f \notin \Phi(S)$  and  $e$  are  $\Phi(S)$ -primitive,  $f = e$ . Hence, we conclude that  $eS \setminus \Phi(S)$  is a group.

**Remarks :**

(I) Theorem 2 is a generalized result of S. Schwarz in [10]. The reader is referred to Theorem 6 in [10].

(II) A compact semigroup with  $S^2 = S$  does not imply that every open prime ideal of  $S$  is completely open prime. For instance, see example on page 51 in [9].

(iii) A compact semigroup with  $S^2 = S$  does not imply that  $\Phi(S)$  is the intersection of all open prime ideals of  $S$ . For instance, let  $S$  be a min-thread, then  $\Phi(S) = [0, 1)$ . Clearly,  $\Phi(S)$  is not the intersection of all open prime ideals of  $S$ .

(IV) The hypothesis  $S^2 = S$  cannot be dropped in proving the necessity part for Corollary 1. For instance, the example 3 in [10] shows that  $E(\Phi(S))$  is non-empty and compact, but  $\Phi(S)$  is neither open nor closed.

(V) Corollary 3 is analogous to the following well-known result in the Ring Theory: let  $R$  be an arbitrary commutative ring with identity, then the set of all nilpotent elements of  $R$  coincides with the intersection of all the prime ideals of  $S$ .

(VI) Let  $S$  be a compact semigroup with the kernel  $K$ . An element  $x \in S$  is called  $K$ -potent if there is an integer  $p > 0$  such that  $x^p \in K$ . We denote by  $N_K^*$  the set of all  $K$ -potent elements of  $S$ ,  $N_K^*$  the largest ideal contained in  $N_K^*$ , then our Corollary 3 can be restated as follows: Let  $S$  be a compact semigroup with a kernel satisfying  $S^2 = S$ . If  $\Phi(S)$  is the intersection of all open prime ideals of  $S$  and if  $N_K^*$  is open, then  $\Phi(S) = N_K^*$ . Thus, Corollary 3 is a generalized version of Theorem 9 in [10].

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## ОБ ИДЕАЛЕ ФРАТТИНИ В КОМПАКТНЫХ ПОЛУГРУППАХ

Кар-Пинг Шум

Резюме

В работе доказывается что в определенных условиях идеал Фраттини  $\Phi(S)$  в компактной полугруппе равен пересечению всех открытых простых идеалов содержащих  $\Phi(S)$ .