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*Czechoslovak Mathematical Journal*, Vol. 52 (2002), No. 3, 575–583

Persistent URL: <http://dml.cz/dmlcz/127745>

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THE TYPE SET FOR SOME MEASURES ON  $\mathbb{R}^{2n}$   
WITH  $n$ -DIMENSIONAL SUPPORT

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(Received August 12, 1999)

*Abstract.* Let  $\varphi_1, \dots, \varphi_n$  be real homogeneous functions in  $C^\infty(\mathbb{R}^n - \{0\})$  of degree  $k \geq 2$ , let  $\varphi(x) = (\varphi_1(x), \dots, \varphi_n(x))$  and let  $\mu$  be the Borel measure on  $\mathbb{R}^{2n}$  given by

$$\mu(E) = \int_{\mathbb{R}^n} \chi_E(x, \varphi(x)) |x|^{\gamma-n} dx$$

where  $dx$  denotes the Lebesgue measure on  $\mathbb{R}^n$  and  $\gamma > 0$ . Let  $T_\mu$  be the convolution operator  $T_\mu f(x) = (\mu * f)(x)$  and let

$$E_\mu = \{(1/p, 1/q) : \|T_\mu\|_{p,q} < \infty, 1 \leq p, q \leq \infty\}.$$

Assume that, for  $x \neq 0$ , the following two conditions hold:  $\det(d^2\varphi(x)h)$  vanishes only at  $h = 0$  and  $\det(d\varphi(x)) \neq 0$ . In this paper we show that if  $\gamma > n(k+1)/3$  then  $E_\mu$  is the empty set and if  $\gamma \leq n(k+1)/3$  then  $E_\mu$  is the closed segment with endpoints  $D = (1 - \frac{\gamma}{n(k+1)}, 1 - \frac{2\gamma}{n(k+1)})$  and  $D' = (\frac{2\gamma}{n(1+k)}, \frac{\gamma}{n(1+k)})$ . Also, we give some examples.

*Keywords:* singular measures, convolution operators

*MSC 2000:* 42B20

## 1. INTRODUCTION

Let  $\varphi_1, \dots, \varphi_n$  be real homogeneous functions in  $C^\infty(\mathbb{R}^n - \{0\})$  of degree  $k \geq 2$ , let  $\varphi(x) = (\varphi_1(x), \dots, \varphi_n(x))$ , let  $\gamma > 0$  and let  $\mu$  be the Borel measure on  $\mathbb{R}^{2n}$  given by

$$\mu(E) = \int_{\mathbb{R}^n} \chi_E(x, \varphi(x)) |x|^{\gamma-n} dx,$$

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where  $dx$  denotes the Lebesgue measure on  $\mathbb{R}^n$ . Let  $T_\mu$  be the convolution operator defined by  $T_\mu f(x) = (\mu * f)(x)$  and let  $\|T_\mu\|_{p,q}$  be the operator norm of  $T_\mu$  from  $L^p(\mathbb{R}^{2n})$  into  $L^q(\mathbb{R}^{2n})$ . The type set  $E_\mu$  is the set defined by

$$E_\mu = \left\{ \left( \frac{1}{p}, \frac{1}{q} \right) : \|T_\mu\|_{p,q} < \infty, 1 \leq p, q \leq \infty \right\},$$

where the  $L^p$  spaces are taken with respect to the Lebesgue measure on  $\mathbb{R}^{2n}$ .

Since the adjoint  $T_\mu^*$  is a convolution operator with a measure of the same kind,  $E_\mu$  is symmetric with respect to the non principal diagonal. The Riesz Thorin theorem implies that  $E_\mu$  is a convex set. On the other hand, it is a well known fact that  $E_\mu$  lies below the principal diagonal  $1/q = 1/p$ . Also, a result of Oberlin (see e.g. [4], Theorem 1) says that

$$(1.1) \quad E_\mu \subset \left\{ \left( \frac{1}{p}, \frac{1}{q} \right) : \frac{1}{q} \geq \frac{2}{p} - 1 \right\}.$$

Thus, by the symmetry of  $E_\mu$ , also

$$(1.2) \quad E_\mu \subset \left\{ \left( \frac{1}{p}, \frac{1}{q} \right) : \frac{1}{q} \geq \frac{1}{2p} \right\}.$$

