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## REMARKS ON A NONLINEAR THEORY OF THIN ELASTIC PLATES

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In this paper we shall discuss a certain two-dimensional boundary value problem which arises when we investigate the equilibrium of a built-in plate lying in the plane  $xy$  and subjected to a load  $q$  perpendicular to the plane  $xy$  and to forces  $g_1, g_2$  acting in the plane  $xy$ . When denoting the axes in a three-dimensional Euclidean space by  $x, y, z$  then the  $u, v, w$  will denote the displacements parallel to them, respectively. In the paper we shall work in real spaces and with real functions.

**Terminology and notation.** For simplicity let  $\Omega$  be a bounded region in the plane  $xy$  with a Lipschitz boundary. Let us denote by  $\varepsilon(\Omega)$  the space of infinitely many times differentiable functions on  $\Omega$  which are continuously prolongable with all their derivatives to  $\Omega$ .  $\mathcal{D}(\Omega)$  are functions of  $\varepsilon(\Omega)$  with a compact support in  $\Omega$ . Let  $W_p^{(k)}(\Omega)$  be a system of functions having all generalized derivatives up to the  $k$ -th order integrable with the  $p$ -th power in  $\Omega$ .  $W_p^{(k)}(\Omega)$  with the norm  $\|u\|_{W_p^{(k)}(\Omega)} = \left( \sum_{i=0}^k u^{(i)} \|_{L_p(\Omega)}^p \right)^{1/p}$  (addition through all derivatives) is a Banach space. Let the closure of  $\mathcal{D}(\Omega)$  in the  $W_p^{(k)}(\Omega)$  norm be denoted by  $\bar{W}_p^{(k)}(\Omega)$ . In the following we shall write  $W_p^{(k)}$  instead of  $W_p^{(k)}(\Omega)$ .

Let  $W = W_2^{(2)} \times W_2^{(1)} \times W_2^{(1)}$  (a Cartesian product of spaces) and let us define for  $\vec{u} = (w, u, v) \in W$  (where  $w \in W_2^{(2)}, u \in W_2^{(1)}, v \in W_2^{(1)}$ ) the norm by

$$\|\vec{u}\|_W^2 = \|w\|_{W_2^{(2)}}^2 + \|u\|_{W_2^{(1)}}^2 + \|v\|_{W_2^{(1)}}^2.$$

Put  $V = W_2^{(2)} \times W_2^{(1)} \times W_2^{(1)}$ . Let  $P_1$  be the space of all polynomials of the order  $\leq 1$  and  $P \subset P_1 \times P_1 \times P_1$ ,  $P$  generated by the vectors  $(0, 1, 0), (0, 0, 1), (0, y, -x)$ . That means the polynomials in question are of the type  $\vec{p} = (0, a + \lambda y, b - \lambda x)$ . Let us denote by  $V/P$  the space of classes  $\tilde{u}$  of functions  $\vec{u} \in V$ ;  $\vec{u}, \vec{v} \in \tilde{u} \Leftrightarrow \vec{u} - \vec{v} \in P$ . The norm in  $V/P$  we define as usual

$$\|\tilde{u}\|_{V/P} = \inf_{\vec{u} \in \tilde{u}} \|\vec{u}\|_V.$$

**Statement 1.**  $V/P$  with this norm is a Hilbert space (hence  $V/P$  is reflexive)  
 Proof. Let  $V = P + R$  (direct sum). If  $\tilde{u} \in V/P$ , there is only one element

$\vec{u}_r \in R$  such that for any  $\vec{u} \in \tilde{U}$  there is  $\vec{u} = \vec{u}_p + \vec{u}_r$ . In particular,  $\vec{u}_r \in \tilde{U}$  (because  $\vec{u} = \vec{u}_r = \vec{u}_p \in P$  for  $\vec{u} \in \tilde{U}$ ).

Now it is clear that the scalar product in  $V|P$  may be defined in the following way

$$(\tilde{u}, \tilde{v})_{V|P} = (\vec{u}_r, \vec{v}_r)_V$$

and we have  $(\tilde{u}, \tilde{u})_{V|P} = \|\tilde{u}\|_{V|P}^2 = \inf_{\vec{u} \in \tilde{U}} \|\vec{u}\|_V^2 = \inf_{\vec{u} \in \tilde{U}} (\|\vec{u}_p\|^2 + \|\vec{u}_r\|^2) = \|\vec{u}_r\|_V^2$ .

