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Commentationes Mathematicae Universitatis Carolinae, Vol. 28 (1987), No. 2, 387--396

Persistent URL: <http://dml.cz/dmlcz/106549>

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THE 0-DISTRIBUTIVITY IN THE CLASS OF SUBALGEBRA LATTICES OF
HEYTING ALGEBRAS AND CLOSURE ALGEBRAS

L. VRANCKEN-MAWET

Abstract: Using Priestley duality, we characterize those Heyting and Closure algebras whose subalgebra lattice is 0-distributive (i.e. satisfies $x \wedge y = 0$ and $x \wedge z = 0 \implies x \wedge (y \vee z) = 0$).

Key words: Heyting and closure algebras, subalgebra lattice, 0-distributivity, congruences on quasi-ordered topological spaces.

Classification: 06D05

Introduction. In [2],[3] and [5], we study the subalgebra lattice of Heyting algebras and closure algebras and characterize those Heyting algebras and closure algebras whose subalgebra lattice is distributive. Besides, our results characterize in the class **D** of distributive lattices those which are subalgebra lattices of Heyting algebras or closure algebras.

In this paper, we extend the class **D** to the wider class of 0-distributive (i.e. lattices which satisfy the following weakening of the distributivity law: $x \wedge y = 0$ and $x \wedge z = 0$ imply $x \wedge (y \vee z) = 0$). To obtain these results we use a duality between closure algebras and closure spaces and the notion of congruence on quasi-ordered topological spaces. We recall these notions in the first paragraph.

§ 1 Recalls

1.1. Definitions. (a) A closure algebra $B = (B; \wedge, \vee, \overset{c}{-}, \bar{}, 0, 1)$ is a Boolean algebra $(B; \wedge, \vee, \overset{c}{-}, 0, 1)$ with a unary operator (closure operator) satisfying

- (i) $0^{\bar{}} = 0$;
- (ii) $\forall x \in B, x \leq x^{\bar{}} = x^{\bar{}\bar{}}$;
- (iii) $\forall x, y \in B, (x \vee y)^{\bar{}} = x^{\bar{}} \vee y^{\bar{}}$.

A closed element a of B is such that $a = a^{\bar{}}$. The set of all

closed elements of B is a dual Heyting algebra under $x+y=(y-x)^-$. We denote it by $Cl(B)$.

(b) A closure space $X=(X,\tau,\leq)$ is a Boolean space (X,τ) with a quasi-order satisfying

(i) $\forall x \in X, (x, \leq) = \{y \in X \mid y \leq x\}$ (resp. $(x, \leq) = \{y \in X \mid x \leq y\}$) is closed and

(ii) for any clopen subset U of $X, (U] = \cup \{x \mid x \in U\}$ is clopen.

The set of all minimal (resp. maximal) elements of X is denoted by $MinX$ (resp. $MaxX$).

Let B be a closure algebra. The set $M(B)$ of all maximal ideals of B , endowed with the topology generated by the set $\{I \in M(B) \mid a \notin I\}, a \in B$, and quasi-ordered by the relation \leq defined by $I \leq J \iff I \cap Cl(B) \subseteq J \cap Cl(B)$, is a closure space, called dual space of B .

Conversely, if X is a closure space, then the Boolean algebra of all clopen subsets of X , denoted by $\mathcal{C}(X)$, becomes a closure algebra if one defines U^- by $(U]$.

The Stone duality extends to this more general situation as follows [3].

1.2. Proposition. There exists a dual equivalence between the category **CA** of closure algebras and the category **CS** of closure spaces whose morphisms are the continuous maps $f: X \rightarrow X'$ such that $f([x]) = [f(x)]$, for all $x \in X$.

1.3. Definition. A congruence on the closure space $X=(X,\tau,\leq)$ is an equivalence such that

- (i) if $(x,y) \in \Theta$, then there exists a Θ -saturated (i.e. union of Θ -classes) clopen subset U of X with $x \in U$ and $y \in -U$;
- (ii) if $x \Theta y \leq z$, then there exists $t \in X$ such that $x \leq t \Theta z$.

The set of all congruences of X , ordered by inclusion is a lattice denoted by $Con(X)$.

1.4. Examples. Let X be a closure space.

(a) The identity ω and the universal equivalence are congruences.