The type set  $E_\mu$  has been studied, for  $\gamma = 2$  and under a suitable hypothesis on  $\varphi$ , in [2] covering a wide amount of cases. As there, if  $\varphi: \mathbb{R}^n \rightarrow \mathbb{R}^n$  is a twice continuously differentiable function, we say that  $x \in \mathbb{R}^n$  is an elliptic point for  $\varphi$  if there exists  $\lambda = \lambda_x > 0$  such that  $|\det(\varphi''(x)h)| \geq \lambda|h|^n$  for all  $h \in \mathbb{R}^n$  ([2], p. 152).

Convolution operators associated with fractional measures on  $\mathbb{R}^2$  supported on the graph of the parabola  $(t, t^2)$  have been studied in [1] by M. Christ, using a Littlewood Paley decomposition of the operator.

Our aim is to obtain an explicit description of  $E_\mu$ , for a homogeneous and smooth  $\varphi$  as above, under the following assumptions.

- 1) The first differential  $d\varphi(x)$  is invertible for all  $x \in \mathbb{R}^n - \{0\}$ .
- 2) Every  $x \neq 0$  is an elliptic point for  $\varphi$ .

To this end we will adapt Christ's arguments to our actual setting, using some results obtained in [2].

Finally, we will prove some facts concerning the two dimensional quadratic polynomial case.

Throughout the paper  $c$  will denote a positive constant not necessarily the same at each occurrence.

## 2. PRELIMINARIES

Let  $\eta$  be a function in  $C_c^\infty(\mathbb{R}^n)$  such that  $\text{supp}(\eta) \subset \{x \in \mathbb{R}^n : \frac{1}{4} \leq |x| \leq 2\}$ ,  $0 \leq \eta \leq 1$  and  $\sum_{j \in \mathbb{Z}} \eta(2^j x) = 1$  if  $x \neq 0$ . For  $j \in \mathbb{Z}$ , let  $\mu_j$  be the Borel measure on  $\mathbb{R}^{2n}$  defined by

$$\mu_j(E) = \int_{\mathbb{R}^n} \chi_E(x, \varphi(x)) \eta(2^j x) |x|^{\gamma-n} dx$$

and let  $T_{\mu_j}$  be the associated convolution operator.

For  $t > 0$ ,  $(x, y) \in \mathbb{R}^{2n}$  and for  $f: \mathbb{R}^{2n} \rightarrow \mathcal{C}$ , we set  $t \bullet (x, y) = (tx, t^k y)$  and  $(t \bullet f)(x, y) = f(t \bullet (x, y))$ . So  $\|t \bullet f\|_q = t^{-\frac{n(k+1)}{q}} \|f\|_q$ ,  $1 \leq q < \infty$ , and  $\|t \bullet f\|_\infty = \|f\|_\infty$ . A standard homogeneity argument gives

**Lemma 2.1.** *Let  $1 \leq p, q \leq \infty$ . Then*

$$\|T_{\mu_j}\|_{p,q} = 2^{\left(-\gamma - \frac{n(k+1)}{q} + \frac{n(k+1)}{p}\right)j} \|T_{\mu_0}\|_{p,q}$$

for all  $j \in \mathbb{Z}$ . Moreover, if  $T_\mu$  is bounded from  $L^p(\mathbb{R}^{2n})$  into  $L^q(\mathbb{R}^{2n})$  then  $\frac{1}{q} = \frac{1}{p} - \frac{\gamma}{n(k+1)}$ .

*Proof.* For  $(x, y) \in \mathbb{R}^{2n}$  a change of variable gives

$$\begin{aligned} T_{\mu_0}(2^{-j} \bullet f)(x, y) &= \int_{\mathbb{R}^n} (2^{-j} \bullet f)(x - w, y - \varphi(w)) \eta(w) |w|^{\gamma-n} dw \\ &= 2^{jn} \int_{\mathbb{R}^n} f(2^{-j}x - z, 2^{-jk}y - \varphi(z)) \eta(2^j z) |2^j z|^{\gamma-n} dz \\ &= 2^{j\gamma} (2^{-j} \bullet T_{\mu_j} f)(x, y). \end{aligned}$$