Now, let  $q \in L_2(\Omega)$ ,  $g_1 \in L_2(\dot{\Omega})$ ,  $g_2 \in L_2(\dot{\Omega})$  where by  $\dot{\Omega}$  we denote the boundary of  $\Omega$ .

We shall study the existence of a weak solution of the following system of equations (system which describes the physical problem mentioned at the beginning)

$$(1) \quad \begin{aligned} D \Delta^2 w &= \frac{\partial^2 w}{\partial x^2} \sigma_x + 2 \frac{\partial^2 w}{\partial x \partial y} \tau + \frac{\partial^2 w}{\partial y^2} \sigma_y + \frac{q}{h}, \\ \frac{\partial \sigma}{\partial x} + \frac{\partial \tau}{\partial y} &= 0, \\ \frac{\partial \tau}{\partial x} + \frac{\partial \sigma_y}{\partial y} &= 0, \end{aligned}$$

where

$$\begin{aligned} \sigma_x &= \frac{E}{1 - \mu^2} \left[ \frac{\partial u}{\partial x} + \frac{1}{2} \left( \frac{\partial w}{\partial x} \right)^2 + \mu \left( \frac{\partial v}{\partial y} + \frac{1}{2} \left( \frac{\partial w}{\partial y} \right)^2 \right) \right], \\ \sigma_y &= \frac{E}{1 - \mu^2} \left[ \frac{\partial v}{\partial y} + \frac{1}{2} \left( \frac{\partial w}{\partial y} \right)^2 + \mu \left( \frac{\partial u}{\partial x} + \frac{1}{2} \left( \frac{\partial w}{\partial x} \right)^2 \right) \right], \\ \tau &= \frac{E}{2(1 + \mu)} \left[ \frac{\partial u}{\partial y} + \frac{\partial v}{\partial x} + \frac{\partial w}{\partial x} \frac{\partial w}{\partial y} \right], \end{aligned}$$

$h$  the plate thickness

$E$  the compression modulus of elasticity

$\mu$  the Poisson number

$D$  the plate stiffness

under the boundary conditions

$$w = \frac{\partial w}{\partial n} = 0, \quad \text{on } \dot{\Omega},$$

$$\left. \begin{aligned} \sigma_x n_x + \tau n_y = g_1 \\ \tau n_x + \sigma_y n_y = g_2 \end{aligned} \right\} \text{ on } \dot{\Omega},$$

$n_x, n_y$  are the components of a normal to  $\dot{\Omega}$ .

Remark. The equations (1) are to be satisfied in the sense of distributions.

The vector  $(w, u, v) \in V$  is a weak solution of the given boundary value problem if for any vector  $(\tilde{w}, \tilde{u}, \tilde{v}) \in V$  there is

$$\begin{aligned} & \int_{\Omega} D \left( \frac{\partial^2 w}{\partial x^2} \frac{\partial^2 \tilde{w}}{\partial x^2} + 2 \frac{\partial^2 w}{\partial x \partial y} \frac{\partial^2 \tilde{w}}{\partial x \partial y} + \frac{\partial^2 w}{\partial y^2} \frac{\partial^2 \tilde{w}}{\partial y^2} \right) dx dy \\ & \int_{\Omega} \left( \frac{\partial^2 w}{\partial x^2} \sigma_x + \frac{\partial^2 w}{\partial y^2} \sigma_y + 2 \frac{\partial^2 w}{\partial x \partial y} \tau \right) \tilde{w} dx dy + \\ & + \int_{\Omega} \left( \sigma_x \frac{\partial \tilde{u}}{\partial x} + \tau \frac{\partial \tilde{u}}{\partial y} + \tau \frac{\partial \tilde{v}}{\partial x} + \sigma_y \frac{\partial \tilde{v}}{\partial y} \right) dx dy \\ & \quad - \int_{\Omega} q \tilde{w} dx dy - \int_{\dot{\Omega}} g_1 \tilde{u} ds - \int_{\dot{\Omega}} g_2 \tilde{v} ds = 0. \end{aligned}$$

(In general, for the definition of a weak solution see e. g. [1]).