(b) The equivalence $\xi = \{\Theta(p,q) \mid p \leq q \leq p\}$ is a congruence.

(c) The dual atoms of $\text{Con}(X)$ are equivalences $\Phi(U)$ with two classes U and $-U$ where U is a clopen subset of X satisfying one of the following conditions:

- (i) $(U \cap \text{Max}X)^\xi = (-U \cap \text{Max}X)^\xi$;
- (ii) U and $-U$ are both increasing and decreasing;
- (iii) U is increasing and contains $\text{Max}X$.

(d) Let E be a closed subset of X and let us denote by $\Theta(E)$ the equivalence generated by $E \times E$. If

- (i) either E is such that $x \in [E) \Rightarrow y \leq x$, for all $y \in E$, or
- (ii) E is increasing,

then $\Theta(E)$ is a congruence of X . In particular, $\Theta(\text{Max}X) \in \text{Con}(X)$. If $E = \{p, q\}$, we write $\Theta(p, q)$ instead of $\Theta(\{p, q\})$.

1.5. Propositions. (a) Let $X \in \text{CS}$ and $B \in \text{CA}$, the dual closure algebra. Then the subalgebra lattice of B is dually isomorphic to $\text{Con}(X)$. [3].

(b) Let $X \in \text{CS}$, B its dual closure algebra and $\Theta \in \text{Con}(X)$. Then $X/\Theta \in \text{CS}$. In particular, X/ξ is a pospace (i.e. partially ordered topological space) whose Priestley dual ([2]) is $\text{Cl}(B)$.

(c) Partially ordered closure spaces and dual Heyting spaces ([2]) coincide. In particular, if B is generated by $\text{Cl}(B)$, the subalgebra lattice of B is isomorphic to that of $\text{Cl}(B)$.

Consequently, our study of the congruence lattice of closure spaces leads to the corresponding properties for the subalgebra lattice of closure algebras and also of Heyting algebras.

1.6. Definitions. (a) A clique is a set Y with a quasi-order \leq defined by $x, y \in Y \Rightarrow x \leq y$.

An n-clique is a clique of cardinal n and is denoted by n^\uparrow .

(b) Let X, Y be quasi-ordered sets. Then $X+Y$ (resp. $X \oplus Y$) denotes the cardinal (resp. ordinal) sum of X and Y .

(c) An order-connected component of a quasi-ordered space X (abbreviated o.c.c.) is a subset Y of X such that $(Y) = Y$ and $[Y) = Y$ and which is minimal for this property.

We now investigate the Heyting and closure algebras whose subalgebra lattice is 0-distributive, that is, satisfies the following property:

$$x \wedge y = 0 \text{ and } x \wedge z = 0 \text{ imply } x \wedge (y \vee z) = 0.$$

Clearly, this is equivalent to study the closure space whose congruence lattice is 1-distributive, i.e. such that

$$x \vee y = 1 \text{ and } x \vee z = 1 \text{ imply } x \vee (y \wedge z) = 1.$$

We separate here the case when X is partially ordered from the case when X is not partially ordered.

In what follows, we denote by $S(B)$ the subalgebra lattice of a Boolean algebra B . These lattices and their order duals have been characterized by Sachs in [4].

§ 2. Heyting algebras

2.1. Theorem. Let $X \in CS$ be partially ordered. Then the following assertions are equivalent.

- (i) $\text{Con}(X)$ is 1-distributive;
- (ii) there exist bounded chains C and C' and a (possibly empty) antichain Y such that X is order-isomorphic either to $C \oplus (C' + Y)$ or to $C + 1$;
- (iii) there exist Boolean algebras B and B' such that B is complete and atomic and $\text{Con}(X)$ is isomorphic either to $B \times (S(B') + 1)$ or to B .

Proof. (i) \Rightarrow (ii). Let $X \in CS$ be such that X is partially ordered and $\text{Con}(X)$ is 1-distributive. The ordered type of X is deduced from the following observations.