So

$$\|T_{\mu_j}\|_{p,q} = 2^{\left(-\gamma - \frac{n(k+1)}{q} + \frac{n(k+1)}{p}\right)j} \|T_{\mu_0}\|_{p,q}$$

and the first assertion of the lemma follows. On the other hand, if  $T_\mu$  is bounded then  $\sup_{j \in \mathbb{Z}} \|T_{\mu_j}\|_{p,q} < \infty$  and so  $-\gamma - \frac{n(k+1)}{q} + \frac{n(k+1)}{p} = 0$ .  $\square$

**Remark 2.2.** Let  $D$  be the intersection, in the  $(\frac{1}{p}, \frac{1}{q})$  plane, of the lines  $\frac{1}{q} = \frac{2}{p} - 1$ ,  $\frac{1}{q} = \frac{1}{p} - \frac{\gamma}{n(k+1)}$  and let  $D'$  be its symmetric with respect to the non principal diagonal. So  $D = (1 - \frac{\gamma}{n(k+1)}, 1 - \frac{2\gamma}{n(k+1)})$  and  $D' = (\frac{2\gamma}{n(k+1)}, \frac{\gamma}{n(k+1)})$ . Then (1.1), (1.2) and Lemma 2.1 imply that  $E_\mu$  is the empty set for  $\gamma > n(k+1)/3$  and that, for  $\gamma \leq n(k+1)/3$ ,  $E_\mu$  is contained in the closed segment with endpoints  $D$  and  $D'$ .

Let  $\nu_0$  be the Borel measure given by  $\nu_0(E) = \int \chi_E(w, \varphi(w)) \eta(w) dw$ . Then Theorem 3 in [2] and a compactness argument imply that  $(\frac{2}{3}, \frac{1}{3}) \in E_{\nu_0}$ . Now  $T_{\mu_0} f \leq c T_{\nu_0} f$  for  $f \geq 0$ , thus  $(\frac{2}{3}, \frac{1}{3}) \in E_{\mu_0}$ . Since  $(1, 1) \in E_{\mu_0}$ , the Riesz Thorin theorem implies that if  $\gamma \leq n(k+1)/3$  then  $D$  belongs to  $E_{\mu_0}$ . Moreover, for these  $\gamma$ , if  $p_D, q_D$  are given by  $D = (\frac{1}{p_D}, \frac{1}{q_D})$ , Lemma 2.1 says that there exists  $c$  independent of  $j$  such that

$$(2.3) \quad \|T_{\mu_j}\|_{p_D, q_D} \leq c$$

for all  $j \in \mathbb{Z}$ .

### 3. $L^p$ - $L^q$ ESTIMATES

In order to study  $E_\mu$ , we will assume in this section that  $\varphi$  satisfies the hypotheses 1) and 2) stated in the introduction.

We modify, to our actual setting, Christ's arguments developed in [1], involving a Littlewood Paley decomposition of the operator. Decompositions of this kind have been used also in [6] to study fractional measures supported on curves and in [3] to study fractional measures supported on the graphs of holomorphic functions of one complex variable.

Let us consider the Fourier transform  $\widehat{\mu}_0$ . For  $\xi = (\xi_1, \dots, \xi_{2n}) \in \mathbb{R}^{2n}$  we put  $\xi' = (\xi_1, \dots, \xi_n)$ ,  $\xi'' = (\xi_{n+1}, \dots, \xi_{2n})$ , then

$$\widehat{\mu}_0(\xi) = \int_{\mathbb{R}^n} e^{-i\langle \xi', w \rangle - i\langle \xi'', \varphi(w) \rangle} \eta(w) |w|^{\gamma-n} dw.$$

For a fixed  $\xi$ , let  $\Phi(w) = \langle \xi', w \rangle + \langle \xi'', \varphi(w) \rangle$ ,  $w \in \mathbb{R}^n$ . Suppose that  $\Phi$  has a critical point  $w$  belonging to the support of  $\eta$ , then  $\xi_j + \sum_{k=1}^n \xi_{n+k} \frac{\partial \varphi_k}{\partial w_j}(w) = 0$  for  $j = 1, \dots, n$ . Now, the jacobian matrix of  $\varphi$  is continuous with a continuous inverse, hence there exist two positive constants  $c_1, c_2$  independent of  $\xi$  such that  $\xi$  belongs to the interior of the cone  $\Gamma_0 = \{\xi \in \mathbb{R}^{2n} : c_1 |\xi''| \leq |\xi'| \leq c_2 |\xi''|\}$ .