Rearranging the second integral (using integration by parts) we obtain that the vector  $\vec{\alpha} = (w, u, v) \in V$  is a weak solution of the given problem if the following equation holds for any  $\vec{\beta} = (\tilde{w}, \tilde{u}, \tilde{v}) \in V$

$$\begin{aligned} (2) \quad F(x)\vec{\beta} &= \int_{\Omega} D \left( \frac{\partial^2 w}{\partial x^2} \frac{\partial^2 \tilde{w}}{\partial x^2} + 2 \frac{\partial^2 w}{\partial x \partial y} \frac{\partial^2 \tilde{w}}{\partial x \partial y} + \frac{\partial^2 w}{\partial y^2} \frac{\partial^2 \tilde{w}}{\partial y^2} \right) dx dy + \\ & + \int_{\Omega} \left( \frac{\partial w}{\partial x} \frac{\partial \tilde{w}}{\partial x} \sigma_x + \frac{\partial w}{\partial y} \frac{\partial \tilde{w}}{\partial y} \sigma_y + \frac{\partial w}{\partial x} \frac{\partial \tilde{w}}{\partial y} \tau + \frac{\partial w}{\partial y} \frac{\partial \tilde{w}}{\partial x} \tau \right) dx dy + \\ & + \int_{\Omega} \left( \sigma_x \frac{\partial \tilde{u}}{\partial x} + \tau \frac{\partial \tilde{u}}{\partial y} + \tau \frac{\partial \tilde{v}}{\partial x} + \sigma_y \frac{\partial \tilde{v}}{\partial y} \right) dx dy \\ & \quad - \int_{\Omega} q \tilde{w} dx dy - \int_{\dot{\Omega}} g_1 \tilde{u} ds - \int_{\dot{\Omega}} g_2 \tilde{v} ds = 0. \end{aligned}$$

It is easy to verify that the operator  $F(\vec{\alpha}) \in [V \rightarrow V^*]$  defined by this equation

is the potential (see [2]). Hence there exists a functional  $g(\vec{\alpha})$  for which the following condition must be satisfied

$$\text{grad } g(\vec{\alpha}) = F(\vec{\alpha}).$$

The equation  $F(\vec{\alpha}) = 0, \forall \vec{\alpha} \in V$  now implies that we can investigate critical points of  $g(\vec{\alpha})$  instead of solving (2). By a calculation it is found that

$$(3) \quad g(\vec{\alpha}) = \int_{\Omega} \frac{D}{2h} \left[ \left( \frac{\partial^2 w}{\partial x^2} \right)^2 + 2 \left( \frac{\partial^2 w}{\partial x \partial y} \right)^2 + \left( \frac{\partial^2 w}{\partial y^2} \right)^2 \right] dx dy +$$

$$+ \int_{\Omega} \frac{E}{2(1-\mu^2)} \left[ \mu \left( \frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} \right)^2 + (1-\mu) \left[ \left( \frac{\partial u}{\partial x} \right)^2 + \left( \frac{\partial v}{\partial y} \right)^2 \right] + \right.$$

$$+ \frac{1}{2} \mu \left( \frac{\partial u}{\partial y} + \frac{\partial v}{\partial x} \right)^2 \left. \right] dx dy + \int_{\Omega} \frac{E}{8(1-\mu^2)} \left[ \left( \frac{\partial w}{\partial x} \right)^2 + \left( \frac{\partial w}{\partial y} \right)^2 \right] dx dy +$$

$$+ \int_{\Omega} \frac{E}{2(1-\mu^2)} \left[ \frac{\partial u}{\partial x} \left( \frac{\partial w}{\partial x} \right)^2 + \frac{\partial v}{\partial y} \left( \frac{\partial w}{\partial y} \right)^2 + \mu \frac{\partial v}{\partial y} \left( \frac{\partial w}{\partial x} \right)^2 + \mu \frac{\partial u}{\partial x} \left( \frac{\partial w}{\partial y} \right)^2 \right] dx dy +$$

$$+ \int_{\Omega} \frac{E}{2(1+\mu)} \left( \frac{\partial u}{\partial y} + \frac{\partial v}{\partial x} \right) \frac{\partial w}{\partial x} \frac{\partial w}{\partial y} dx dy - \frac{1}{h} \int_{\Omega} q w dx dy - \int_{\dot{\Omega}} g_1 u d\dot{\Omega} - \int_{\dot{\Omega}} g_2 v d\dot{\Omega}.$$

Let us denote the integrals on the right-hand side of (3) by  $J_1, \dots, J_8$  respectively so that  $g(\vec{\alpha}) = \sum_{j=1}^5 J_j - \sum_{j=6}^8 J_j$  and let us consider the functional  $f(\vec{\alpha}) = g(\alpha) + \sum_{j=6}^8 J_j$ .