α) Necessarily, $X - (\text{Min}X \cup \text{Max}X)$ is a chain and $|\text{Min}X - \text{Max}X| \leq 2$. If not, let $x, y \in X - (\text{Min}X \cup \text{Max}X)$ (resp. $x, y \in \text{Min}X - \text{Max}X$) and $t \in \text{Min}X - \text{Max}X - \{x, y\}$. Denote by V and U increasing clopen subsets containing $\text{Max}X$ such that $y \in V$, $x \in U$, $\{x, t\} \cap V = \emptyset$, $\{y, t\} \cap U = \emptyset$. We have $\Phi(V) \vee \Theta(V \cup U) = 1$, $\Phi(U) \vee \Theta(V \cup U) = 1$ and $(\Phi(V) \wedge \Phi(U)) \vee \Phi(V \cup U) \neq 1$, which contradicts the 1-distributivity of $\text{Con}(X)$.

In particular, this means that there exist at most two o.c.c. not reduced to a singleton and at most one o.c.c. which meets $X - (\text{Min}X \cup \text{Max}X)$. Precisely, X must satisfy the following condition.

β) There exists at most one o.c.c. which is not reduced to a singleton. Let C_1, C_2 be o.c.c. such that $|C_1| \geq 2$, $|C_2| \geq 2$ and x_i ($i=1,2$) the element of $\text{Min}C_i - \text{Max}C_i$. Let U (resp. V) be an increasing clopen set which is decreasing (resp. contains $\text{Max}X$)

and such that $C_1 \subseteq U$, $C_2 \cap U = \emptyset$ (resp. $x_1 \notin V$, $x_2 \notin V$). The congruences $\Phi(U)$, $\Phi(V)$, $\Theta(V \cup U)$ contradict as in α) the 1-distributivity of $\text{Con}(X)$.

In fact, there exist at most two o.c.c. since the following condition γ) is necessary for $\text{Con}(X)$ to be 1-distributive.

γ) Two elements of $\text{Max}X$ which are not in the same o.c.c. constitute $\text{Max}X$. Let x, y be maximal elements of different o.c.c. We may suppose that the o.c.c. of y is reduced to $\{y\}$. If $z \in \text{Max}X - \{x, y\}$, let U be a clopen subset of X which is increasing, decreasing and such that $\{x, z\} \subseteq U \subseteq -\{y\}$. We have $\Phi(U) \vee \Theta(x, y) = 1$, $\Phi(U) \vee \Theta(z, y) = 1$, $\Phi(U) \vee (\Theta(x, y) \wedge \Theta(z, y)) \neq 1$, a contradiction to the 1-distributivity of $\text{Con}(X)$.

δ) There exists at most one minimal element which is not maximal. If not, let $x \neq y \in \text{Min}X - \text{Max}X$. First, we have $[x] \cap (X - \text{Max}X) - \{x\} = [y] \cap (X - \text{Max}X) - \{y\}$. Indeed, let $z \in [x] \cap (X - \text{Max}X) - ([x] \cap (X - \text{Max}X))$ and U, V be increasing clopen sets such that $\text{Max}X \cup \{x\} \subseteq V$, $\text{Max}X \cup \{z\} \subseteq U$, $\{y, z\} \subseteq -V$ and $\{x, y\} \subseteq -U$. We have $\Phi(V) \vee \Theta(V \cup U) = 1$, $\Phi(U) \vee \Theta(V \cup U) = 1$ and $\Theta(U \cup V) \vee (\Phi(V) \wedge \Phi(U)) \neq 1$, which is impossible.

It follows from this that $\alpha = \Theta(x, y) \cup \Theta(\text{Max}X)$ is a congruence. If U' and V' are increasing clopen subsets containing $\text{Max}X$ and such that $x \in U' - V'$ and $y \in V' - U'$, the congruences $\Phi(U)$, $\Phi(V)$ and α induce a contradiction to the 1-distributivity of $\text{Con}(X)$.

If X is not order-connected, then X is the cardinal sum of a chain and a singleton. We shall now investigate the case when X is order-connected.

If $X - \text{Max}X \neq \emptyset$, $\cap \{[x] \mid x \in Y\} \neq \emptyset$, for each finite subset Y of $X - \text{Max}X$. By a compactness argument, we deduce $\cap \{[x] \mid x \in X - \text{Max}X\} \neq \emptyset$. Hence there exists $x_0 \in \text{Max}X$ such that $(x_0] - \{x_0\} = X - \text{Max}X$. The conclusion follows from the necessary condition ϵ).