Let  $m_0$  be a function belonging to  $C^\infty(\mathbb{R}^{2n} - \{0\})$  homogeneous of degree zero with respect to the Euclidean dilations on  $\mathbb{R}^{2n}$  such that  $\text{supp}(m_0) \subset \Gamma_0$  and let  $m_j(y) = m_0(2^{-j} \bullet y)$ . Moreover, modifying if necessary  $c_1$  and  $c_2$ ,  $m_0$  can be chosen such that  $\{m_j\}_{j \in \mathbb{Z}}$  is a  $C^\infty$  partition of the unity in  $\mathbb{R}^{2n}$  minus the subspaces  $\xi' = 0$ ,  $\xi'' = 0$ . Let  $Q_j$  be the operator with the multiplier  $m_j$  and let  $C_0$  be a large constant such that  $\widetilde{m}_j = \sum_{|i-j| \leq C_0} m_i$  is identically one on  $2^j \bullet \Gamma_0$ . We define  $\widetilde{Q}_j = \sum_{|i-j| \leq C_0} Q_i$ . Let  $h \in C_c^\infty(\mathbb{R}^{2n})$  be identically one in a neighbourhood of the origin. Taking account

of Proposition 4 in [8] p. 341 and of the above observation about the critical points of  $\Phi$ , we note that

$$(3.1) \quad \widehat{\mu}_0(1-h)(1-\widetilde{m}_0) \in S(\mathbb{R}^{2n}).$$

Let  $h_j(y) = h(2^{-j} \bullet y)$  and let  $P_j$  be the Fourier multiplier operator with the symbol  $h_j$ . We will need the following three lemmas. They are proved for the case  $n = 2$  in [3] (Remarks 2.11, 2.12 and 2.13). The same proofs hold, with the obvious changes, for an arbitrary  $n$ .

**Lemma 3.2.** *Let  $\{\sigma_j\}_{j \in \mathbb{Z}}$  be a sequence of positive measures on  $\mathbb{R}^{2n}$ , and let  $T_j f = \sigma_j * f$  for  $f \in S(\mathbb{R}^{2n})$ . Suppose  $1 < p \leq 2$  and  $p \leq q < \infty$ . If there exists  $A > 0$  such that  $\sup_{j \in \mathbb{Z}} \|T_j\|_{p,q} \leq A$ ,  $\left\| \sum_{-J \leq j \leq J} T_j P_j \right\|_{p,q} \leq A$  and  $\left\| \sum_{-J \leq j \leq J} T_j (I - P_j) (I - \widetilde{Q}_j) \right\|_{p,q} \leq A$  for all  $J \in \mathbb{N}$ , then there exists  $c > 0$  independent of  $A, J$  and  $\{\sigma_j\}_{j \in \mathbb{Z}}$ , such that*

$$\left\| \sum_{-J \leq j \leq J} T_j \right\|_{p,q} \leq cA.$$

**Lemma 3.3.** *The kernel of the convolution operator*

$$\sum_{-J \leq j \leq J} T_{\mu_j} (I - P_j) (I - \widetilde{Q}_j)$$

*belongs to weak- $L^{\frac{n(k+1)}{n(k+1)-\gamma}}(\mathbb{R}^{2n})$  with the weak constant independent of  $J$ .*

**Lemma 3.4.** *The kernel of the convolution operator  $\sum_{-J \leq j \leq J} T_{\mu_j} P_j$  belongs to weak- $L^{\frac{n(k+1)}{n(k+1)-\gamma}}(\mathbb{R}^{2n})$  with the weak constant independent of  $J$ .*