In [2] it is shown that  $f(\vec{\alpha}), g(\vec{\alpha})$  are weakly lower semicontinuous on  $V$ . The functional  $g(\vec{\alpha})$  may further be written in the form

$$(4) \quad g(\vec{\alpha}) = \int_{\Omega} \frac{D}{2h} \left[ \left( \frac{\partial^2 w}{\partial x^2} \right)^2 + 2 \left( \frac{\partial^2 w}{\partial x \partial y} \right)^2 + \left( \frac{\partial^2 w}{\partial y^2} \right)^2 \right] dx dy +$$

$$+ \int_{\Omega} \frac{E_u}{2(1-\mu^2)} \left[ \left( \frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} \right)^2 + \frac{1}{2} \left( \frac{\partial w}{\partial x} \right)^2 + \frac{1}{2} \left( \frac{\partial w}{\partial y} \right)^2 \right]^2 dx dy +$$

$$+ \int_{\Omega} \frac{E}{2(1+\mu)} \left[ \left( \frac{\partial u}{\partial x} + \frac{1}{2} \left( \frac{\partial w}{\partial x} \right)^2 \right)^2 + \left( \frac{\partial v}{\partial y} + \frac{1}{2} \left( \frac{\partial w}{\partial y} \right)^2 \right)^2 \right] dx dy +$$

$$+ \int_{\Omega} 4(1 - \mu) \left[ \frac{\partial w}{\partial x} \frac{\partial w}{\partial y} + \left( \frac{\partial u}{\partial y} + \frac{\partial v}{\partial x} \right)^2 \right] dx dy$$

$$- \frac{1}{h} \int_{\Omega} q w \, dx dy - \int_{\Omega} g_1 u \, d\Omega - \int_{\Omega} g_2 v \, d\Omega .$$

In the following, let  $g_1, g_2$  be such elements of  $L_2(\Omega)$  that

$$\int_{\Omega} g_1(a + \lambda y) \, ds = 0, \quad \int_{\Omega} g_2(b - \lambda x) \, ds = 0$$

Now let us define the functional  $G(\vec{\alpha})$  in  $V P$  as follows for  $\vec{\alpha} \in V P$  putting  $G(\vec{\alpha}) = f(\vec{\alpha})$ , where  $\vec{\alpha} \in V$ ,  $\vec{\alpha} \in \vec{\alpha}$  is arbitrary. One can see from the form of the functional  $f(\vec{\alpha})$  that the definition is meaningful.

**Statement 2.**  $G(\vec{\alpha})$  is weakly lower semicontinuous on  $V P$ .

**Proof.** Let  $\vec{\alpha}_n \rightarrow \vec{\alpha}_0$  in  $V P$  (where the symbol  $\rightarrow$  denotes a weak convergence), i. e. for any  $\vec{u} \in V P$

$$(\vec{\alpha}_n, \vec{u})_{V P} \rightarrow (\vec{\alpha}_0, \vec{u})_{V P} .$$

According to the definition

$$(*) \quad (\vec{\alpha}_n, \vec{u})_{V P} = (\vec{\alpha}_{n,r}, \vec{u}_r)_{V'}; \quad (\vec{\alpha}_0, \vec{u})_{V P} = (\vec{\alpha}_{0,r}, \vec{u}_r)_{V'} .$$

Therefore it is sufficient to show

$$(\vec{\alpha}_{n,r}, \vec{u}_r) \rightarrow (\vec{\alpha}_{0,r}, \vec{u}_r) \text{ for any } \vec{u}_r \in V' .$$

(Namely, using the weak lower semicontinuity of  $f(\vec{\alpha})$  we obtain the desired result.)

This, however, follows by (\*) and by the fact that

$$\vec{u} = \vec{u}_p + \vec{u}_r, \quad \vec{u}_p \in P, \quad \vec{u}_r \in R, \quad P \perp R .$$

**Remark.** Let us use the notation  $U = (u, v)$ ; by the space  $V P$  we may understand the space  $W_2^{(2)} \times (W_2^{(1)})^2 / P'$ , where  $P'$  is the space of polynomials of the type  $\{a + \lambda y, b - \lambda x\}$ . Now, the integral  $J_1$  is equivalent to the norm of the element  $w$  in  $W_2^{(2)}$  (see e. g. [1]);  $J_2$  on the other hand is equivalent to the norm of the class  $\vec{U} = (\vec{u}, \vec{v})$  in  $(W_2^{(1)})^2 / P$ . Here, the inequality  $J_1 \leq c \|\vec{U}\|^2$  is evident and the inequality  $J_2 \geq c' \|\vec{U}\|^2$  can be obtained using Korn's inequality (see [3]). We shall therefore write  $\|w\|_{W_2^{(2)}}$  instead of  $J_1$  and  $\|\vec{U}\|^2$  instead of  $J_2$ .

