

Commentationes Mathematicae Universitatis Carolinae

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Commentationes Mathematicae Universitatis Carolinae, Vol. 30 (1989), No. 2,
385--387

Persistent URL: <http://dml.cz/dmlcz/106756>

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On the H_p -theorem for hypersurfaces

GIOVANNI ROTONDARO

Abstract. Let $f : M^m \rightarrow \mathbb{R}^{m+1}$ be an immersion of a closed orientable smooth m -manifold, $m \geq 2$. Denote by H, r, p the first mean curvature, distance and support functions of f . We prove that, if $H_p = 1$, then M is embedded as a standard m -sphere. Furthermore we derive an integral formula, which also implies this theorem. Finally we point out an extrinsic inequality for H^2 .

Keywords: Closed hypersurface, support function, mean curvature, m -sphere

Classification: Primary: 53A05, Secondary: 53C45

Let $f : M^m \rightarrow \mathbb{R}^{m+1}$ be an immersion of a connected orientable m -manifold M into Euclidean $(m + 1)$ -space, $m \geq 2$. (o) Denote by $n, r = |f|, p = -f \cdot n$, respectively the Gauss normal field, the distance function and the support function with respect to the origin 0 which is supposed not lying in $f(M)$. Let H be the first mean curvature, i.e. the arithmetic mean of principal curvatures. The classical H_p -theorem [2] [4] says that a convex (hence embedded) closed surface of \mathbb{R}^3 with $H_p = 1$ is a standard sphere. In [1] we have shown that the same result holds if the surface is merely immersed, without the strong hypothesis of convexity. In this note we want to extend our theorem to higher-dimensional hypersurfaces.

Let us adopt all customary conventions of index notation. In some local coordinate system (u^1, u^2, \dots, u^m) on M the fundamental forms of the immersion can be written as

$$I = g_{ij} du^i \otimes du^j \qquad II = l_{ij} du^i \otimes du^j.$$

From the identity $r^2 = |f|^2$ we derive

$$r r_{ij} + r_i r_j = g_{ij} - l_{ij} p + r \Gamma_{ij}^k r_k.$$

Then

$$(1) \qquad \Delta \log r = \frac{m - mH_p - 2 \Delta_1(r)}{r^2}.$$

Here, Δ is the Laplacian of the Riemannian metric induced on M by f and Δ_1 is the first Beltrami differential parameter, i.e. the square norm of the gradient.

(o) All the manifolds and maps are supposed sufficiently smooth.

Lemma. *If $Hp \geq 1 - (2/m) \Delta_1(r)$ and r has a relative minimum, then $f(M)$ is a piece of a standard m -sphere.*

PROOF : In fact $\log r$ has a relative minimum, and because of (1) $\Delta \log r$ is non-positive. Therefore, by E.Hopf's principle [3,v.V, 181] $\log r$ must be a constant. ■

From this lemma we deduce the high-dimensional Hp -theorem.

Theorem. *Let $f : M^m \rightarrow \mathbb{R}^{m+1}$ be an immersion, M a connected closed orientable m -manifold, $m \geq 2$. Suppose that $Hp = 1$. Then M is embedded by f as a standard m -sphere.*

PROOF : Of course $Hp \geq 1 - (2/m) \Delta_1(r)$ and r has a relative minimum. Then r is a constant. Thus $f(M)$ is a subset of the m -sphere U with centre 0 and radius r . By standard connectedness arguments, we must have actually $f(M) = U$. On the other hand (changing orientation, if necessary), the principal curvatures satisfy $k_1 = k_2 = \dots = k_m = 1/r$. Therefore, at every point of M , the Weingarten map is positive definite. Then, by Hadamard's theorem on ovaloids [3,v.IV,121], f must be an embedding. ■

Remark 1. The proof of 2-dimensional Hp -theorem in [1] is based on the integral formula

$$\int_M \frac{p^2 - Hpr^2}{r^4} dV = 0,$$

which holds for closed immersed surface. (dV is the volume element.) We can generalize this formula as follows. First observe that $f = rg^{ij}r_j f_j - pn$. Then $r^2 = |f|^2 = r^2 g^{ij} r_j g^{ab} r_a f_j f_b + p^2 = r^2 \Delta_1(r) + p^2$, i.e. $\Delta_1(r) = (r^2 - p^2)/r^2$. Substituting into (1),

$$(2) \quad \Delta \log r = \frac{mr^2(1 - Hp) - 2(r^2 - p^2)}{r^4}.$$

On integration we have, for compact M ,

$$(3) \quad \int_M \frac{mr^2(1 - Hp) - 2(r^2 - p^2)}{r^4} dV = 0.$$

Notice that, by this formula, $Hp = 1$ implies immediately $r = |p| = \text{constant}$. Thus Hp -theorem is also a consequence of (3) (or (2)).

Remark 2. By considering (2) as a quadratic equation for p , we have the inequality

$$H^2 \geq \frac{8}{m^2} \left(\frac{m-2}{r^2} - \Delta \log r \right) \quad \text{for all immersed hypersurfaces.}$$

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(Received December 6,1988)