**Theorem 3.5.** *If  $\gamma \leq n(k+1)/3$  then  $E_\mu$  is the closed segment with endpoints  $D$  and  $D'$ .*

**Proof.** Taking into account the considerations stated in the introduction, it is enough to check that  $D \in E_\mu$ . Lemmas 3.3, 3.4 and weak Young's inequality imply that there exists  $A$  independent of  $J$  such that

$$\left\| \sum_{-J \leq j \leq J} T_{\mu_j} P_j \right\|_{p_D, q_D} \leq A \quad \text{and} \quad \left\| \sum_{-J \leq j \leq J} T_{\mu_j} (I - P_j) (I - \widetilde{Q}_j) \right\|_{p_D, q_D} \leq A.$$

By virtue of (2.3), Lemma 3.2, and of the fact that  $T_\mu f \leq \sum_{j \in \mathbb{Z}} T_{\mu_j} f$  for  $f \geq 0$ , the theorem follows.  $\square$

Now we consider a local version of the problem, that is to say the study of the type set corresponding to the convolution operator  $T_\sigma$  with the Borel measure given by

$$\sigma(E) = \int_{|x| \leq 1} \chi_E(x, \varphi(x)) |x|^{\gamma-n} dx$$

with  $\gamma > 0$ .

**Theorem 3.6.** *If  $\gamma > n(k+1)/3$ , then  $E_\sigma$  is the triangular region with vertices  $(\frac{2}{3}, \frac{1}{3})$ ,  $(0, 0)$  and  $(1, 1)$ .*

*If  $\gamma \leq n(k+1)/3$  then  $E_\sigma$  is the closed polygonal region with vertices  $D$ ,  $D'$ ,  $(0, 0)$  and  $(1, 1)$ .*

*Proof.*  $E_\mu \subset E_\sigma$ . Since  $E_\sigma$  is a convex set symmetric with respect to the non principal diagonal and since  $\sigma$  is a finite measure,  $(1, 1)$  and  $(0, 0)$  belong to  $E_\sigma$ . On the other hand, the constrains (1.1) and (1.2) hold for  $E_\sigma$ . Moreover, Lemma 2.1 implies that if  $(\frac{1}{p}, \frac{1}{q}) \in E_\sigma$ , hence  $\frac{1}{q} \geq \frac{1}{p} - \frac{\gamma}{n(k+1)}$ . Thus the case  $\gamma \leq n(k+1)/3$  follows from Theorem 3.5.

If  $\gamma > n(k+1)/3$ ,  $(\frac{2}{3}, \frac{1}{3})$  lies above the line  $\frac{1}{q} = \frac{1}{p} - \frac{\gamma}{n(k+1)}$  and we have noted in Section 2 that  $(\frac{2}{3}, \frac{1}{3})$  belongs to  $E_{\mu_0}$ , so Lemma 2.1 implies that  $(\frac{2}{3}, \frac{1}{3}) \in E_\sigma$ .  $\square$

**Example 3.7.** Let us consider  $\mathbb{R}^2 \simeq C$  and  $\mathbb{R}^4 \simeq C^2$  via  $(x_1, x_2) \rightarrow x_1 + ix_2$  and  $(x_1, x_2, x_3, x_4) \rightarrow (x_1 + ix_2, x_3 + ix_4)$ , respectively. Let  $a \in C - \{0\}$  and let  $\varphi: C \rightarrow C$  be given by  $\varphi(z) = az^k$ ,  $k \geq 2$ . So  $d\varphi(z)w = kaz^{k-1}w$  and  $d^2\varphi(z)(w, \tilde{w}) = k(k-1)az^{k-2}w\tilde{w}$  for  $w, \tilde{w} \in C$ . So  $\varphi$  satisfies the assumptions 1) and 2) in the introduction. So, Theorem 3.5 says that for  $0 < \gamma \leq 2(k+1)/3$ ,  $E_\mu$  is the closed segment with endpoints  $(1 - \frac{\gamma}{2(k+1)}, 1 - \frac{\gamma}{1+k})$  and  $(\frac{\gamma}{1+k}, \frac{\gamma}{2(k+1)})$ .