**Theorem 1.** *There is*

$$\liminf_{\tilde{u} \rightarrow +\infty} \frac{G(\tilde{u})}{|\tilde{u}|} = c > 0.$$

*Proof.* From formula (3) we obtain

$$(5) \quad G(\tilde{u}) = |w|_{W^2}^2 + \tilde{U}|_{V/P} - R(\tilde{u}),$$

where  $R(\tilde{u}) > J_4 - J_5$  (because  $J_3 \geq 0$ ). From formula (4) we obtain

$$(6) \quad G(\tilde{u}) = |w|_{W^2}^2 + k(\tilde{u}),$$

where  $k(\tilde{u}) \geq 0$ . Let us estimate  $I_4 + I_5$  using Schwartz's inequality. (Note that  $w \in L_4$ ,  $\frac{cw}{cx} = \frac{\partial w}{\partial y} \in L_4$  and that  $|w|_{W^1} \leq c|w|_{W^2}$ , where  $c$  does not depend on  $w$ : these facts follow from the Sobolev imbedding theorems.) Let  $\vec{u} \in \tilde{u}$  be arbitrary. Then

$$J_4 \leq c_1(|u|_{W^2}^2 + |v|_{W^2}^2)^{1/2} |w|_{W^4}^2 \leq c'_1 \|U\| \|w\|_{W^2}^2,$$

$$J_5 \leq c_2(|u|_{W^4}^2 + |v|_{W^4}^2)^{1/2} \|w\|_{W^4}^2 \leq c'_2 \|U\| \|w\|_{W^2}^2,$$

so that we have

$$J_4 + J_5 \leq c \|U\| |w|_{W^2}^2 \text{ for any } \vec{u} \in \tilde{u},$$

i. e., 
$$J_4 - J_5 \leq c \|\tilde{U}\|_{V/P} \|w\|_{W^2}^2.$$

Let now  $\tilde{u} \in V/P$ ,  $r > 0$  (we can consider  $r = 1$ ).

$$G(\tilde{u}) \geq r^2 - c \|\tilde{U}\|_{V/P} |w|_{W^2}^2 \geq r^2 - cr |w|_{W^2}^2, \quad r(r - c|w|_{W^2}^2) \geq \alpha$$

for those  $\tilde{u}$  satisfying  $|w|_{W^2}^2 \leq (r - \alpha)/c$  (we can choose a convenient  $\alpha$ ), e. g.  $\alpha = 1$ ). In this case we can see that

$$\frac{G(\tilde{u})}{|\tilde{u}|} > \alpha.$$

If  $|w|_{W^2}^2 > \frac{r - \alpha}{c}$ , using formula (6) we obtain an estimate

$$G(\tilde{u}) \geq \frac{r - \alpha}{c} \quad k(\tilde{u}) \geq \frac{r - \alpha}{c},$$

so that

$$\frac{G(\tilde{u})}{|\tilde{u}|} \geq \frac{1 - \alpha}{c} > \frac{1 - \alpha}{c} = \frac{1 - \alpha}{c}$$

In any case we have

$$\frac{G(\tilde{u})}{\|\tilde{u}\|} \geq \min \left( \alpha, \frac{1 - \alpha}{c} \right).$$

**Theorem 2.** *If  $g_1 = g_2 = 0$ , then for any  $q \in L_2(\Omega)$  there exists a solution of the problem in question.*

**Proof.** When writing  $\frac{1}{h} \int_{\Omega} qw \, d\Omega = \langle w, q \rangle$  it is sufficient to prove

$$(*) \quad \liminf_{\|w\| \rightarrow \infty} (G(\tilde{u}) - \langle w, q \rangle) = +\infty$$

because  $G(\tilde{u}) - \langle w, q \rangle$  is a lower weakly semicontinuous functional in a reflexive Banach space  $V|P$ , thus by  $(*)$  it has an absolute minimum on  $V|P$  and the point that minimizes  $G(\tilde{u}) - \langle w, q \rangle$  is a solution of the given boundary value problem with  $g_1 = g_2 = 0$  (see e. g. [4]). Let us prove  $(*)$ .