ϵ) If $x_1, x_2 \in \text{Max}X - \{x_0\}$, then $(x_1] \neq \{x_1\}$ and $(x_2] \neq \{x_2\}$ imply $(x_1] - \{x_1\} = (x_2] - \{x_2\}$. If not, suppose z maximal in $(X - \text{Max}X) \cap (x_1] - (x_2]$ (if such z does not exist, we interchange x_1 and x_2). Let U be a clopen subset of X which contains x_0, x_2 and not x_1 and let V be a clopen subset of X containing x_1 and x_2 and disjoint from $U \cap \{z\}$. Consider the congruences $\alpha = \Theta([z])$, $\beta = \Theta(U \cap \text{Max}X) \cup \Theta(\{z\}) \cup \Theta(-U \cap \text{Max}X)$, $\gamma = \Theta(V \cap \text{Max}X) \cup \Theta(\{z\}) \cup$

$\cup \Theta(-V \cap \text{Max}X)$. It is clear that $\alpha \vee \beta = \alpha \vee \gamma = 1$. Since $\beta \cap \gamma$ is not a congruence (for each $t \in (x_2] \cap (x_0]$, $z(\beta \cap \gamma)t \leq x_2$ would imply the existence of $u \in [z) \cap U \cap V$ such that $z \leq u(\beta \cap \gamma)x_2$), and that $\beta \wedge \gamma|_{\text{Max}X} = \beta \cap \gamma|_{\text{Max}X}$, we have necessarily $(z, t') \notin \beta \wedge \gamma$ for some $t' \in [z)$. It is clear that $(z, t') \notin \alpha$ from what we deduce the contradiction $\alpha \vee (\beta \wedge \gamma) \neq 1$.

This completes the proof of (i) \Rightarrow (ii) (take $C' = (x_0] - (x_1]$ and $Y = \text{Max}X - \{x_0\}$).

(ii) \Rightarrow (iii). If X is either a chain or the cardinal sum of a chain and a singleton, then $\text{Con}(X)$ is a complete and atomic Boolean algebra ([2]).

Suppose that X is order-isomorphic to $C \oplus (C' + Y)$ where C and C' are bounded chains and Y is a non empty antichain. Let B (resp. B') be isomorphic to $\text{Con}(C)$ (resp. $\text{Con}(C')$) ([2]). By an argument similar to that of Theorem 2.1 in [5], it is clear that $\text{Con}(X)$ is isomorphic to $B \times B' \times \text{Con}(1 \oplus (1+Y))$. It is also easy to check that $\text{Con}(1 \oplus (1+Y))$ is isomorphic to $(\text{Con}(1+Y)) \oplus 1$; now $1+Y$ is a Boolean space whose congruence lattice is of the form $S(B')$, whence the proof is complete.

The implication (iii) \Rightarrow (i) is clear.

Denote by \mathcal{H} the class of all Heyting algebras which are Boolean products of chains, all 2-elements chains except perhaps one. From the duality and the proposition 1.5, we deduce the following corollary of Theorem 2.1.

2.2. Corollary. Let A be a Heyting algebra. Then the following assertions are equivalent.

- (i) The subalgebra lattice $\text{Sub}(A)$ of A is 0-distributive.
- (ii) There exist $H \in \mathcal{H}$ and a chain C such that A is isomorphic either to $H \oplus C$ or to $C \times 2$ or to C .
- (iii) There exist Boolean algebras B and B' such that B is complete and atomic and $\text{Sub}(A)$ is isomorphic either to $B \times (0 \oplus S(B'))$ or to B .

2.3. Remark. From 1.5 it follows that the subalgebra lattice of a closure algebra generated by its closed elements is 0-distributive if and only if the order-dual of $\text{Cl}(B)$ satisfies (ii) of 2.2.

2.4. Corollary. Let L be a 0-distributive lattice. Then the following assertions are equivalent.

(i) There exist a Heyting algebra A such that L is isomorphic to the subalgebra lattice of A .

(ii) There exists a closure algebra A generated by its closed elements such that L is isomorphic to the subalgebra lattice of A .

(iii) There exist Boolean algebras B and B' such that B is complete and atomic and L is isomorphic either to B or to $B \times (0 \oplus S(B'))$.

Proof. We have (i) \Rightarrow (ii) by 1.5 and (i) \Rightarrow (iii) by 2.2. Conversely, if B is a complete and atomic Boolean algebra, there exists a chain C which is a Heyting algebra such that $B \cong \text{Sub}(C)$. If B' is a Boolean algebra, we have $\text{Sub}(B' \oplus C) \cong B \times (0 \oplus S(B'))$. This completes the proof of (iii) \Rightarrow (i).