#### 4. QUADRATIC FUNCTIONS IN $\mathbb{R}^2$

As in [2], we consider quadratic functions  $\varphi: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  given by  $\varphi(x) = \Phi(x, x)$  where  $\Phi: \mathbb{R}^2 \times \mathbb{R}^2 \rightarrow \mathbb{R}^2$  is a symmetric bilinear function. Two such functions  $\varphi$  and  $\tilde{\varphi}$  are equivalent if there exist linear automorphisms  $\alpha, \beta$  such that  $\varphi(x) = \alpha(\tilde{\varphi}(\beta(x)))$ . Thus equivalent functions yield to the same  $E_\mu$ . It is pointed in [2] that each equivalence class contains exactly one of the following canonical forms:

- I)  $\varphi(x) = (0, 0)$ ,
- II)  $\varphi(x) = (\frac{1}{2}x_1^2, 0)$ ,
- III)  $\varphi(x) = (\frac{1}{2}x_1^2 + \frac{1}{2}x_2^2, 0)$ ,
- IV)  $\varphi(x) = (x_1x_2, \frac{1}{2}x_2^2)$ ,

- V)  $\varphi(x) = (\frac{1}{2}x_1^2, \frac{1}{2}x_2^2)$ ,  
 VI)  $\varphi(x) = (\frac{1}{2}(x_1^2 - x_2^2), x_1(ax_1 + x_2))$ ,  $0 \leq a < 1$ .

In each case we have, as in Remark 2.2, that  $E_\mu = \emptyset$  for  $\gamma > 2$ . In the first three cases, the support of the measure is contained in a hyperplane, so  $E_\mu$  reduces to the empty set. In the fifth case, from [2] we obtain that  $(\frac{2}{3}, \frac{1}{3}) \in E_{\nu_0} = E_{\mu_0}$ . Lemma 2.1 implies that  $\{T_{\mu_j}\}_{j \in \mathbb{Z}}$  is a sequence of operators uniformly bounded on  $D$ . Thus we can proceed as in the proof of Theorem 3.5 to obtain that, for  $0 < \gamma \leq 2$ ,  $E_\mu$  is the closed segment with endpoints  $D$  and  $D'$ . In the sixth case, a computation shows that  $\varphi$  satisfies the assumptions 1) and 2) stated in the introduction and so  $E_\mu$  is the same closed segment. In the fourth case, since  $(x_1x_2, \frac{1}{2}x_2^2)$  is equivalent to  $(x_1^2, x_1x_2)$  we will assume that  $\varphi = (x_1^2, x_1x_2)$ . In the local case we can obtain for this  $\varphi$  the following result:

**Theorem 4.1.** Assume  $\varphi(x) = (x_1^2, x_1x_2)$ .

a) If  $\gamma \geq 3/2$ , then  $E_\sigma$  contains the closed triangular region with vertices  $(0, 0)$ ,  $(1, 1)$  and  $(\frac{5}{8}, \frac{3}{8})$ . Moreover, the point  $(\frac{5}{8}, \frac{3}{8})$  is the lowest point of  $E_\sigma$  lying on the non principal diagonal.

b) If  $0 < \gamma < 3/2$ , then  $E_\sigma$  contains the closed polygonal region with vertices  $(0, 0)$ ,  $(1, 1)$ ,  $(1 - \frac{1}{4}\gamma, 1 - \frac{5}{12}\gamma)$  and  $(\frac{5}{12}\gamma, \frac{1}{4}\gamma)$ . Moreover, the point  $(\frac{1}{2} + \frac{\gamma}{12}, \frac{1}{2} - \frac{\gamma}{12})$  is the lowest point of  $E_\sigma$  lying on the non principal diagonal.

**Proof.** We take a rectangle  $R \subset \{x \in \mathbb{R}^2 : |x| < 1\}$  of the form  $[-\frac{1}{2}, \frac{1}{2}] \times [a, b]$ ,  $a > 0$ . We define the measure  $\mu_R(E) = \int_R \chi_E(x_1, x_2, \varphi(x_1, x_2)) dx_1 dx_2$  and denote by  $T_R$  the corresponding convolution operator. We now define  $t \circ (x_1, \dots, x_4) = (tx_1, x_2, t^2x_3, tx_4)$  and  $t \circ f(x) = f(t \circ x)$ . It is easy to see that for  $f \geq 0$  and  $j \in \mathbb{N}$ ,  $T_R f(2^j \circ x) \leq 2^j T_R(2^j \circ f)(x)$ , and so if  $T_R$  is bounded from  $L^p(\mathbb{R}^4)$  into  $L^q(\mathbb{R}^4)$ , then  $\frac{1}{q} \geq \frac{1}{p} - \frac{1}{4}$ . Now, for  $f \geq 0$ ,  $T_R f(x) \leq c_\gamma T_\sigma f(x)$ , hence  $E_\sigma \subset \{(\frac{1}{p}, \frac{1}{q}) : \frac{1}{q} \geq \frac{1}{p} - \frac{1}{4}\}$ . Lemma 2.1 implies that  $E_\sigma \subset \{(\frac{1}{p}, \frac{1}{q}) : \frac{1}{q} \geq \frac{1}{p} - \frac{\gamma}{6}\}$ .