For any  $K > 0$  we shall find  $R > 0$  such that for  $\|\tilde{u}\| \geq R$

$$(7) \quad G(\tilde{u}) - \langle w, q \rangle \geq K.$$

We have  $\|\tilde{u}\|^2 = \|w\|^2 + \|\tilde{U}\|^2$ ; let  $r_1 \geq \max(2\|q\|, \frac{K}{\|q\|})$ .

For  $\|w\|_{\dot{W}_1^2(\Omega)} \geq r_1$  using formula (6) we obtain

$$\begin{aligned} G(\tilde{u}) - \langle w, q \rangle &\geq \|w\|_{\dot{W}_1^2(\Omega)}^2 + k(\tilde{u}) - \|w\|_{\dot{W}_1^2(\Omega)} \|q\|_{L_2(\Omega)} \geq |w| (|w| - |q|) \geq \\ &\geq r_1(r_1 - \|q\|) \geq r_1\|q\| \geq K. \end{aligned}$$

If  $\|w\| \leq r_1$ , then using (5) we obtain

$$\begin{aligned} G(\tilde{u}) - \langle w, q \rangle &\geq \|\tilde{u}\|^2 - \|w\| \|q\| - c\|\tilde{U}\| \|w\|^2 \geq \|\tilde{U}\|^2 - r_1\|q\| - c|\tilde{U}| r_1^2 \geq \\ &\geq \|\tilde{U}\|(\|\tilde{U}\| - cr_1^2) - r_1\|q\|. \end{aligned}$$

If we now choose  $r_2 > 0$  such that

$$r_2(r_2 - cr_1^2) - r_1\|q\| \geq K,$$

then for  $\|\tilde{U}\| \geq r_2$  we have  $G(\tilde{u}) - \langle w, q \rangle \geq K$ .

Finally put  $R^2 = r_1^2 + r_2^2$ ; then for  $\|\tilde{u}\| \geq R$  there is  $\|\tilde{U}\|^2 \geq R^2 - |w|^2$  and for  $\|w\|^2 \geq r_1^2$  the relation (7) is true; for  $\|w\|^2 \leq r_1^2$  we have  $\|\tilde{U}\|^2 \geq r_1^2 + r_2^2 - r_1^2 = r_2^2$ , so that (7) is true again, what was to be proved.

In the following considerations we shall study a wider problem:

Let  $H$  be a Hilbert space and such that  $V|P$  is a subspace of  $H$ . Let  $F$  be a bounded linear functional on  $H$ . Instead of the symbol  $\tilde{u}$  we shall simply



write  $u$  and instead of  $G(\vec{u})$  we shall write  $f(\vec{u})$  (according to the definition of  $G(\vec{u})$ ).

We shall put

$$\begin{aligned}
 M_s &= \{F \in H^*; \liminf_{\|\vec{u}\|_{V/P} \rightarrow \infty} (f(\vec{u}) - (\vec{u}, F)) = +\infty\}; \\
 M_i &= \{F \in H^*; \liminf_{\|\vec{u}\|_{V/P} \rightarrow \infty} (f(\vec{u}) - (\vec{u}, F)) - c \neq \pm\infty\}; \\
 M_l &= \{F \in H^*; \liminf_{\|\vec{u}\|_{V/P} \rightarrow \infty} (f(\vec{u}) - (\vec{u}, F)) = -\infty\}.
 \end{aligned}$$

We shall show that  $M_s \neq \emptyset$ ; for  $F \in M_s$  there exists an absolute minimum of the functional  $f(\vec{u}) - (\vec{u}, F)$ , hence a solution of certain boundary value problem as it follows from the foregoing considerations (especially from the proof of Theorem 2.)

**Theorem 3.** *The set  $M$  is convex.*

*Proof.* Let  $F_1, F_2 \in M_s$ ; then for  $F = (1 - \lambda)F_1 + \lambda F_2$  ( $0 < \lambda < 1$ ) we have

$$\begin{aligned}
 f(\vec{u}) - (\vec{u}, F) &= f(\vec{u}) - (1 - \lambda)(\vec{u}, F_1) - \lambda(\vec{u}, F_2) = \\
 &= (1 - \lambda)(f(\vec{u}) - (\vec{u}, F_1)) + \lambda(f(\vec{u}) - (\vec{u}, F_2)),
 \end{aligned}$$

hence  $F \in M_s$ .