§ 3. Closure algebras

3.1. Theorem. Let $X \in \text{CS}$. Then $\text{Con}(X)$ is 1-distributive if and only if X satisfies one of the following conditions.

(i) There exist an upper bounded chain C (possibly empty), a bounded chain C' , a clique Y and an equivalence Y' (in other words, Y' is the cardinal sum of cliques) such that X is order-isomorphic to $Y \oplus C \oplus (C' + Y')$.

(ii) There exist an upper bounded chain C , a clique Y and an equivalence Y' such that X is order-isomorphic to $Y \oplus C \oplus Y'$ and $(V \cap \text{Max} X)^{\xi} \neq (-V \cap \text{Max} X)^{\xi}$, for all clopen subsets V of X .

(iii) There exist an upper bounded chain C and cliques Y and Y' such that X is order-isomorphic to $(Y \oplus C) + Y'$.

(iv) There exists a clique Y such that X is order-isomorphic to $1 + Y$.

(v) X is isomorphic to 2^{\uparrow} .

Proof. Let $X \in \text{CS}$ be such that $\text{Con}(X)$ is 1-distributive. Since $\text{Con}(X/\xi)$ is isomorphic to $\{\varphi \in \text{Con}(X) \mid \xi \leq \varphi\}$ (by the third isomorphism theorem), it is also 1-distributive and by 2.1, there exist bounded chains C and C' and an antichain Y such that $X/\xi \cong C \oplus (C' + Y)$ or $X/\xi \cong C + 1$. To determine the form of the ξ -classes, we proceed in four steps.

α) The cliques which are not reduced to a singleton are either minimal or maximal. Let $p \neq q$ be a clique which is neither minimal nor maximal. Its projection into the quotient space $Y = X/\Theta(\text{Max}X)$ is again neither minimal nor maximal. Moreover, $\text{Con}(Y) = \{\varphi \in \text{Con}(X) \mid \Theta(\text{Max}X) \leq \varphi\}$ is also 1-distributive.

(a) In the special case when there exists $y \in Y$ such that $y \leq p$ (that means $y < z < p$ implies $z \in y \vee p$), consider an increasing clopen subset V of X containing p but not y . We have the contradiction $\Theta(\{p\} \cup y) \vee \Phi(V) = 1$, $\Theta(\{q\} \cup y) \vee \Phi(V) = 1$, $\Phi(V) \vee [\Theta(\{p\} \cup y) \wedge \Theta(\{q\} \cup y)] = \Phi(V)$.

(b) For the general situation, let $x, y \in Y$ be such that $y \leq x$ and let V be an increasing clopen subset which separates x from y . By (a), we may suppose $x = \{x\}$ and $x \neq p$. Consider a clopen subset O of Y such that $y \cup \{p\} \subseteq O \subseteq \{q\} \cap \neg \{x\}$. The equivalence $\alpha = \Theta(O \cap [y, p]) \cup \Theta(\neg O \cap [y, p])$ is a congruence such that $\alpha \vee \Phi(V) = 1$.

Since we have $\Theta(\{x\} \cup y) \vee \Phi(V) = 1$ and $\Phi(V) \vee [\Theta(\{x\} \cup y) \wedge \alpha] = \Phi(V)$, $\text{Con}(X)$ cannot be 1-distributive.

β) If $|\text{Max}(X/\xi)| \geq 2$, then $(V \cap \text{Max}X) \neq (\neg V \cap \text{Max}X)$, for all clopen subsets V of X . If not, $\Phi(V)$ is a dual atom of $\text{Con}(X)$. Let p and q be distinct elements of $\text{Max}(X/\xi)$. We have $\Phi(V) \vee \Theta(p) = 1$, $\Phi(V) \vee \Theta(q) = 1$, $\Phi(V) \vee (\Theta(p) \wedge \Theta(q)) = \Phi(V)$, which is impossible.

γ) If $X/\xi - \text{Max}(X/\xi) \neq \emptyset$ admits a unique upper bound $x_0 \in \text{Max}(X/\xi)$ (this corresponds to the case when the chain C' of 2.1 is not reduced to a singleton), then $x_0 = \{x_0\}$. Indeed, we argue as in α), replace p by x_0 and choose clopen increasing subsets V containing $\text{Max}X$ in both cases (a) or (b).