We consider the Borel measure  $\nu$  on  $\mathbb{R}^4$  given by

$$\nu(E) = \int \chi_E(x_1, x_2, x_1^2, x_1x_2) \Psi(x_1, x_2) dx_1 dx_2$$

where  $\Psi(x_1, x_2)$  is a function in  $C_c^\infty(\mathbb{R}^2)$  satisfying  $0 \leq \Psi \leq 1$  and  $\Psi(x) = 1$  for  $|x| \leq 2$ . We will check now that  $(\frac{5}{8}, \frac{3}{8})$  belongs to  $E_\nu$ .

A direct application of Corollary to Proposition 5, p. 342 in [7] gives, for  $\xi = (\xi_1, \xi_2, \xi_3, \xi_4)$ ,

$$(4.2) \quad |\widehat{\nu}(\xi)| \leq \frac{c}{|\xi_3|^{1/2}}.$$



On the other hand, let  $U_{\xi_3, \xi_4} \in S'(\mathbb{R}^2)$  be given by

$$\langle U_{\xi_3, \xi_4}, f \rangle = \int e^{-i(\xi_3 x_1^2 + \xi_4 x_1 x_2)} f(x_1, x_2) dx_1 dx_2.$$

Now,  $\xi_3 x_1^2 + \xi_4 x_1 x_2$  is a quadratic form in  $(x_1, x_2)$ , so  $\widehat{U}_{\xi_3, \xi_4}$  is a locally integrable and explicitly computable function (see e.g. [5], p. 349). Moreover,

$$|\widehat{U}_{\xi_3, \xi_4}(\xi_1, \xi_2)| \leq \frac{c}{|\det(A)|^{1/2}} = \frac{c}{|\xi_4|}$$

with  $c$  independent of  $\xi$ , where  $A$  is the symmetric matrix defining the quadratic form  $\xi_3 x_1^2 + \xi_4 x_1 x_2$ . Now

$$\begin{aligned} |\widehat{\nu}(\xi)| &= |(\Psi U_{\xi_3, \xi_4})^\wedge(\xi_1, \xi_2)| = |(\widehat{\Psi} * \widehat{U}_{\xi_3, \xi_4})(\xi_1, \xi_2)| \\ &\leq \|\widehat{\Psi} * \widehat{U}_{\xi_3, \xi_4}\|_\infty \leq \|\widehat{\Psi}\|_1 \|\widehat{U}_{\xi_3, \xi_4}\|_\infty \leq \frac{c}{|\xi_4|}. \end{aligned}$$

From this inequality and (4.2) we obtain

$$(4.3) \quad |\widehat{\nu}(\xi)| \leq \frac{c}{|\xi_3|^{1/3} |\xi_4|^{1/3}}.$$

Now, for  $z \in C$ , we consider the analytic family of distributions  $I_z$  which for  $\text{Re}(z) > 0$  are given by  $I_z(t) = \frac{2^{-z/2}}{\Gamma(z/2)} |t|^{z-1}$ ,  $t \in \mathbb{R}$ . Let  $J_z = \delta \otimes \delta \otimes I_z \otimes I_z$ , hence  $\widehat{J}_z = 1 \otimes 1 \otimes I_{1-z} \otimes I_{1-z}$ . We define the analytic family of operators given by  $T_z f = \nu * J_z * f$ ,  $f \in S(\mathbb{R}^4)$ . It is easy to show that if  $\text{Re}(z) = 1$  then  $\|T_z\|_{1, \infty} = \|\nu * J_z\|_\infty \leq c_z$ . Also, for  $\text{Re}(z) = -\frac{1}{3}$ , (4.3) implies that  $\|T_z\|_{2, 2} \leq \|\widehat{\nu} \widehat{J}_z\|_\infty \leq c'_z$ . Now we apply the complex interpolation theorem (see [S-W], p. 205) in the strip  $-\frac{1}{3} \leq \text{Re}(z) \leq 1$ . Since  $T_0 = cT_\nu$  it follows that  $(\frac{5}{8}, \frac{3}{8})$  belongs to  $E_\nu$ .