For  $F \in H^*$ ,  $\|F\| \leq 1$  we define a real-valued function corresponding to the chosen  $H$  in the following way:

$$\lambda_H(F) = \sup \{\sigma; \sigma F \in M_s\}.$$

Then  $\lambda_H(F) > 0$  (from this it is clear that  $M_s \neq \emptyset$ ). Namely, by Theorem 1 the existence of such  $R > 0$  follows that for  $\|\vec{u}\|_{V/P} > R$  we have  $f(\vec{u}) \geq \alpha \|\vec{u}\|_{V/P}$  (for some  $\alpha > 0$ ) so that all right-hand sides with a sufficiently small norm belong to the  $M_s$ . From this there also follows an existence of a neighbourhood of zero at  $H^*$ , the whole belonging to the  $M_s$ .

$$\left( \text{Really, } f(\vec{u}) - (\vec{u}, F) \geq \alpha \|\vec{u}\|_{V/P} - c \|\vec{u}\|_{V/P} \|F\|_{H^*}; \left\{ F, \|F\|_{H^*} \leq \frac{1}{2} \frac{\alpha}{c} \right\} \subset M \right).$$

We shall prove several theorems concerning  $\lambda_H(F)$ .

**Theorem 4.** *If  $\sigma > \lambda_H(F)$ , then  $\sigma F \in M_l$  (so that for  $\sigma > \lambda_H(F)$  there is no absolute minimum of  $f(\vec{u}) - \sigma(\vec{u}, F)$ )*

*Proof.* Let  $\sigma > \lambda_H(F)$ . If  $\liminf_{\|\vec{u}\| \rightarrow +\infty} (f(\vec{u}) - \sigma(\vec{u}, F)) = c \neq \pm\infty$ , then for  $\sigma > \sigma_1 > \lambda_H(F)$  there should be  $\liminf_{\|\vec{u}\| \rightarrow +\infty} (f(\vec{u}) - \sigma_1(\vec{u}, F)) = K \neq \pm\infty$ . Really,  $\liminf_{\|\vec{u}\| \rightarrow +\infty} (f(\vec{u}) - \sigma_1(\vec{u}, F)) = +\infty$  cannot hold because  $\sigma_1 > \lambda_H(F)$  and if  $\liminf_{\|\vec{u}\| \rightarrow +\infty} (f(\vec{u}) - \sigma_1(\vec{u}, F)) = -\infty$  then

$$\infty = \liminf_{\|\vec{u}\| \rightarrow \infty} (f(\vec{u}) - \sigma_1(\vec{u}, F)) \geq \liminf_{\|\vec{u}\| \rightarrow +\infty} (f(\vec{u}) - \sigma(\vec{u}, F)) \quad c \neq \infty$$

Moreover, we have

$$f(\vec{u}) - \sigma_1(\vec{u}, F) = (f(\vec{u}) - \sigma(\vec{u}, F)) \frac{\sigma_1}{\sigma} + f(\vec{u}) \left( 1 - \frac{\sigma_1}{\sigma} \right)$$

and

$$\liminf_{\|\vec{u}\| \rightarrow \infty} (f(\vec{u}) - \sigma_1(\vec{u}, F)) \geq \frac{\sigma_1}{\sigma} \liminf_{\|\vec{u}\| \rightarrow \infty} \left[ (f(\vec{u}) - \sigma(\vec{u}, F)) \frac{\sigma}{\sigma_1} + f(\vec{u}) \left( 1 - \frac{\sigma_1}{\sigma} \right) \right]$$

which means that

$$\pm \infty \neq K \geq \frac{\sigma_1}{\sigma} C + \infty \left( 0 \in M_s \rightarrow \liminf_{\|\vec{u}\| \rightarrow \infty} f(\vec{u}) \left( 1 - \frac{\sigma_1}{\sigma} \right) = \infty \right)$$

which is a contradiction.