So far, we have examined the closure spaces X such that $X \neq \text{Max}X$ and $\text{Con}(X)$ is 1-distributive.

It follows from α), β), γ) that if $X \neq \text{Max}X$, then X must satisfy one of the conditions (i), (ii) or (iii). Finally, we have

δ) if X/ξ is an antichain, then X satisfies (iv) or (v). Since $|X/\xi| \leq 2$ (by 2.1), the condition β) shows that there exists at most one clique which is not reduced to a singleton. If $|X/\xi| = 1$ and $|X| \geq 2$, let $\{U_1, U_2, U_3\}$ be a partition of X in clopen subsets. We have $\Theta(U_1 \cup U_2) \vee \Theta(U_2 \cup U_3) = 1$, $\Theta(U_1 \cup U_2) \vee$

$\vee \Theta(U_1 \cup U_3) = 1$ and $\Theta(U_1 \cup U_2) \vee (\Theta(U_2 \cup U_3) \wedge \Theta(U_1 \cup U_3)) \neq 1$, which contradicts the 1-distributivity of $\text{Con}(X)$. Hence $X = 2^\uparrow$. The remaining possibility is (v).

This completes the characterization of closure spaces whose congruence lattice is 1-distributive.

Conversely, suppose that X satisfies one of the conditions (i), (ii), (iii), (iv) or (v). If $X = 2^\uparrow$, then $\text{Con}(X)$ is isomorphic to the 2-element chain. In the other cases, there exists no dual atom $\Phi(V)$ with $(V \cap \text{Max}X)^\complement = (-V \cap \text{Max}X)^\complement$. Let $\alpha, \beta, \gamma \in \text{Con}(X)$ be such that $\alpha \vee \beta = 1$, $\alpha \vee \gamma = 1$ and $\alpha \vee (\beta \wedge \gamma) \neq 1$. Since $\text{Con}(X)$ is dually atomic ([3]), there exists (by 1.4) an increasing subset V of X which is both α -saturated and $(\beta \wedge \gamma)$ -saturated and such that $\Phi(V)$ is a congruence. We distinguish two possibilities.

α) If V is decreasing, then X is not order-connected and V coincides with one of the two o.c.c. of X . By changing V into $-V$, we may suppose that V is not reduced to a clique or that $|V| = 1$. Let t be the greatest element of V . Since $\alpha \vee \beta = 1$ (resp. $\alpha \vee \gamma = 1$), there exists u (resp. v) $\in \text{Max}X - \{t\}$ such that $t \beta u$ (resp. $t \gamma v$) from what we deduce $\Theta(\text{Max}X) \subseteq \beta \cap \gamma$ and the contradiction $\Theta(\text{Max}X) \subseteq \Phi(V)$.

β) If V contains $\text{Max}X$, let r be a minimal element of V which is not in the o.c.c. eventually reduced to a clique and s a maximal element of $-V$. There exists a least congruence ψ such that $\Theta(r, s) \subseteq \psi$ (if $\Theta(r, s) \notin \text{Con}(X)$, $\psi = \Theta(r, s) \cup \varphi(\text{Max}X)$). From $\alpha \vee \beta = 1$ (resp. $\alpha \vee \gamma = 1$), we deduce $(r, s) \in \beta$ (resp. $(r, s) \in \gamma$). It follows that $(r, s) \in \beta \cap \gamma$ and $\Theta(r, s) \subseteq \psi \subseteq \beta \wedge \gamma \subseteq \subseteq \Phi(V)$, which is impossible and concludes the proof.

In [3] and [5], we explain how to dualize the notions of chain, clique, cardinal sum and ordinal sum of closure spaces.

Since the condition $(V \cap \text{Max}X)^\complement \neq (-V \cap \text{Max}X)^\complement$ for all clopen subsets V of $X \in \text{CS}$ becomes

$$\forall a \in B \in \text{CA}, a^- = 1 \Rightarrow (a^c)^- \neq 1$$

in CA , it is possible to translate Theorem 3.1 in CA and characterize the closure algebras whose the subalgebra lattice is 0-distributive.

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(Oblatum 3.3. 1987)