To prove a) it remains to check that  $(\frac{5}{8}, \frac{3}{8})$  belongs to  $E_\sigma$ . Now, if  $\gamma \geq 2$  and  $f \geq 0$ , then  $T_\sigma f(x) \leq T_\nu f(x)$  and so in this case a) follows. For  $3/2 \leq \gamma < 2$ , we use Christ's argument as in Section 2. In fact, we observe that  $T_{\mu_0} f(x) \leq cT_\nu f(x)$  and then  $(\frac{5}{8}, \frac{3}{8})$  belongs to  $E_{\mu_0}$ . Lemma 2.1 implies that  $\{T_{\mu_j}\}_{j \in \mathbb{Z}}$  are uniformly bounded operators from  $L^{8/5}$  into  $L^{8/3}$ .

To prove b) we proceed as in the case  $\frac{3}{2} \leq \gamma < 2$ . Since  $\gamma < \frac{3}{2}$  we interpolate between  $(\frac{5}{8}, \frac{3}{8})$  and  $(1, 1)$ . The Riesz Thorin theorem implies that  $(1 - \frac{1}{4}\gamma, 1 - \frac{5}{12}\gamma) \in E_{\mu_0}$ . We invoke again Lemma 2.1 to obtain that  $\{T_{\mu_j}\}_{j \in \mathbb{Z}}$  are uniformly bounded operators from  $L^p$  into  $L^q$  if  $\frac{1}{p} = 1 - \frac{1}{4}\gamma$  and  $\frac{1}{q} = 1 - \frac{5}{12}\gamma$ . So we obtain that  $(1 - \frac{1}{4}\gamma, 1 - \frac{5}{12}\gamma) \in E_\sigma$ .  $\square$

Now, we return to the global case IV). We have

**Theorem 4.4.** *Assume  $\varphi(x) = (x_1^2, x_1x_2)$  and  $\gamma > 0$ . Then  $E_\mu = \emptyset$  for  $\gamma > \frac{3}{2}$  and, for  $\gamma \leq \frac{3}{2}$ ,  $E_\mu$  is a segment that contains the closed segment with endpoints  $(1 - \frac{1}{4}\gamma, 1 - \frac{5}{12}\gamma)$  and  $(\frac{5}{12}\gamma, \frac{1}{4}\gamma)$ .*

*Proof.*  $E_\mu \subset E_\sigma$ , and  $(\frac{1}{p}, \frac{1}{q}) \in E_\sigma$  implies  $\frac{1}{q} \geq \frac{1}{p} - \frac{1}{4}$  (see the proof of Theorem 4.1), and by Lemma 2.1,  $(\frac{1}{p}, \frac{1}{q}) \in E_\mu$  implies  $\frac{1}{q} = \frac{1}{p} - \frac{\gamma}{6}$ , so the case  $\gamma > 3/2$  follows. If  $\gamma \leq 3/2$ , then, as before,  $\{T_{\mu_j}\}_{j \in \mathbb{Z}}$  are uniformly bounded operators from  $L^p$  into  $L^q$  if  $\frac{1}{p} = 1 - \frac{1}{4}\gamma$  and  $\frac{1}{q} = 1 - \frac{5}{12}\gamma$ . Now we can proceed as in the proof of Theorem 3.5 in order to see that  $(1 - \frac{1}{4}\gamma, 1 - \frac{5}{12}\gamma) \in E_\mu$ . Finally, the proof of the theorem follows by the convexity and symmetry of  $E_\mu$  and by Lemma 2.1.  $\square$

**Acknowledgement.** We wish to express our thanks to Prof. Fulvio Ricci for his useful suggestions.

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