**Theorem 5.** *Function  $\lambda_H(F)$  is continuous.*

*Proof.* At first let  $F_0$  be such that  $\lambda_H(F_0) < +\infty$ . Let  $\varepsilon > 0$  be arbitrary (but fixed). By the preceding there is  $r : 0 < r < \lambda_H(F_0)$  such that  $D = \{F; \lambda_H(F) < r\} \subset M_s$ . Let us take a cone  $C = \{aF; a \geq 0, F \in D - \lambda_H(F_0)F_0\} + \lambda_H(F_0)F_0$  so that  $C$  is a convex cone with a vertex at the point  $\lambda_H(F_0)F_0$  and containing all the points of  $D$ . From the convexity of  $M_s$  it follows that the points of the type  $\alpha\lambda_H(F_0)F_0 + (1 - \alpha)F, F \in D, \alpha \in (0, 1)$  belong to  $M_s$ . Furthermore, let  $K$  be another cone,  $K = \{aF; a \geq 0, F \in D - \lambda_H(F_0)F_0\} + \lambda_H(F_0)F_0$ . One can easily see that  $V = \{aF; a \geq 0, F \in K \cap \{F; \lambda_H(F) < \lambda_H(F_0) - \varepsilon\}\}$  is a convex cone with a vertex at the origin and  $F_0 \in \text{Int } V$ . Now, the set  $\text{Int } A = \text{Int } (V \cap \{F; \|F\| = 1\})$  is the neighbourhood of  $F_0$  we were looking for.

Certainly, let  $F \in \text{Int } A$ ;  $\lambda_H(F)F$  lies on the ray  $aF, a > 0$ . We must show that  $\lambda_H(F)F$  lies in the  $\varepsilon$ -neighbourhood of  $\lambda_H(F_0)F_0$ . But it is clear that  $\lambda_H(F) < \lambda_H(F_0) - \varepsilon$  is impossible (by the definition of  $\lambda_H(F)$  and for all the interior points of  $M = \{aF; 1 \geq a \geq 0, F \in D - \lambda_H(F_0)F_0\} + \lambda_H(F_0)F_0$  belong to  $M_s$  and in the case of  $\lambda_H(F) < \lambda_H(F_0) - \varepsilon$  the point  $\lambda_H(F)F$  would lay in  $\text{Int } M$ ) and having  $\lambda_H(F) > \lambda_H(F_0) + \varepsilon$  we can easily find that on the ray  $aF_0, a \geq 0$  there is a point  $\sigma F_0 \in M_s$  with  $\sigma > \lambda_H(F_0)$ , which is a contradiction.

Now let  $\lambda_H(F_0) = \infty$ . Choose  $R > 0$  and consider a „cone“  $K = \{aF; 1 > a \geq 0, F \in D - 2RF_0\} + 2RF_0$ . It is clear that  $\text{Int } K \subset M_s$ . Now for all  $F \in V \cap \{F; \|F\|_{H^*} = 1\}$  we have  $\lambda_H(F) > R$ , where  $V = \{aF; a > 0, F \in \{x; \|x\| = R\} \cap K\}$  and  $V \cap \{F; \|F\|_{H^*} = 1\}$  is the neighbourhood we were looking for.

Finally we shall mention another property of the function  $\lambda_H(F)$ . Let  $B \supset H$  and let us suppose that the identical imbedding  $H \rightarrow B$  is totally continuous. Let  $B_n \subset B$ ,  $B_n$  closed subspaces of  $B$  ( $n = 1, 2, \dots$ ) such that  $\lim_{n \rightarrow \infty} B_n = B$  (i. e.  $(\forall v \in B) (\exists v_n \in B_n) [\lim_{n \rightarrow \infty} \|v - v_n\|_B = 0]$ ).

The following theorem is true

**Theorem 6.** *If we denote by  $D_n = \{F \in B^*; v \in B_n, Fv = 0\}$  then*

$$\lim_{n \rightarrow \infty} \left( \inf_{F \in D_n} \lambda_{B^*}(F) \right) = \infty$$

**Proof.** It is sufficient to prove that

$$\lim_{n \rightarrow \infty} \left( \sup_{F \in D_n} \|F\|_{H^*} \right) = 0.$$

Let us suppose that this does not hold. Then there exists such an  $F_n \in D_n$  that  $\|F_n\|_{H^*} > \varepsilon$  for some  $\varepsilon > 0$ . Let  $v \in B$  be arbitrary; then  $F_n v = F_n v_n$  and  $\|F_n(v - v_n)\|_{H^*} > 0$  so that  $F_n \neq 0$  in  $B^*$ . But the identical imbedding  $B^* \rightarrow H^*$  is totally continuous hence  $F_n \rightarrow 0$  in  $H^*$  so that  $\|F_n\|_{H^*} \rightarrow 0$ , which is a contradiction.

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